

# Rigidity results of Alexandrov type

based on joint works with DORIN BUCUR (U. Savoie)

1. Alexandrov Theorem - moving planes
2. Link with the isoperimetric inequality - rigidity for sets with finite perimeter
3. Interplay with PDE's
4. Rigidity for  $k$ -dense domains

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5. A new rigidity problem.
6. Link with Riesz rearrangement inequality
7. Fractional rigidity
8. Rigidity for  $\alpha$ -critical sets - new moving planes

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9. Rigidity for general kernels
10. Rigidity for polygons.

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# 1. Alexandrov Theorem (1958)

Theorem.

Let  $\Omega \subseteq \mathbb{R}^m$  be a bounded connected domain, with  $\partial\Omega \in C^2$ .

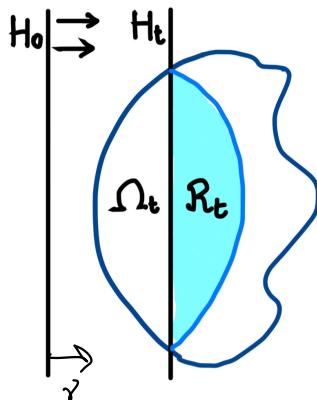
Then

$$H_\Omega(x) = c > 0 \quad \forall x \in \partial\Omega \iff \Omega \text{ is a ball}$$

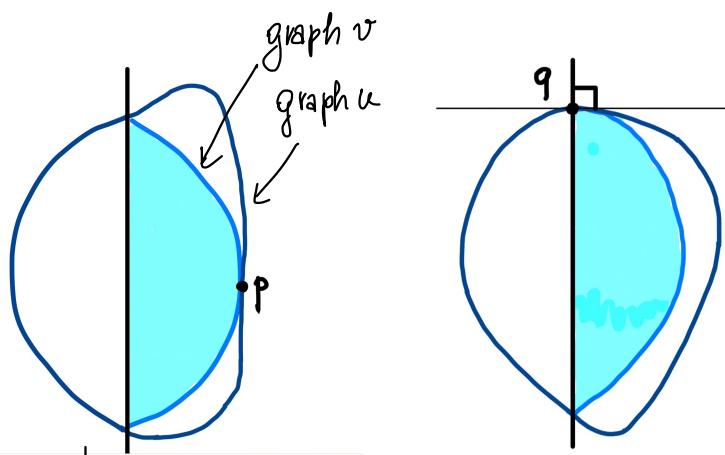
- false if  $\Omega$  is not bounded ( $\Omega$  half space)
- false if  $\Omega$  is not connected ( $\Omega = B_1 \sqcup B_2$ )
- ??? if  $\Omega$  is not  $C^2$  ( $H_\Omega$  ???)
- false if  $\partial\Omega$  not embedded (Wentzels)

Proof. By moving planes

Start



Stop.



1) Interior tangency

2) Orthogonality

Goal:  $w := u - v$ ,  $\boxed{w \equiv 0 \text{ near } p/q}$

We know:  $w(p) = 0$ ,  $w \leq 0 \text{ near } p$ .

$$H_2 = \frac{1}{n-1} \operatorname{div} \left( \frac{\nabla u}{\sqrt{1+|\nabla u|^2}} \right) = \frac{1}{n-1} \left( \frac{\Delta u}{\sqrt{1+|\nabla u|^2}} - \frac{\nabla^2 u \cdot \nabla u \cdot \nabla u}{(1+|\nabla u|^2)^{3/2}} \right)$$

↓

$$\sum_{i,j} a_{ij}(x) \partial_{ij}^2 w + \sum_k b_k(x) \partial_k w = 0 \quad \forall w \in U(p/q)$$

uniformly elliptic with bounded coefficients

- 1) Interior tangency :  $w$  takes its max at an interior point  
 $\Rightarrow$  by the STRONG MAX PRINCIPLE,  $w=0$  near p

- 2) Orthogonality :  $w$  takes its max at a boundary point

$\Rightarrow$  by HOPF BOUNDARY POINT LEMMA

$\frac{\partial w}{\partial \nu}(q) < 0 \quad \leftarrow$  ruled out by orthogonality

$w = 0 \quad \text{near } q$

Conclusion :  $\Omega$  has a plane of symmetry  
 in every direction.

2. Link with the isoperimetric inequality -  
rigidity for sets with finite perimeter

Let  $\Omega$  be as in Alexandrov Thm.

$$\mathcal{Q}(\Omega) := \frac{\text{Per}(\Omega)}{|\Omega|^{\frac{n-1}{n}}} \quad \text{isoperimetric quotient}$$

Def.  $\Omega$  is a critical set for  $\mathcal{Q}$  if

$$\left. \frac{d}{dt} \mathcal{Q}(\Omega_t) \right|_{t=0} = 0 \quad \forall \Omega_t = \phi_t(\Omega) \text{ perturbation of } \Omega \\ \phi_t(x) = x + tX(x) + o(t), \quad X \in C_c^1(\mathbb{R}^n; \mathbb{R}^n)$$

Remark:  $\Omega$  is a critical set for  $\mathcal{Q} \iff H_\Omega(x) = c \quad \forall x \in \partial\Omega$   
 remains true for sets of finite perimeter

$$\begin{aligned} \left. \frac{d}{dt} |\Omega_t| \right|_{t=0} &= \int_{\Omega} \text{div} X \stackrel{(1)}{=} \int_{\partial\Omega} X \cdot \nu_\Omega \, dH^{n-1} \quad \forall X \in C_c^1(\mathbb{R}^n; \mathbb{R}^n) \\ \left. \frac{d}{dt} \text{Per}(\Omega_t) \right|_{t=0} &= \int_{\Omega} \underbrace{\text{div}_{\nu_\Omega} X}_{\text{div } X - D_\Omega \cdot \nabla X[\nu_\Omega]} \stackrel{(2)}{=} \int_{\Omega} H_\Omega X \cdot \nu_\Omega \, dH^{n-1} \\ &\quad L_{loc}^1(\partial\Omega; dH^{n-1}) \end{aligned}$$

$$\forall X \in C_c^1(\mathbb{R}^n; \mathbb{R}^n).$$

Question: Does Alexandrov Thm hold for sets with finite perimeter?

Thm. [Delgadino-Maggi, Anal & PDE's 2019]

Among sets with finite vol and finite per,

the unique critical sets for  $\Omega$

(or sets with constant distributional mean curvature)

are finite unions of equal balls.

Idea of the proof

HEINZEN - KARCHER INEQUALITY :  $\Omega \subset \mathbb{C}^n$  with  $H_2 > 0$

$$|\Omega| \leq \frac{n-1}{n} \int_{\partial\Omega} \frac{1}{H_2} dH^{n-1}, \text{ with } = \Leftrightarrow \Omega = B$$

$$H_2 = c \text{ on } \partial\Omega \Rightarrow \int_{\partial\Omega} H_2 x \cdot v = \int_{\partial\Omega} c x \cdot v \Rightarrow c = \frac{n-1}{n} \frac{\text{Per}(\Omega)}{|\Omega|}$$

$\parallel$   
 $(n-1) \text{Per}(\Omega)$        $c n |\Omega|$



### 3. Interplay with PDE's

- Elliptic PDE's

Thm. [Serrin, ARMA 1971]

Consider the overdetermined problem:

$$\begin{cases} -\Delta u = 1 & \text{in } \Omega \\ u = 0 & \text{on } \partial\Omega \\ |\nabla u| = e & \text{on } \partial\Omega \end{cases}$$

$\exists$  solution  $\Leftrightarrow \Omega = B$

- Parabolic PDE's

Thm. [Magnanini-Jakaguchi, Ann. of Math 2002]

Consider hatzoh ball soup problem:

$$\begin{cases} u_t - \Delta u = 0 & \text{in } \Omega \times (0, +\infty) \\ u = 0 & \text{in } \Omega \times \{0\} \\ u = 1 & \text{on } \partial\Omega \times (0, +\infty) \end{cases}$$

The isothermic surfaces  $\{u(\cdot, t) = \text{const}\}$  are stationary

$\Rightarrow \Omega = B$

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Theorem. [Magnanini - Prejapati - Sakaguchi, TAMS 2006]

Consider the problem:

$$\begin{cases} u_t - \Delta u = 0 & \text{in } \mathbb{R}^m \times (0, +\infty) \\ u(x, 0) = \chi_{\Omega}(x) & \text{in } \mathbb{R}^m \end{cases}$$

$\partial\Omega$  is stationary isothermic  $\Leftrightarrow \Omega$  is  $B$ -dense  
 $(u(x, t) = \alpha(t) \quad \forall x \in \partial\Omega, \forall t \in (0, +\infty))$

Def.  $\Omega$  is  $B$ -dense  $\Leftrightarrow \forall r > 0 \exists c = c(r)$  such that  
 $|\Omega \cap B_r(x)| = c(r) \quad \forall x \in \partial\Omega$

Question: let  $\Omega$  be bounded (measurable)

$\Omega$  is  $B$ -dense  $\stackrel{??}{\Rightarrow} \Omega = B$

as  $r \rightarrow 0^+$

$$\bullet |\Omega \cap B_r(x)| \stackrel{\downarrow}{=} \frac{1}{2} \omega_m r^m - \gamma_m H_\nu(x) r^{m+1} + o(r^{m+1})$$

[Hulin - Troyanov, Amer. J. Math., 2003]

#### 4. Rigidity for K-dense domains

Let  $\Omega$  be a set of finite Lebesgue measure,  
let  $K$  be a convex body with  $0 \in \text{int}(K)$

Def.  $\Omega$  is  $K$ -dense if  $|\Omega \cap (x+rK)| = c(r) \quad \forall x \in \partial\Omega, \forall r > 0$

Question: what can be said about  $\Omega$  (and  $K$ ) ?

Thm. [Mazzonini-Marini, Proc. Roy Soc Edinburgh 2016]

$\Omega$  is  $K$ -dense  $\Leftrightarrow \Omega$  and  $K$  are homothetic ellipsoids  
(In particular, if  $K$  is a ball,  $\Omega$  is a ball).

Idea of the proof.

$$|\Omega \cap (x+rK)| = |\Omega| + \underbrace{\mathbb{W}(x)}_{\substack{\uparrow \\ \Omega, K}} (r_{\Omega, K}(x) - r)^{\frac{n+1}{2}} + o(\dots)$$

$$\text{as } r \rightarrow r_{\Omega, K}(x) = \inf \{r > 0 : \Omega \subseteq x+rK\}$$

$$\mathbb{W}(x) = \text{const} \Leftrightarrow \begin{cases} \Omega \text{ and } K \text{ are homothetic} \\ G_K = e^{\Phi_K^{\frac{n+1}{2}}} \Rightarrow K \text{ is an ellipsoid.} \end{cases}$$

$\uparrow$                        $\uparrow$                       Petty Thm.  
 gaussian curvature      support function



## 5. A new rigidity problem.

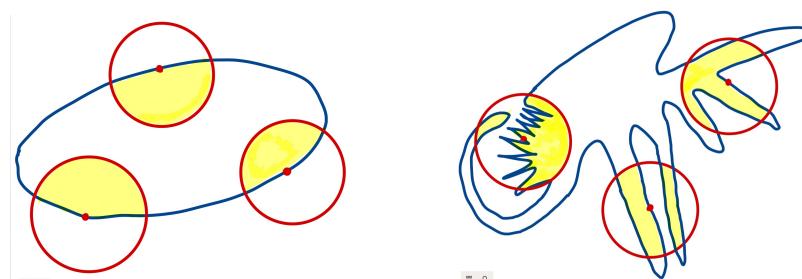
Fix  $r > 0$ .

Characterize measurable sets  $\Omega \subseteq \mathbb{R}^m$ , with  $|\Omega| < +\infty$ , such that

$$\boxed{|\Omega \cap B_r(x)| = c \quad \forall x \in \partial^* \Omega} \quad \leftarrow \Omega \text{ is "r-critical"}$$

$$(\partial^* \Omega)_{n1} := \left\{ x \in \mathbb{R}^m : \limsup_{r \rightarrow 0} \frac{|\Omega \cap B_r(x)|}{r^n} > 0, \quad \limsup_{r \rightarrow 0} \frac{|\Omega \cap B_r^c(x)|}{r^n} > 0 \right\}$$

$\partial \Omega$



## Historical note (on the origins of the problem)

- [Cimmino, Rend. Accad. Naz. Lincei 1932]:

Is it possible to characterize smooth surfaces  $\Gamma = \partial\Omega$  in  $\mathbb{R}^3$  such that

$$H^2(\Omega \cap \partial B_r(x)) = 2\pi r^2 \quad \forall x \in \Gamma, \quad \forall r > 0 \text{ suff. small}$$

- [Nitsche, Analysis 1995]

The only smooth surfaces with this property are:  
the plane and the right helicoid

## More references (on the noncompact case)

- [Meeks - Rosenberg, Ann. of Math. 2005]

The plane and the right helicoid are the unique  
simply connected minimal surfaces embedded in  $\mathbb{R}^3$

- [Kapouleas, Ann. of Math. 1990]

A general construction of CMC surfaces in  $\mathbb{R}^3$   
(many noncompact examples are embedded!)

Back to our problem:

Characterize measurable sets  $\Omega \subseteq \mathbb{R}^n$ , with  $|L\Omega| < +\infty$ , such that

$$\boxed{|L\Omega \cap B_r(x)| = c \quad \forall x \in \partial^* \Omega} \quad \leftarrow \Omega \text{ is "r-critical"}$$

Generalized version:

$$|L\Omega \cap B_r(x)| = \int_{\Omega} \chi_{B_r(x)}(y) dy = \int_{\Omega} \chi_{B_r(0)}(x-y) dy$$

$\chi_{B_r(0)}$  ms  $h: \mathbb{R}^n \rightarrow \mathbb{R}_+$  radially symmetric

Characterize measurable sets  $\Omega \subseteq \mathbb{R}^n$  such that

$$\boxed{\int_{\Omega} h(x-y) dy = c \quad \forall x \in \partial^* \Omega} \quad \leftarrow \Omega \text{ is "h-critical"}$$

## 6. Link with Riesz rearrangement inequality

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- Given  $h: \mathbb{R}^n \rightarrow \mathbb{R}_+$  radially symmetric

$$\underbrace{\iint_{\Omega \times \Omega} h(x-y) dx dy}_{J_h(\Omega)} \leq \iint_{\Omega^* \times \Omega^*} h(x-y) dx dy$$

" ball with  $|\Omega^*| = |\Omega|$

- $\Omega$   $h$ -critical  $\Leftrightarrow \frac{d}{dt} J_h(\Omega_t) \Big|_{t=0} = 0 \quad \forall \Omega_t = \phi_t(\Omega)$  volume preserving
- 

Nonlocal perspective:

$$J_h(\Omega) = e - \underbrace{\iint_{\Omega \times \Omega^c} h(x-y) dx dy}_{h\text{-Per}(\Omega)}$$

- Riesz inequality  $\rightsquigarrow h\text{-Per}(\Omega) \geq h\text{-Per}(\Omega^*)$

$$\begin{aligned} \iint_{\Omega} h(x-y) dy &\rightsquigarrow \text{nonlocal } h\text{-mean curvature} \\ \left( \int_{\mathbb{R}^n} h(x-y) [\chi_{\Omega^c}(y) - \chi_{\Omega}(y)] dy \right) \end{aligned}$$

[Bourgain - Brézis - Mironescu, Optimal Control & PDES, 2001]

[Maton - Rossi - Toledo, Birkhäuser 2019]

4. Fractional rigidity  $\left( h(x) = \frac{1}{|x|^{n+2s}}, s \in (0, \frac{1}{2}) \right)$  ✓<sup>13</sup>

$$P_{\text{Fr}}(\Omega) = \int_{\Omega} \int_{\Omega^c} \frac{1}{|x-y|^{n+2s}} dx dy \quad (\Omega \subset \mathbb{C}^{1,\alpha}, \alpha > 2s)$$

$$H_s(\Omega) = \int_{\mathbb{R}^n} \frac{\chi_{\Omega^c}(y) - \chi_{\Omega}(y)}{|x-y|^{n+2s}} dy$$

[Caffarelli - Souganidis, CPAM 2008]

[Caffarelli - Roquejoffre - Jain, CPAM 2010]

- Fractional isoperimetric inequality:

$$P_{\text{Fr}}(\Omega) \geq P_{\text{Fr}}(\Omega^*) \quad [\text{Frank-Lieroinger, JFA 2008}]$$

- Fractional Alexandrov Theorem:

$\Omega$  bounded open set of class  $C^{1,\alpha}$  with  $H_s = c$  on  $\partial\Omega \Rightarrow \Omega = B$

[Cabré - Fall - Jola Morales - Weth, J. Reine Angew. Math. 2018]

[Cirillo - Figalli - Maggi - Novaga, " " " " ]

→ no bubbling!

→ proof by moving planes.

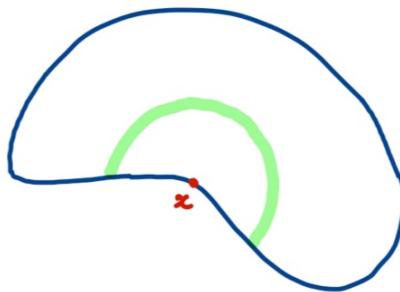
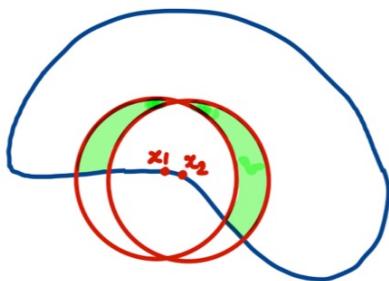
## 8. Rigidity for r-critical sets

The Kernel  $h = \chi_{B_r(0)}$  is:

- BOUNDED
- COMPACTLY SUPPORTED
- DISCONTINUOUS

Def. A measurable set  $\Omega$  is  $r$ -nondegenerate if

$$\inf_{x_1, x_2 \in \partial^* \Omega} \frac{|\Omega \cap (B_r(x_1) \Delta B_r(x_2))|}{|x_1 - x_2|} > 0$$



Lemma :

$\Omega$  open connected  $\Rightarrow \Omega$   $r$ -nondegenerate  $\forall r < \text{diam } \Omega$

- same for  $\Omega$  of finite perimeter indecomposable
- if  $\Omega = \bigcup_i \Omega_i$   $\Rightarrow r < \inf_i (\text{diam } \Omega_i)$   
 $\uparrow$   
 components of  $\Omega$

Thm. [Buehr-F., ArXiv Preprint 2021]

Let  $r > 0$ , and let  $\Omega \subseteq \mathbb{R}^n$  be measurable with  $|\Omega| < +\infty$ .

Assume  $\Omega$  is  $r$ -critical and  $r$ -nondegenerate.

Then  $\Omega$  is equivalent to a finite union of equal balls, of radius  $R > \frac{r}{2}$ , at mutual distance larger than or equal to  $r$ .

"Regular" cases:

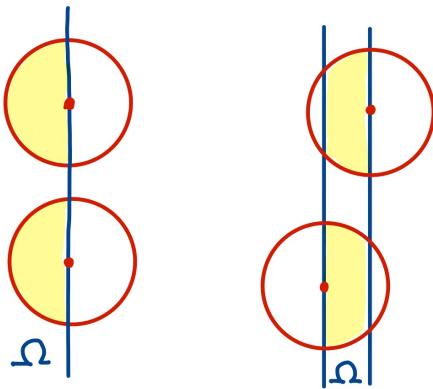
- $\Omega$  open connected set, with  $|\Omega| < +\infty$   
 $\Omega$   $r$ -critical,  $r < \text{diam } \Omega \Rightarrow \Omega = B$ .
- $\Omega$  of finite perimeter immeasurable, with  $|\Omega| < +\infty$   
 $\Omega$   $r$ -critical,  $r < \text{diam } \Omega \Rightarrow \Omega = B$ .

Remark : The same result holds if  $B \rightsquigarrow E$  ellipsoid

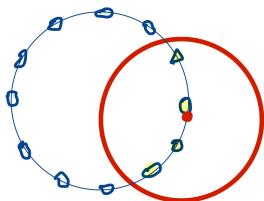
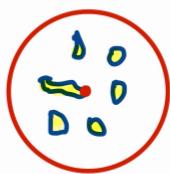
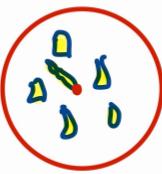
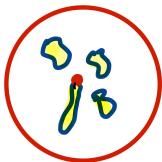
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# Sets escaping from rigidity (though r-critical)

- Sets of infinite measure



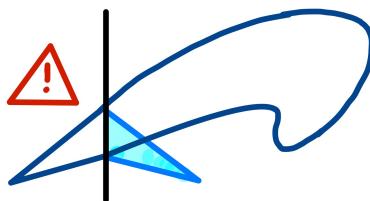
- r-degenerate sets



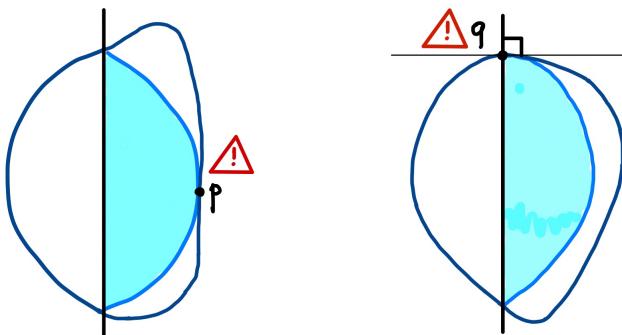
Proof:

Classical moving planes fail

- Start:



- Stop:

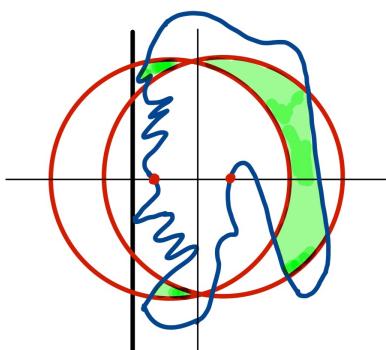
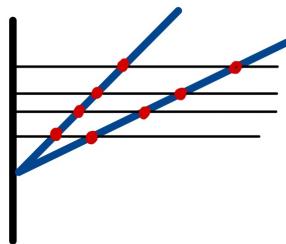


- Conclusion:



## New moving planes

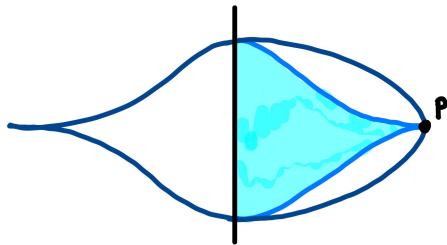
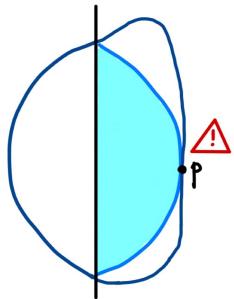
- Start: Under the hypotheses of the Thm, for  $t \ll 1$   
 $R_t \subseteq \Omega$  and  $\Omega_t \cup R_t$  is Steiner symmetric about  $H_t$   
(By contradiction)



• Stop:

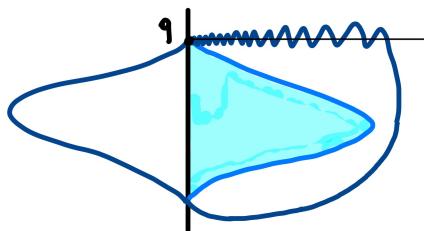
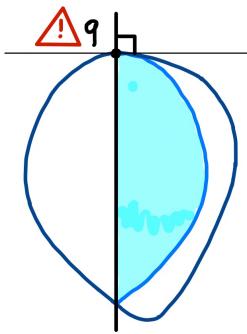
1) Interior tangency  $\Rightarrow$  Away contact

$$p \in (\overline{\partial^* Q} \cap \overline{\partial^* R_t}) \setminus H_t$$



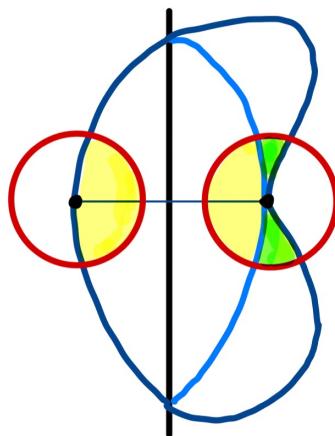
2) Orthogonality  $\Rightarrow$  Close contact

$$q = \lim_n q_m^1 = \lim_n q_m^2, \quad \Pi_{H_t}(q_m^1) = \Pi_{H_t}(q_m^2)$$



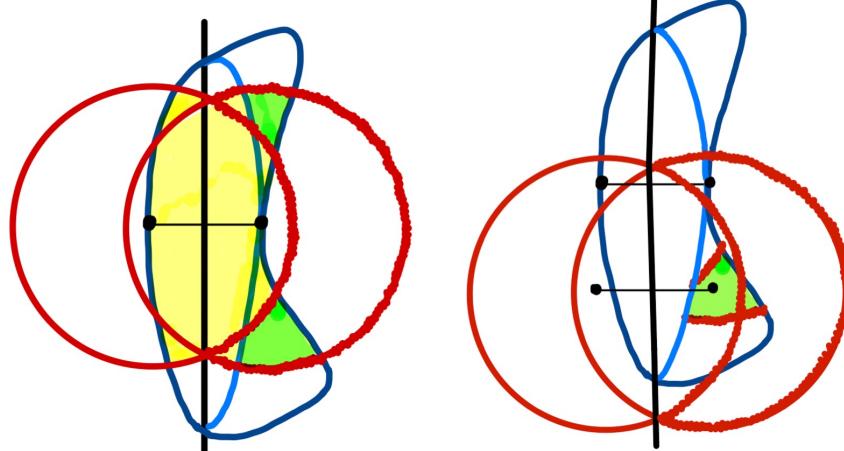
i) Away contact  $\Rightarrow$  local symmetry

Easy situation:  $B_r(p) \cap B_r(p') = \emptyset$  (use r-criticality)



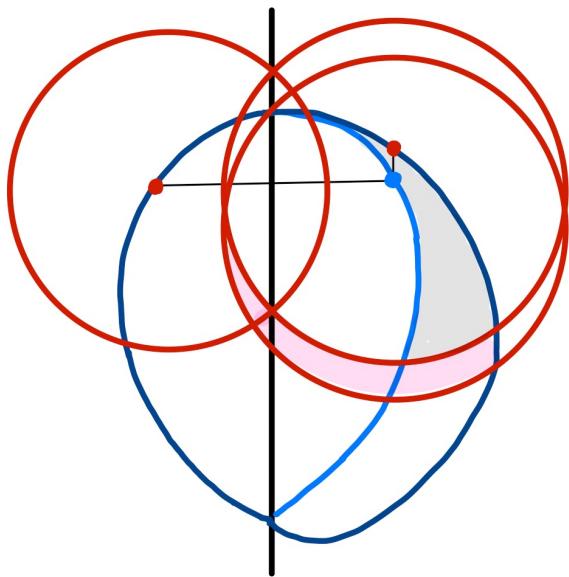
More delicate situation:  $B_r(p) \cap B_r(p') \neq \emptyset$

use symmetry inside r-moons and a ping-pong game



2) Close contact: not possible without away contact

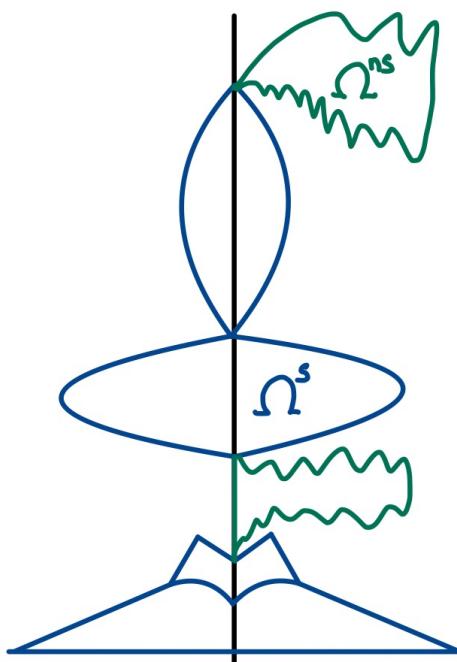
(Based on a local analysis exploiting monogeneracy)



## • Conclusion

✓

- Under some "connectedness" hyp  $\Rightarrow \Omega = B$
- In general:  $\Omega = \Omega^s \cup \Omega^{ns}$ , with  $\Omega^s$  OPEN  $\Rightarrow$ 
  - $\Omega^s$  finite union of balls
  - $\Omega^{ns}$  Lebesgue negligible



## 9. Rigidity for general Kernels

Let  $h \in L^1_{loc}(\mathbb{R}^m; \mathbb{R}_+)$  radially symmetric nonincreasing.

Let  $\Omega \subseteq \mathbb{R}^m$  be measurable, with  $|\Omega| < +\infty$ .

$$|\Omega \cap B_r(x)| = e^{-\int_{\Omega} h dy} \quad \forall x \in \partial^* \Omega$$



$$\int_{\Omega} h(x-y) dy = e^{-\int_{\Omega} h dy} \quad \forall x \in \partial^* \Omega$$

$\Omega$  is  $h$ -critical

$$\inf_{x_1, x_2 \in \partial^* \Omega} \frac{|\Omega \cap (B_{r_1}(x_1) \Delta B_{r_2}(x_2))|}{|x_1 - x_2|} > 0$$



$$\inf_{x_1, x_2 \in \partial^* \Omega} \int_{\Omega} \frac{|h(x_1-y) - h(x_2-y)| dy}{|x_1 - x_2|} > 0$$

$\Omega$  is  $h$ -nondegenerate

Remark:

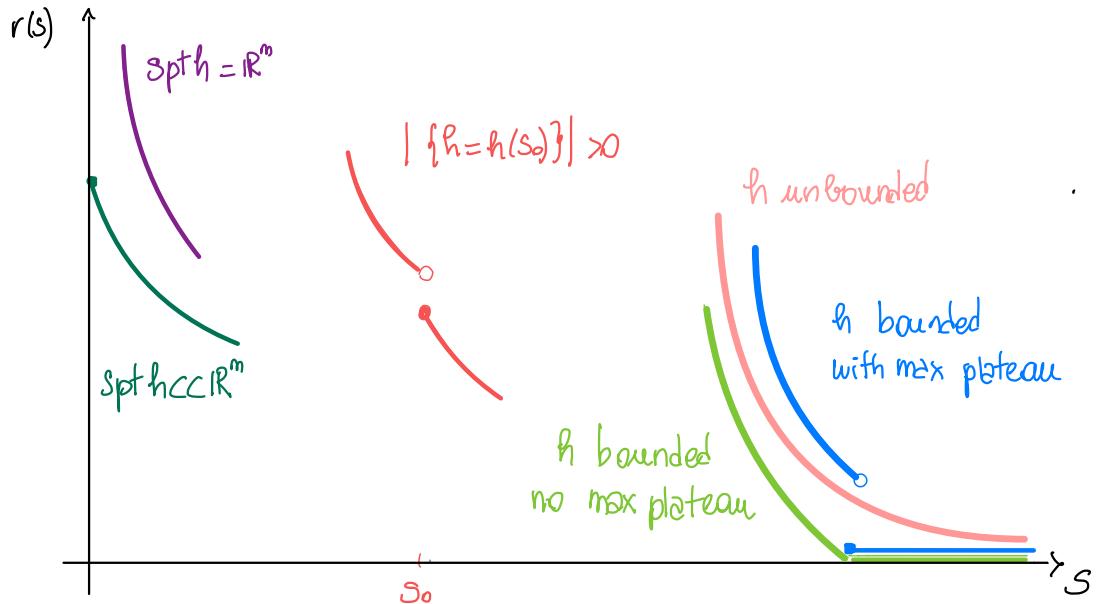
$$\int_{-\infty}^{\infty} h(x-y) dy = \int_0^{+\infty} |\Omega \cap B_{r(s)}(x)| ds, \quad \text{where}$$

$$\boxed{B_{r(s)}(0) = \{h > s\}}$$

If  $\Psi: \mathbb{R}_+ \rightarrow \mathbb{R}_+$  is defined by  $\Psi(x) := h(|x|)$

$r(s) = \mathcal{L}^1(\{x \in \mathbb{R}^m : \Psi(x) > s\})$  DISTRIBUTION FUNCTION OF  $\Psi$

## Properties of the map $s \mapsto r(s) = \mathcal{L}^1(\{\varphi > s\})$



- monotone decreasing, with  $\sup_{(0,+\infty)} r(s) = r(0^+) = \mathcal{L}^1(\{s \in \text{spt } \varphi\})$
- right continuous  
continuous at  $s=s_0 \Leftrightarrow \mathcal{L}^1(\{\varphi = \varphi(s_0)\}) = 0$
- $r(s) = 0 \quad \forall s \geq \text{ess sup } \varphi$
- $\gamma := \mathcal{L}^1(\{\varphi = \text{ess sup } \varphi\})$
- $\delta := \begin{cases} \sup \{s : r(s) > \text{diam } \Omega\} & \text{if } r(0) > \text{diam } \Omega \\ 0 & \text{if } r(0) \leq \text{diam } \Omega \end{cases}$
- $r(\delta) < \text{diam } \Omega \Leftrightarrow \begin{cases} \mathcal{L}^1(\{\varphi = \varphi(\text{diam } \Omega)\}) > 0 \\ r(0) < \text{diam } \Omega \end{cases}$

Theorem [Buer - F., ArXiv Preprint 2022]

Let  $\Omega \subseteq \mathbb{R}^n$  with  $|\Omega| < +\infty$  be h-critical and h-nondegenerate

Assume that  $\int_1^{+\infty} r(s)^{n-1} ds < +\infty \quad (*)$

Then  $\Omega$  is a finite union of balls  $B_i$  of the same radius  $R$ .

Moreover:  $R > \frac{\eta}{2}$  and  $\text{dist}(B_i, B_j) \geq r(\emptyset)$

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### On the assumptions

- About condition (\*) (improved integrability)
 
$$\int_K h = \int_0^{+\infty} |K \cap B_{r(s)}| ds \Rightarrow h \in L^1_{loc} \text{ provided } \int_1^{+\infty} r(s)^n ds < +\infty$$
- Lemma (about mondegeneracy)
  - $\Omega$  open connected,  $r < \text{diam } \Omega \Rightarrow \Omega$  r-nondegenerate
  - $\Rightarrow \Omega$  open connected,  $\eta < \text{diam } \Omega \Rightarrow \Omega$  h-nondegenerate

### On the geometric impact of the Kernel

- R is bounded from below  $\Rightarrow \eta > 0$  (maximal plateau)
- Multiple balls are allowed  $\Rightarrow r(\emptyset) < \text{diam } \Omega$

Example 1

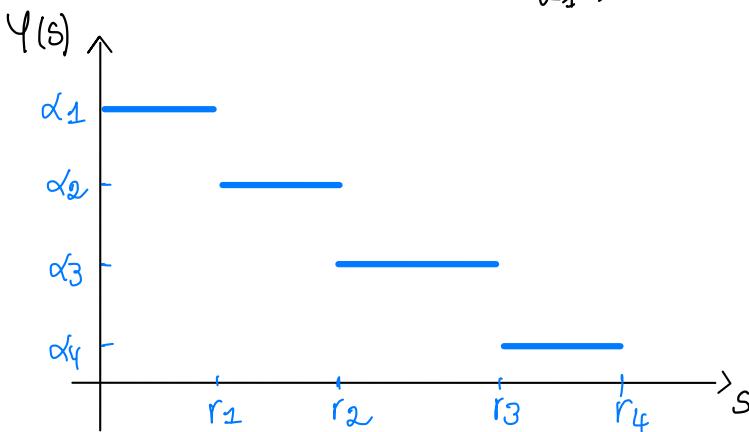
$$h(x) = \frac{1}{|x|^{\alpha}}$$

improved integrability OK for  $\alpha < n-1$

- $\eta = 0 \Rightarrow R > 0$
- $r(s) = +\infty \Rightarrow$  multiple balls not allowed.

Example 2

$$h(x) = \sum_{i=1}^N \alpha_i \chi_{B_{r_i}(0) \setminus B_{r_{i-1}}(0)} \quad \begin{matrix} \alpha_1 > \dots > \alpha_N \\ r_1 < \dots < r_N \end{matrix}$$



improved integrability OK (since  $h$  is bounded)

- $\eta = r_1 \Rightarrow R > \frac{r_1}{2}$
- $r(s) \in \{r_1, \dots, r_N\} \Rightarrow$  multiple balls allowed

On the relationship with Burchard's work

[Burchard, Ann of Math 1996]

- Characterization of equality cases in general Riesz inequality:

$$\int_{\mathbb{R}^n} \int_{\mathbb{R}^n} f(x) g(y) h(x-y) dx dy \leq \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} f^*(x) g^*(y) h^*(x-y) dx dy$$

$$(f=g=X_\Omega, h=h^* \Rightarrow \int_{\Omega} \int_{\Omega} h(x-y) dx dy \leq \int_{\Omega^*} \int_{\Omega^*} h(x-y) dx dy)$$

→ Case of characteristic functions:  $f=X_{\Omega_1}, g=X_{\Omega_2}, h=X_{\Omega_3}$

$\Rightarrow \Omega_i$  are balls (homothetic ellipsoids), or homothetic convex bodies

In particular: if  $\Omega_3$  is a ball  $\Rightarrow \Omega_1, \Omega_2$  are balls

→ Case of arbitrary functions:

Almost all level sets must produce equality (hard to check!)

In particular: if  $f=g=X_\Omega$ ,

only for  $h$  STRICTLY DECREASING, it follows that  $\Omega$  is a ball

$\Rightarrow$  for  $h$  non strictly decreasing, our result gives some NEW INFORMATION about possible maximizers (CRITICAL SETS)

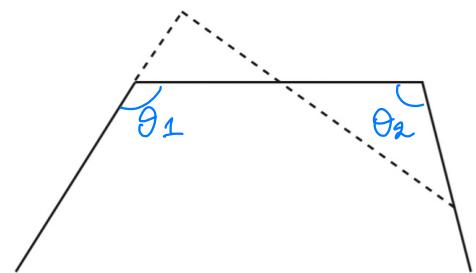
even though the ADDITIONAL HYPOTHESES of improved integrability and  $h$ -monotonicity.

## 10. Rigidity for polygons

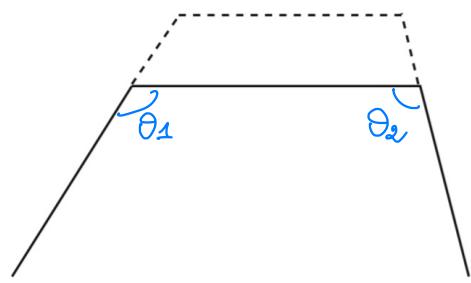
- Polygonal isoperimetric inequality  $Q(P) = \frac{|OP|}{|P|^{\frac{1}{2}}}$
- $Q(P) \geq Q(P_N^*)$   $\forall P \in \mathcal{O}_N = \{N\text{-gons}\}$   
 $\uparrow$   
 regular  $N$ -gon

Moreover,  $P_N^*$  is the unique critical  $N$ -gon, namely the unique  $N$ -gon such that

$$\frac{d}{dt} Q(P_t) \Big|_{t=0} = 0 \quad \text{for the following perturbations } \{P_t\}:$$



ROTATION AROUND MID-POINT



PARALLEL MOVEMENT

$$\begin{cases} \frac{d}{dt} |OP_t| \Big|_{t=0} = \frac{1}{2} (f(\theta_1) - f(\theta_2)) \\ \frac{d}{dt} |P_t| \Big|_{t=0} = 0 \end{cases}$$

$$\begin{cases} \frac{d}{dt} |OP_t| \Big|_{t=0} = f(\theta_1) + f(\theta_2) \\ \frac{d}{dt} |P_t| \Big|_{t=0} = l \end{cases}$$

$$\left( f(\theta) = \cot\theta + \frac{1}{\sin\theta} \right)$$

✓29

Question : what happens in the polygonal setting  
when dealing with Finsz type energies (or nonlocal perimeters) ?

$$J_h(P) = \iint_{P \times P} h(x-y) dx dy \quad P \in \mathcal{P}_N = \{N\text{-gons}\}$$

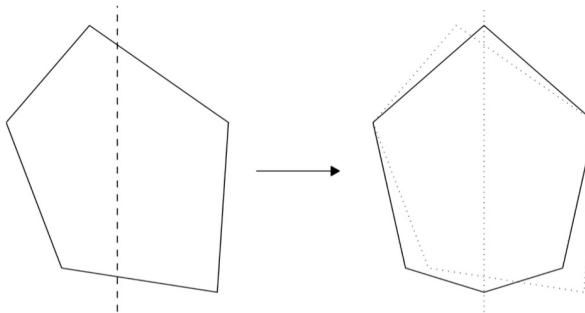
① Is  $P_N^*$  a minimizer under area constraint ?

???

$$J_h(P) \leq J_h(P_N^*) \quad \forall P \in \mathcal{P}_N = \{N\text{-gons}\}, |P| = |P_N^*|$$

CURRENTLY OPEN, EXCEPT FOR  $N=3, 4$  (true)

The proof is based on Steiner symmetrization



$N \geq 5 \Rightarrow$   
proof fails!

[ Bonacini - Cristofori - Topaloglu , JGA 2022 ]

[ Polya - Szego , Isop. inequalities in math. Physics 1951 ]

[ Brascamp - Rieb - Ruttinger , JFA 1974 ]

② Is  $P_N^*$  critical for  $J_h$  under area constraint? YES  
 Is it unique ??? ( $\rightarrow$  answer to question ①!)

CURRENTLY OPEN, EXCEPT FOR  $N=3, 4$  (true)

Setting  $v_p(x) = \int_P h(x-y) dy$ ,

the stationarity conditions read:

### ROTATION AROUND MID-POINT

$$(*) \int_{\overline{P_i M_i}} v_p(x) |x - M_i| dH^1 = \int_{\overline{P_{i+1} M_i}} v_p(x) |x - M_i| dH^1 \quad \forall i=1, \dots, N$$

mid-point of  $\overline{P_i P_{i+1}}$

### PARALLEL MOVEVENT independent of $i$

$$(**) \int_{\overline{P_i P_{i+1}}} v_p(x) dH^1 = \downarrow H^1(\overline{P_i P_{i+1}}) \quad \forall i=1, \dots, N$$

Thm [Bouzeini-Cristofoli-Topaloglu, JGA 2022]

The regular triangle/square is the unique

triangle/quadrilateral satisfying (\*) - (\*\*).

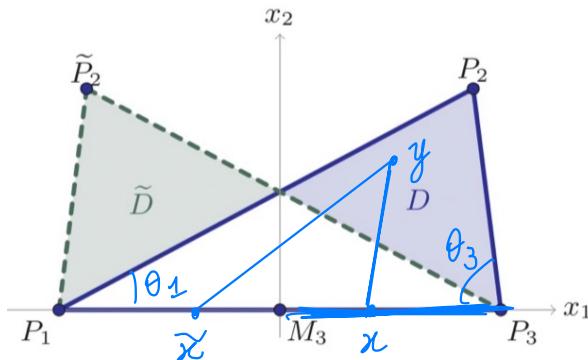
(under some regularity hypotheses on the kernel)

Proof by reflection ( $N=3$ )

3

ref. [ F. - Velichkov , Trans AMS 2019 ]

By contradiction



$$\varphi_{\tilde{P}}(x) - \varphi_P(x) = \int_{\tilde{P}} h(x-y) dy - \int_P h(x-y) dy$$

$$= \int_{\tilde{D}} h(x-y) dy - \int_D h(x-y) dy$$

$$= \int_D [h(\tilde{x}-y) - h(x-y)] dy < 0$$

¶

$\uparrow h$  strictly  
decreasing

$$\int_{M_3 P_3} \varphi_{\tilde{P}}(x) |x-M_3| < \int_{M_3 P_3} \varphi_P(x) |x-M_3|$$

$$\int_{P_1 M_3} \varphi_{\tilde{P}}(x) |x-M_3|$$

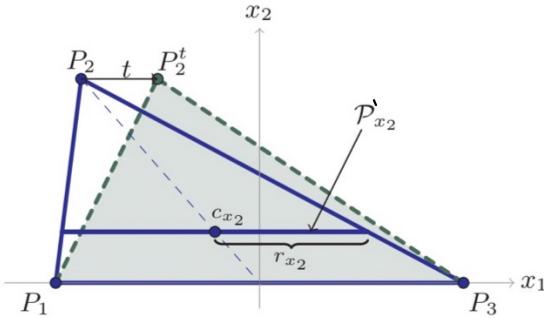
AGAINST (\*)

□

Proof by slices ( $N=3$ )

cf. [Carrillo-Hittmeier-Volzone-Yar, Inventiones 2019]

By contradiction:



$$\begin{aligned}
 J_h(P) &= \iint_{P \cap P} h(x-y) dx dy \\
 &= \int_R \int_R \underbrace{I_{h_\ell}(P^{x_2}, P^{y_2})}_{\text{!!}} dx_2 dy_2 \\
 &\quad \int_{P^{x_2}} \int_{P^{y_2}} h_\ell(x_1-y_1) dx_1 dy_1
 \end{aligned}$$

$$\begin{cases} h_\ell(r) = h(\sqrt{r_1^2 + r_2^2}) \\ \ell = |x_2 - y_2| \end{cases}$$

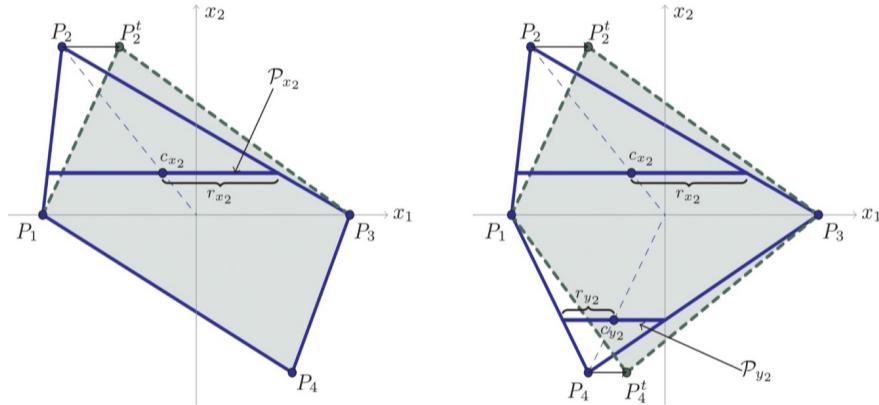
$$\frac{d}{dt} J_h(P_t) \Big|_{t=0} = \int_R \int_R \underbrace{\frac{d}{dt} I_{h_\ell}(P_t^{x_2}, P_t^{y_2})}_{\text{!!}} dx_2 dy_2 \geq C' > 0$$

VII

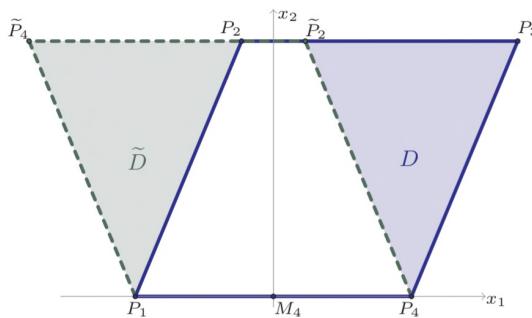
$$C \min \{r_{x_2}, r_{y_2}\} |c_{x_2} - c_{y_2}| |x_2 - y_2|$$

Proof (N=4)

By slices  $\Rightarrow$  rhombus



By reflection  $\Rightarrow$  square



Open problems

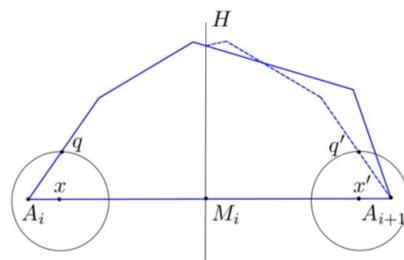
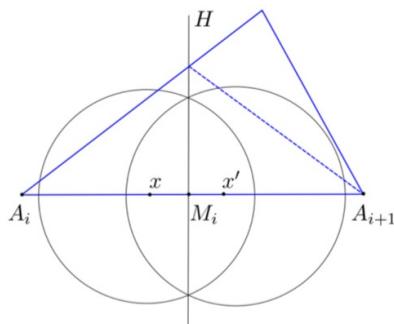
- ① Prove rigidity for more general kernels, in particular not satisfying improved integrability
- ② Prove rigidity for polygons when  $\mathbf{h} = \mathbf{1}_{B_r(0)}$ :

Given  $r > 0$ , the regular  $N$ -gon is the unique  $N$ -gon such that

$$(*) \quad \int_{A_i}^{A_{i+1}} |P_n \cap B_r(x)| dH^1(x) = c H^1(A_i \overline{A_{i+1}}) \quad \forall i=1,\dots,N$$

$$(**) \quad \int_{A_i}^{M_i} |P_n \cap B_r(x)| |x - M_i| dH^1 = \int_{M_i}^{A_{i+1}} |P_n \cap B_r(x)| |x - M_i| dH^1 \quad \forall i=1,\dots,N$$

- True for  $N=3$
- True for every  $N$  if  $r$  is small enough



- ③ Prove some stability result for sets with "almost constant" nonlocal mean curvature.

### Stability of Alexandrov type results

- [ Ciraolo-Maggi CPAM 2017, Ciraolo-Vettoni JEMS 2018 ]  
STABILITY OF CLASSICAL ALEXANDROV
- [ Ciraolo- Figalli-Maggi-Novaga, J. Reine Angew. Math 2018 ]  
STABILITY IN THE FRACTIONAL CASE

### Quantitative Riesz type inequalities

- [ Christ, ArXiv Preprint 2017 ]
- [ Frank-Lieb, ArXiv Preprint 2019 & Ann. SNS Pisa 2021 ]

Many Thanks

for your attention !

→ For any comment/question, e-mail to:

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