

### Supplemental problems: §5.1

1. True or false. Answer true if the statement is always true. Otherwise, answer false.
- a) If  $A$  and  $B$  are  $n \times n$  matrices and  $A$  is row equivalent to  $B$ , then  $A$  and  $B$  have the same eigenvalues.
  - b) If  $A$  is an  $n \times n$  matrix and its eigenvectors form a basis for  $\mathbf{R}^n$ , then  $A$  is invertible.
  - c) If  $0$  is an eigenvalue of the  $n \times n$  matrix  $A$ , then  $\text{rank}(A) < n$ .
  - d) The diagonal entries of an  $n \times n$  matrix  $A$  are its eigenvalues.
  - e) If  $A$  is invertible and  $2$  is an eigenvalue of  $A$ , then  $\frac{1}{2}$  is an eigenvalue of  $A^{-1}$ .
  - f) If  $\det(A) = 0$ , then  $0$  is an eigenvalue of  $A$ .

#### Solution.

- a) False. For instance, the matrices  $\begin{pmatrix} 2 & 0 \\ 0 & 2 \end{pmatrix}$  and  $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$  are row equivalent, but have different eigenvalues.
- b) False. For example, the zero matrix is not invertible but its eigenvectors form a basis for  $\mathbf{R}^n$ .
- c) True. If  $\lambda = 0$  is an eigenvalue of  $A$  then  $A$  is not invertible so its associated transformation  $T(x) = Ax$  is not onto, hence  $\text{rank}(A) < n$ .
- d) False. This is true if  $A$  is triangular, but not in general.  
For example, if  $A = \begin{pmatrix} 2 & 1 \\ -1 & 0 \end{pmatrix}$  then the diagonal entries are  $2$  and  $0$  but the only eigenvalue is  $\lambda = 1$ , since solving the characteristic equation gives us

$$(2 - \lambda)(-\lambda) - (1)(-1) = 0 \quad \lambda^2 - 2\lambda + 1 = 0 \quad (\lambda - 1)^2 = 0 \quad \lambda = 1.$$

- e) True. Let  $v$  be an eigenvector corresponding to the eigenvalue  $2$ .

$$Av = 2v \implies A^{-1}Av = A^{-1}(2v) \implies v = 2A^{-1}v \implies \frac{1}{2}v = A^{-1}v.$$

Therefore,  $v$  is an eigenvector of  $A^{-1}$  corresponding to the eigenvalue  $\frac{1}{2}$ .

- f) True. If  $\det(A) = 0$  then  $A$  is not invertible, so  $Av = 0v$  has a nontrivial solution.

2. In this problem, you need not explain your answers; just circle the correct one(s).

Let  $A$  be an  $n \times n$  matrix.

- a) Which **one** of the following statements is correct?

1. An eigenvector of  $A$  is a vector  $v$  such that  $Av = \lambda v$  for a nonzero scalar  $\lambda$ .

2. An eigenvector of  $A$  is a nonzero vector  $v$  such that  $Av = \lambda v$  for a scalar  $\lambda$ .
3. An eigenvector of  $A$  is a nonzero scalar  $\lambda$  such that  $Av = \lambda v$  for some vector  $v$ .
4. An eigenvector of  $A$  is a nonzero vector  $v$  such that  $Av = \lambda v$  for a nonzero scalar  $\lambda$ .

b) Which **one** of the following statements is **not** correct?

1. An eigenvalue of  $A$  is a scalar  $\lambda$  such that  $A - \lambda I$  is not invertible.
2. An eigenvalue of  $A$  is a scalar  $\lambda$  such that  $(A - \lambda I)v = 0$  has a solution.
3. An eigenvalue of  $A$  is a scalar  $\lambda$  such that  $Av = \lambda v$  for a nonzero vector  $v$ .
4. An eigenvalue of  $A$  is a scalar  $\lambda$  such that  $\det(A - \lambda I) = 0$ .

**Solution.**

a) Statement 2 is correct: an eigenvector must be nonzero, but its eigenvalue may be zero.

b) Statement 2 is incorrect: the solution  $v$  must be nontrivial.

3. Find a basis  $\mathcal{B}$  for the  $(-1)$ -eigenspace of  $Z = \begin{pmatrix} 2 & 3 & 1 \\ 3 & 2 & 4 \\ 0 & 0 & -1 \end{pmatrix}$

**Solution.**

For  $\lambda = -1$ , we find  $\text{Nul}(Z - \lambda I)$ .

$$(Z - \lambda I \mid 0) = (Z + I \mid 0) = \left( \begin{array}{ccc|c} 3 & 3 & 1 & 0 \\ 3 & 3 & 4 & 0 \\ 0 & 0 & 0 & 0 \end{array} \right) \xrightarrow{\text{rref}} \left( \begin{array}{ccc|c} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \end{array} \right).$$

Therefore,  $x = -y$ ,  $y = y$ , and  $z = 0$ , so

$$\begin{pmatrix} x \\ y \\ z \end{pmatrix} = \begin{pmatrix} -y \\ y \\ 0 \end{pmatrix} = y \begin{pmatrix} -1 \\ 1 \\ 0 \end{pmatrix}.$$

A basis is  $\mathcal{B} = \left\{ \begin{pmatrix} -1 \\ 1 \\ 0 \end{pmatrix} \right\}$ . We can check to ensure  $\begin{pmatrix} -1 \\ 1 \\ 0 \end{pmatrix}$  is an eigenvector with corresponding eigenvalue  $-1$ :

$$Z \begin{pmatrix} -1 \\ 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 2 & 3 & 1 \\ 3 & 2 & 4 \\ 0 & 0 & -1 \end{pmatrix} \begin{pmatrix} -1 \\ 1 \\ 0 \end{pmatrix} = \begin{pmatrix} -2+3 \\ -3+2 \\ 0 \end{pmatrix} = \begin{pmatrix} 1 \\ -1 \\ 0 \end{pmatrix} = - \begin{pmatrix} -1 \\ 1 \\ 0 \end{pmatrix}.$$

4. Suppose  $A$  is an  $n \times n$  matrix satisfying  $A^2 = 0$ . Find all eigenvalues of  $A$ . Justify your answer.

**Solution.**

If  $\lambda$  is an eigenvalue of  $A$  and  $v \neq 0$  is a corresponding eigenvector, then

$$Av = \lambda v \implies A(Av) = A\lambda v \implies A^2v = \lambda(Av) \implies 0 = \lambda(\lambda v) \implies 0 = \lambda^2 v.$$

Since  $v \neq 0$  this means  $\lambda^2 = 0$ , so  $\lambda = 0$ . This shows that 0 is the only possible eigenvalue of  $A$ .

On the other hand,  $\det(A) = 0$  since  $(\det(A))^2 = \det(A^2) = \det(0) = 0$ , so 0 must be an eigenvalue of  $A$ . Therefore, the only eigenvalue of  $A$  is 0.

5. Match the statements (i)-(v) with the corresponding statements (a)-(e). All matrices are  $3 \times 3$ . There is a unique correspondence. Justify the correspondences in words.

(i)  $Ax = \begin{pmatrix} 5 \\ 1 \\ 2 \end{pmatrix}$  has a unique solution.

(ii) The transformation  $T(v) = Av$  fixes a nonzero vector.

(iii)  $A$  is obtained from  $B$  by subtracting the third row of  $B$  from the first row of  $B$ .

(iv) The columns of  $A$  and  $B$  are the same; except that the first, second and third columns of  $A$  are respectively the first, third, and second columns of  $B$ .

(v) The columns of  $A$ , when added, give the zero vector.

(a) 0 is an eigenvalue of  $A$ .

(b)  $A$  is invertible.

(c)  $\det(A) = \det(B)$

(d)  $\det(A) = -\det(B)$

(e) 1 is an eigenvalue of  $A$ .

**Solution.**

(i) matches with (b).

(ii) matches with (e).

(iii) matches with (c).

(iv) matches with (d).

(v) matches with (a).

**Supplemental problems: §5.2**

1. True or false. If the statement is always true, answer true and justify why it is true. Otherwise, answer false and give an example that shows it is false.
- a) If  $A$  and  $B$  are  $n \times n$  matrices with the same eigenvectors, then  $A$  and  $B$  have the same characteristic polynomial.
- b) If  $A$  is a  $3 \times 3$  matrix with characteristic polynomial  $-\lambda^3 + \lambda^2 + \lambda$ , then  $A$  is invertible.

**Solution.**

- a) False:  $\begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$  and  $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$  have the same eigenvectors (all nonzero vectors in  $\mathbf{R}^2$ ) but characteristic polynomials  $\lambda^2$  and  $(1 - \lambda)^2$ , respectively.
- b) False:  $\lambda = 0$  is a root of the characteristic polynomial, so 0 is an eigenvalue, and  $A$  is not invertible.
2. Find all values of  $a$  so that  $\lambda = 1$  an eigenvalue of the matrix  $A$  below.

$$A = \begin{pmatrix} 3 & -1 & 0 & a \\ a & 2 & 0 & 4 \\ 2 & 0 & 1 & -2 \\ 13 & a & -2 & -7 \end{pmatrix}$$

**Solution.**

We need to know which values of  $a$  make the matrix  $A - I_4$  noninvertible. We have

$$A - I_4 = \begin{pmatrix} 2 & -1 & 0 & a \\ a & 1 & 0 & 4 \\ 2 & 0 & 0 & -2 \\ 13 & a & -2 & -8 \end{pmatrix}.$$

We expand cofactors along the third column, then the second column:

$$\begin{aligned} \det(A - I_4) &= 2 \det \begin{pmatrix} 2 & -1 & a \\ a & 1 & 4 \\ 2 & 0 & -2 \end{pmatrix} \\ &= (2)(1) \det \begin{pmatrix} a & 4 \\ 2 & -2 \end{pmatrix} + (2)(1) \det \begin{pmatrix} 2 & a \\ 2 & -2 \end{pmatrix} \\ &= 2(-2a - 8) + 2(-4 - 2a) = -8a - 24. \end{aligned}$$

This is zero if and only if  $a = -3$ .