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Def: A collection τ of subsets of a set X is a *topology* for X if

- a) $\phi, X \in \tau$,
- b) the intersection of any two elements of τ is an element of τ , and
- c) the union of any subcollection of τ is an element of τ .

Def: A set X together with a topology for X is called a *topological space*.

Def: If (X, τ) is a topological space, $X \supseteq A$, and $p \in X$, then p is an *accumulation point* of A if every $U \in \tau$ with $p \in U$ meets A in a point other than p , i.e., if $U \in \tau$ and $p \in U$ imply $U \cap A \not\subseteq \{p\}$.

Def: The set A' of all accumulation points of the set A is called the *derived set* of A . The set $A \cup A'$ is called *the closure* of A and is denoted by $\text{cl } A$ or \bar{A} .

Def: If $A = \text{cl } A$, then A is called a *closed set*.

Prop. 1.1: A set A is closed if and only if $A' \subseteq A$.

Pf: If $A' \subseteq A$, then $\text{cl}(A) = A' \cup A \subseteq A \cup A = A \subseteq A' \cup A = \text{cl}(A)$, so $A = \text{cl } A$. (The converse is trivial).

Def: A set A is *open* if its complement $X - A (= \{x \in X : x \notin A\})$ is closed.

Thm. 1.2: If (X, τ) is a topological space, a set $U \subseteq X$ is open if and only if $U \in \tau$.

Pf: Let $C = X - U = \{x \in X : x \notin U\}$. Then U is open $\Leftrightarrow C$ is closed $\Leftrightarrow C' \subseteq C$. Suppose first $U \in \tau$. Let $p \in C'$. We must show $p \in C$. If not, then $p \in U$. But then (since $p \in C'$) U meets $C = X - U$ (in a point other than p , even!), a contradiction. Suppose conversely that U is open, i.e., that $C' \subseteq C$. We must show that $U \in \tau$. Let $q \in U$. (If U is empty, then $U \in \tau$.) Since $C' \subseteq C = X - U$, $q \notin C'$. By definition of C' and the fact that $q \notin C'$, there exists $V_q \in \tau$ with $q \in V_q$ and such that $V_q \cap C \subseteq \{q\}$. Since $q \notin C$, we have in fact that $V_q \cap C = \phi$, i.e., $V_q \subseteq X - C = U$. Since $q \in U$ is arbitrary, we have $U = \bigcup_{q \in U} \{q\} \subseteq \bigcup_{q \in U} V_q \subseteq U$, so $U = \bigcup_{q \in U} V_q$. Since each $V_q \in \tau$, the union U lies in τ .

Def: A set N is a *neighborhood of the point* p if there is an open set U such that $p \in U \subseteq N$. A set N is a *neighborhood of the set* S if it is a neighborhood of each point of S .

Remark: If N is open, then clearly N is a neighborhood of each of its points (take $U = N$). If N is a neighborhood of p , and $N \subseteq M$, then clearly M is a neighborhood of p .

Def: The *interior* of a set S is the set of all p such that S is a neighborhood of p . We denote the interior of S by $\text{int } S$.

Thm. 1.3 A point p is a member of $\text{cl } S$ if and only if every neighborhood of p meets S .

Proof: Suppose every neighborhood of p meets S , we need to show that $p \in \text{cl}(S)$. Since $S \subseteq \text{cl } S$, we are done if $p \in S$. So assume $p \notin S$. Let $U \in \tau$ with $p \in U$. Then U is open, so U is a neighborhood of p , so U meets S . Since U is arbitrary subject to $U \in \tau$ and $p \in U$, we have $p \in S'$. Thus $p \in \text{cl } S$. Conversely, suppose $p \in \text{cl}(S)$. Let N be a neighborhood of p , and choose $U \in \tau$ with $p \in U$ and $U \subseteq N$. If $p \in S$, then $p \in N \cap S$, so N meets S . If $p \notin S$, then $p \in S'$, so U meets S (in a point other than p). In particular $N \cap S \neq \phi$.

Thm. 1.4: A set is open if and only if it is a neighborhood of each of its points.

Proof: If S is a neighborhood of each of its points, then for each $x \in S$, choose $V_x \in \tau$ with $x \in V_x \subseteq S$. Then $S = \bigcup_{x \in S} \{x\} \subseteq \bigcup_{x \in S} V_x \subseteq S$, so S is a union of open sets. The converse is obvious.

Thm. 1.5: If (X, τ) is a topological space, then

- a) ϕ and X are closed sets,
- b) the union of two closed sets is closed, and
- c) the intersection of any collection of closed sets is closed.

Proof: Since $U \in \tau \Leftrightarrow U$ is open $\Leftrightarrow X - U$ is closed, this follows immediately from deMorgan's Laws.

Thm. 1.6: The closure of a set is a closed set. (That is, $\text{cl}(\text{cl}(A)) = \text{cl}(A)$.)

Proof: $p \notin \text{cl } A \Leftrightarrow$ some neighborhood of p is disjoint from $A \Leftrightarrow$ some neighborhood of p is contained in $X \setminus A \Leftrightarrow X \setminus A$ is a neighborhood of p , so $X \setminus \text{cl } A = \text{int}(X \setminus A)$. The theorem now follows from:

Thm. 1.7: The interior of a set is an open set.

Proof: If $p \in \text{int } A$, then there exists an open U_p with $p \in U_p \subseteq A$. Clearly, A is a neighborhood of each point of U_p , so $U_p \subseteq \text{int } A$. Thus $\text{int } A = \bigcup_{p \in \text{int } A} \{p\} \subseteq \bigcup_{p \in \text{int } A} U_p \subseteq \text{int } A$. Thus $\text{int } A$ is a union of open sets and hence is open.

Thm. 1.8: If $A \subseteq B$, then $\text{cl } A \subseteq \text{cl } B$.

Proof: Let $A \subseteq B$. Then $p \in \text{cl } A \Leftrightarrow$ every neighborhood of p meets $A \Rightarrow$ every neighborhood of p meets $B \Leftrightarrow p \in \text{cl } B$.

Thm. 1.9: If $A \subseteq F$ and F is closed, then $\text{cl } A \subseteq F$. (Immediate from Thm. 1.8, since $\text{cl } F = F$ if F is closed.)

Thm. 1.10: If $U \subseteq A$ and U is open, then $U \subseteq \text{int } A$.

Proof: If $p \in U \subseteq A$ and U is open, then U is a neighborhood of p , so $p \in \text{int } A$.

Thm. 1.11: $\text{cl } A = \bigcap \{F : A \subseteq F, F \text{ is closed}\}$ and $\text{int } A = \bigcup \{U : U \subseteq A, U \text{ is open}\}$.

Proof: By Theorem 1.7, $\text{int } A \subseteq \bigcup \{U : U \subseteq A, U \text{ open}\}$. By Thm. 1.10 this union is a subset of $\text{int } A$ (since each member is a subset of $\text{int } A$). By Thm. 1.9, $\text{cl } A \subseteq \bigcap \{F : A \subseteq F, F \text{ is closed}\}$. But $\bigcap \{F : A \subseteq F, F \text{ is closed}\} \subseteq \text{cl } A$, since $A \subseteq \text{cl } A$ and $\text{cl } A$ is closed.

Examples 1.12: Suppose X is a set. Each of the following determines a topology τ for X . (Exercise.)

- a) The collection of all subsets of X (the “discrete topology”)
- b) $\tau = \{\emptyset, X\}$ (the “trivial topology”)
- c) $\tau = \{U \subseteq X : X - U \text{ is finite}\} \cup \{\emptyset\}$ (the “cofinite topology”)
- d) $\tau = \{U \subseteq X : X - U \text{ is countable}\} \cup \{\emptyset\}$ (the “cocountable topology”)
- e) Suppose $A \subseteq X$ is fixed. Then $\tau = \{X, A, \emptyset\}$ is a topology.

Def: Let (X, τ) be a topological space. A subset \mathcal{B} of τ is a *base*, or *basis*, for τ if each element of τ is a union of elements of \mathcal{B} . [Example: All open balls in \mathbb{R}^n .]

Prop 1.13: Let \mathcal{B} be a base for τ . Then a point p is an accumulation point of a set S if and only if every member of \mathcal{B} containing p meets S in a point other than p .

Proof: \Leftarrow : Let $U \in \tau$ with $p \in U$. Then U is a union of elements of \mathcal{B} , so p lies in some $B \in \mathcal{B}$ with $B \subseteq U$. By hypothesis, B meets S in a point other than p , so the same is true of U (since $B \cap S \subseteq U \cap S$). The converse is trivial since $\mathcal{B} \subseteq \tau$.

Cor. 1.14: A point p is a member of $\text{cl } S$ if and only if every element of \mathcal{B} containing p meets S .

Proof: Let $p \in \text{cl } S$. If $p \in S$, then for each $B \in \mathcal{B}$ with $p \in B$, $B \cap S \supseteq \{p\}$. If $p \notin S$, then $p \in S'$. By the proposition, each $B \in \mathcal{B}$ with $p \in B$ meets S (in a point other than p). Conversely, suppose each member B of \mathcal{B} containing p meets S . If $p \in S$, then $p \in \text{cl}(S)$, so we may assume $p \notin S$. Then each such B meets S (by hypothesis), and hence meets S

in a point other than p (since $p \notin S$). Hence $p \in S' \subseteq \text{cl } S$.

Thm. 1.15: Suppose X is a set and \mathcal{B} is a collection of subsets of X such that

- a) $X = \cup \mathcal{B}$,
- b) if $B_1, B_2 \in \mathcal{B}$ and $p \in B_1 \cap B_2$, then there exists $B_3 \in \mathcal{B}$ with $p \in B_3 \subseteq B_1 \cap B_2$.

Then the collection τ of all unions of elements of \mathcal{B} is a topology for X .

Proof: Clearly $\emptyset (= \cup \emptyset)$ and $X (= \cup \mathcal{B})$ are in τ . Also clearly, any union of unions of elements of \mathcal{B} is an element of τ , so τ is closed under arbitrary unions. Let $U = \cup \mathcal{C}$, $V = \cup \mathcal{D}$, where $\mathcal{C} \subseteq \mathcal{B}$, $\mathcal{D} \subseteq \mathcal{B}$. Then, $U \cap V = (\cup \mathcal{C}) \cap (\cup \mathcal{D}) = \cup \{C \cap D : C \in \mathcal{C}, D \in \mathcal{D}\}$. ($x \in (\cup \mathcal{C}) \cap (\cup \mathcal{D}) \Leftrightarrow x \in \cup \mathcal{C}$ and $x \in \cup \mathcal{D} \Leftrightarrow x \in C$ and $x \in D$ for some $C \in \mathcal{C}, D \in \mathcal{D} \Leftrightarrow x \in C \cap D$ for some $C \in \mathcal{C}, D \in \mathcal{D}$.) Thus we need only show that for $C \in \mathcal{B}$ and $D \in \mathcal{B}$ the set $C \cap D$ is a union of elements of \mathcal{B} . For each $x \in C \cap D$, let $C_x \in \mathcal{B}$ be such that $x \in C_x \subseteq C \cap D$. Then $C \cap D = \cup \{C_x : x \in C \cap D\}$ (since $C \cap D = \cup_{x \in C \cap D} \{x\} \subseteq \cup_{x \in C \cap D} C_x \subseteq C \cap D$).

Note: If \mathcal{B} is a base for a topology, then this topology is clearly unique.

Def: Suppose X is a set. A real valued function $\rho : X \times X \rightarrow \mathbb{R}$ is a *pseudometric*, or *semimetric*, if

- a) $\rho(x, y) \geq 0$ for all x, y
- b) $\rho(x, y) = \rho(y, x)$ for all x, y
- c) $\rho(x, x) = 0$ for all x
- d) $\rho(x, y) \leq \rho(x, z) + \rho(z, y)$ for all x, y, z .

The pair (X, ρ) is called a *pseudometric space*.

Def: Let (X, ρ) be a pseudometric space, and let $x \in X$, $r > 0$ in \mathbb{R} . The set $C(x; r) = \{y \in X : \rho(x, y) < r\}$ is the *cell of radius r centered at x* (sometimes “ball” instead of “cell”).

Thm. 1.16: Let (X, ρ) be a pseudometric space. Then the collection \mathcal{B} of all cells is a base for a topology.

Proof: If $r_1, r_2 > 0$ in \mathbb{R} , $x_1, x_2 \in X$, consider $p \in C(x_1; r_1) \cap C(x_2, r_2)$. Choose r such that $0 < r < r_1 - \rho(x_1, p)$ and $0 < r < r_2 - \rho(x_2, p)$. (By definition of $C(x_i, r_i)$ $r_i > \rho(x_i, p)$.) Consider $z \in C(p, r)$. We have

$$\rho(x_1, z) \leq \rho(x_1, p) + \rho(p, z) < \rho(x_1, p) + r \leq \rho(x_1, p) + r_1 - \rho(x_1, p) = r_1,$$

so $z \in C(x_1; r_1)$. Similarly $z \in C(x_2; r_2)$. Thus $C(p; r) \subseteq C(x_1; r_1) \cap C(x_2; r_2)$. Clearly X is the union of all the cells in X . Now use Thm. 1.15.

Def: The topology in the preceding theorem is the topology *generated* by the pseudometric ρ . Two pseudometrics are *equivalent* if they generate the same topology.

Examples 1.17: Let X be a set. Then $\rho(x, y) = 0$ for all $x, y \in X$, and $\rho(x, y) = \begin{cases} 1 & \text{if } x \neq y \\ 0 & \text{if } x = y \end{cases}$ define pseudometrics on X .

Examples 1.18: On $X = \mathbb{R}^n$, ρ , r and d are pseudometrics, where

$$\rho(x, y) = \left[\sum_1^n (x_i - y_i)^2 \right]^{1/2}, \quad r(x, y) = \sum_1^n |x_i - y_i|, \quad d(x, y) = \max_i \{|x_i - y_i| : 1 \leq i \leq n\}.$$

Moreover, these three pseudometrics are equivalent. (The topology they generate is the “usual” topology in \mathbb{R}^n .) See Math 4317.

Prop. 1.19: Suppose (X, τ) is a topological space and $A \subseteq X$. Then the collection $\tau_A = \{U \cap A : U \in \tau\}$ is a topology for A . (The topological space (A, τ_A) is called a *subspace* of (X, τ) and τ_A is called the *subspace topology*, or the *relative topology*, for A from τ .)

Proof: Since $A = X \cap A$, $\phi = \phi \cap A$, $(U_1 \cap A) \cap (U_2 \cap A) = (U_1 \cap U_2) \cap A$, and $\bigcup \{U \cap A : U \in \Gamma\} = \{\bigcup \{U : U \in \Gamma\}\} \cap A$, the result is immediate.

Prop. 1.20: Let (A, τ_A) be a subspace of a topological space (X, τ) . Then $F \subseteq A$ is closed with respect to $\tau_A \Leftrightarrow$ there is a τ -closed subset S of X such that $F = S \cap A$.

Proof: Since $F \subseteq A$, clearly A is the disjoint union of F and $A \setminus F$, so $A \setminus F$ and F are complements of one another in A . Let T be any subset of X and write T^c and A^c for $X \setminus T$ and $X \setminus A$ respectively. Then $A \setminus (T \cap A) = A \cap (T \cap A)^c = A \cap (T^c \cup A^c) = (A \cap T^c) \cup (A \cap A^c) = A \cap T^c$. In particular, if $F = S \cap A$ with S τ -closed, then $A \setminus F = A \cap S^c$ with S^c τ -open. Since F and $A \setminus F$ are complements in A , this says that if $F = S \cap A$ with S τ -closed, then F is τ_A -closed. Conversely, suppose F is τ_A -closed, i.e., suppose that its complement $A \setminus F$ in A is τ_A -open. Then $A \setminus F = A \cap U$ with U τ -open. Then $F = A \setminus (A \setminus F) = A \setminus (U \cap A) = A \cap U^c$ (as in the argument with T above), and U^c is τ -closed.

Remarks: If $B \subseteq A \subseteq X$ and τ is a topology on X , then B has a relative topology from τ and a relative topology from τ_A . Fortunately, it is an easy exercise to check that these

agree. One can also show easily that if $S \subseteq A \subseteq X$, then the τ_A -closure of S in (A, τ_A) is A intersected with the τ -closure of S in X , and that for each $x \in S$, the τ_A -neighborhoods of x are exactly the intersections of the τ -neighborhoods of x with A . If \mathcal{B} is a base for τ , then $\{B \cap A : B \in \mathcal{B}\}$ is easily seen to be a base for τ_A .

Def: A function $f : (X, \tau) \rightarrow (Y, \sigma)$ from one topological space into another is *continuous* if $f(\text{cl } A) \subseteq \text{cl } f(A)$ for all $A \subseteq X$.

Thm. 1.21: A function from (X, τ) into (Y, σ) is continuous if and only if $f^{-1}(U)$ is open in (X, τ) whenever U is open in (Y, σ) .

Proof: Observe that for each subset U of Y , $f^{-1}(U)$ is the complement of $f^{-1}(Y \setminus U)$. It follows immediately that the assertion “ $f^{-1}(U)$ is open whenever U is open” is equivalent to “ $f^{-1}(F)$ is closed whenever F is closed.” Thus we need only prove the following result.

Thm. 1.22: A function f from (X, τ) into (Y, σ) is continuous if and only if $f^{-1}(F)$ is closed whenever F is closed.

Proof: Observe that for any set $A \subseteq X$ we have $A \subseteq f^{-1}(f(A)) \subseteq f^{-1}(\text{cl } f(A))$. Thus if $f^{-1}(F)$ is closed for all closed F , then (as $\text{cl } f(A)$ is closed) $f^{-1}(\text{cl } f(A))$ is closed for all A . Thus for all A we have $\text{cl } A \subseteq f^{-1}(\text{cl } f(A))$, i.e., $f(\text{cl } A) \subseteq \text{cl } f(A)$. Conversely, suppose $f(\text{cl } A) \subseteq \text{cl } f(A)$ for all A . Then $f(\text{cl } f^{-1}(F)) \subseteq \text{cl } f(f^{-1}(F)) \subseteq \text{cl } F$ (since $f(f^{-1}(F)) \subseteq F$), i.e., $\text{cl } f^{-1}(F) \subseteq f^{-1}(\text{cl } F)$. Now F is closed $\Leftrightarrow F = \text{cl } F$, so when F is closed we have $\text{cl } f^{-1}(F) \subseteq f^{-1}(F)$. Thus if F is closed, then $f^{-1}(F) = \text{cl } f^{-1}(F)$, i.e., $f^{-1}(F)$ is closed.

Remark: It is easy to see that f is continuous \Leftrightarrow whenever $x \in X$ and V is a neighborhood of $f(x)$, then $f^{-1}(V)$ is a neighborhood of x . (Proof: \Rightarrow : Let $f(x) \in \mathcal{O} \subseteq V$ with \mathcal{O} open. Then $f^{-1}(\mathcal{O})$ is open and $x \in f^{-1}(\mathcal{O}) \subseteq f^{-1}(V)$. \Leftarrow : Let \mathcal{O} be open. For each $x \in f^{-1}(\mathcal{O})$, we have $f(x) \in \mathcal{O}$, so \mathcal{O} is an open neighborhood of $f(x)$. Thus $f^{-1}(\mathcal{O})$ is a neighborhood of x . Since $x \in f^{-1}(\mathcal{O})$ is arbitrary, $f^{-1}(\mathcal{O})$ is a neighborhood of each of its points, hence is open.)

Lemma: Let (X, ρ) be a pseudometric space, $\epsilon > 0$, and $x \in X$. If $y \in C(x, \epsilon)$, then there exists $\delta > 0$ with $C(y, \delta) \subseteq C(x, \epsilon)$.

Proof: Choose δ with $0 < \delta < \epsilon - \rho(x, y)$. Then $\rho(z, y) < \delta$ implies $\rho(z, x) \leq \rho(z, y) + \rho(y, x) < \delta + \epsilon - \delta = \epsilon$. Thus $C(y, \delta) \subseteq C(x, \epsilon)$.

Thm. 1.23: Suppose $f : (X, \rho) \rightarrow (Y, d)$ is a function from one pseudometric space into another. Then f is continuous if and only if for each $x \in X$ and each $\epsilon > 0$, there exists $\delta > 0$ so that $d(f(x), f(y)) < \epsilon$ whenever $\rho(x, y) < \delta$. (Note δ may depend on x and on ϵ .)

Note: This latter condition says precisely this: for each cell $C(f(x); \epsilon)$ centered at a point $f(x)$ in Y , the inverse image of $C(f(x); \epsilon)$ under f contains a cell $C(x; \delta)$ centered at x (for some choice of $\delta > 0$, δ dependent on x and ϵ).

Proof of Theorem: \Rightarrow Let $x \in X$, $\epsilon > 0$ be given. Then $C(f(x); \epsilon)$ is open, so $f^{-1}(C(f(x); \epsilon))$ is open (and contains x). Thus $f^{-1}(C(f(x); \epsilon))$ is a union of basic sets, i.e., of cells. Thus there exist $\eta > 0$ and $y \in X$ with $x \in C(y; \eta) \subseteq f^{-1}(C(f(x); \epsilon))$. Apply the lemma to get $C(x, \delta) \subseteq f^{-1}(C(f(x); \epsilon))$ as required.

\Leftarrow : Let \mathcal{O} be open in (Y, d) . Let $x \in f^{-1}(\mathcal{O})$. It suffices to show $f^{-1}(\mathcal{O})$ is a neighborhood of x . Now $x \in f^{-1}(\mathcal{O}) \Rightarrow f(x) \in \mathcal{O}$, so there exists a cell C with $f(x) \in C \subseteq \mathcal{O}$ (by definition of base). By the lemma, there exists $\epsilon > 0$ such that $C(f(x); \epsilon) \subseteq \mathcal{O}$. By assumption, there exists $\delta > 0$ such that f maps $C(x; \delta)$ into $C(f(x); \epsilon)$, i.e., such that $C(x, \delta) \subseteq f^{-1}(C(f(x); \epsilon)) \subseteq f^{-1}(\mathcal{O})$. Thus $f^{-1}(\mathcal{O})$ is a neighborhood of x as required.

Prop. 1.24: Let T be a collection of topologies for a set X . Then $\cap T$ is a topology for X .

Proof: $\phi \in \tau$ and $X \in \tau$ for each τ in T . If $U_1, U_2 \in \tau$ for each τ in T , then so is $U_1 \cap U_2$. If $\mathcal{O} \subseteq \cap T$, then $\mathcal{O} \subseteq \tau$ for each $\tau \in T$, so $\cap \mathcal{O} \in \tau$ for each $\tau \in T$, so $\cap \mathcal{O} \in \cap T$.

Def: Let \mathcal{C} be a collection of subsets of a set X . Then $\tau_{\mathcal{C}} = \cap \{\tau : \mathcal{C} \subseteq \tau, \tau \text{ is topology for } X\}$ is called the topology *generated* by \mathcal{C} (or *subgenerated by* \mathcal{C}), and \mathcal{C} is said to be a *subbase* for $\tau_{\mathcal{C}}$. (Note that there is always at least one topology τ containing \mathcal{C} , namely the power set 2^X .) Clearly $\tau_{\mathcal{C}}$ is the *smallest* topology on X containing \mathcal{C} .

Prop. 1.25: The collection \mathcal{B} of all finite intersections of elements of \mathcal{C} is a base for the topology generated by \mathcal{C} . (That is, $\tau_{\mathcal{C}} =$ all unions of all finite intersections of elements of \mathcal{C} .)

Proof: Note first that ϕ is a finite subset of \mathcal{C} , and that $\bigcap_{S \in \phi} S = \{x \in X : x \in S \text{ for all } S \in \phi\}$. If now $x \in X$, then for each $S \in \phi$ (there are none!) we have $x \in S$. Thus $\bigcap_{S \in \phi} S \supseteq X$. Clearly $\bigcap_{S \in \phi} S \subseteq X$ (see above), so $X = \bigcap_{S \in \phi} S$ is an intersection of finitely many (namely

none) elements of \mathcal{C} . Now suppose F_1 and F_2 are finite subsets of \mathcal{C} , and let $B_1 = \cap F_1$, $B_2 = \cap F_2$. Then $B_1 \cap B_2 = \cap \{S : S \in F_1 \text{ or } S \in F_2\} = \cap \{S : S \in F_1 \cup F_2\}$. Since $F_1 \cup F_2$ is a finite set of elements of \mathcal{C} , $B_1 \cap B_2$ is in \mathcal{B} . In particular if $p \in B_1 \cap B_2$, then there exists $B_3 (= B_1 \cap B_2)$ with $p \in B_3 \subseteq B_1 \cap B_2$ and $B_3 \in \mathcal{B}$. Thus \mathcal{B} is a base for a topology σ on X . Clearly $\mathcal{C} \subseteq \mathcal{B} \subseteq \sigma$ (since each singleton from \mathcal{C} is a finite subset of \mathcal{C} , and by definition of the topology generated by a base). It follows that $\tau_{\mathcal{C}} \subseteq \sigma$ (since $\tau_{\mathcal{C}} = \cap \{\tau : \tau \supseteq \mathcal{C} \text{ and } \tau \text{ is a topology}\}$). On the other hand, since each B in \mathcal{B} is a finite intersection of elements of \mathcal{C} and $\mathcal{C} \subseteq \tau_{\mathcal{C}}$, each B in \mathcal{B} lies in $\tau_{\mathcal{C}}$. Thus any union of elements of \mathcal{B} lies in $\tau_{\mathcal{C}}$. Thus $\sigma \subseteq \tau_{\mathcal{C}}$.

Def: Let X be a set and let $\{(Y_\alpha, \tau_\alpha) : \alpha \in A\}$ be a collection of topological spaces. Suppose that for each $\alpha \in A$, there is a function $f_\alpha : X \rightarrow Y_\alpha$. The smallest topology (i.e., the intersection of all such) for which every f_α is continuous is the *weak topology on X from (or by) the collection $\mathcal{F} = \{f_\alpha : \alpha \in A\}$* . (Clearly this intersection makes each f_α continuous.)

Note: Every function *out* of the discrete topology is continuous, so there is at least one such topology.

Examples: The product topology on \mathbb{R}^n is the weakest topology making each coordinate projection continuous. The weak topology on a Banach space or Hilbert space is the weakest topology making each element of the dual Hilbert space continuous.

Thm. 1.26: The topology generated by $\{f_\alpha^{-1}(U_\alpha) : \alpha \in A, U_\alpha \in \tau_\alpha\}$ is the weak topology by $\mathcal{F} = \{f_\alpha : \alpha \in A\}$.

Proof: Let τ be the topology generated by $\{f_\alpha^{-1}(U_\alpha) : \alpha \in A, U_\alpha \in \tau_\alpha\}$ and σ be the weak topology by \mathcal{F} . Clearly each f_α is a τ -continuous, since $f_\alpha^{-1}(U_\alpha)$ is always τ -open. Thus $\sigma \subseteq \tau$. But τ is precisely the collection of all unions of intersections of finite sets of inverse images $f_\alpha^{-1}(U_\alpha)$, where $\alpha \in A$ and $U_\alpha \in \tau_\alpha$. Each of these inverse images lies in σ (since σ makes each f_α continuous), so unions of finite intersection of these inverse images lie in σ . That is, $\tau \subseteq \sigma$.

Def: A one-to-one continuous function f from one topological space onto another such that its inverse function f^{-1} is also continuous is called a *homeomorphism*, and if such a homeomorphism exists, then the two spaces are said to be *homeomorphic*, or *topologically*

equivalent. A homeomorphism from one space X onto a subspace of a space Y is called a *homeomorphic embedding*, or a *topological embedding*, or sometimes just an *embedding*, of X into Y .

Example $x \rightarrow e^x$ embeds \mathbb{R} into \mathbb{R} (or into $[0, +\infty)$) and is a homeomorphism of \mathbb{R} with $\{x \in \mathbb{R} : x > 0\}$. (Its inverse is “log.”)

Def. A topological space X is *disconnected* if there exist non-void sets A and B such that $X = A \cup B$ and $A \cap (\text{cl } B) = (\text{cl } A) \cap B = \phi$. A space that is not disconnected is *connected*.

Thm. 2.1. A space is disconnected if and only if it has a non-void proper closed and open subset.

Note: If a space contains a non-void proper closed and open set, then it must contain at least two such (consider the complement).

Proof of Thm. 2.1: Suppose A is closed and open, and let $B = X \setminus A$. Then B is open and closed. In particular $A \cup B = X$, $A \cap \text{cl } B = A \cap B = (\text{cl } A) \cap B$ and $A \cap B = \phi$. If $A \neq \phi$ and $A \neq X$, then the same is true of B . Conversely, suppose X is disconnected, and let A and B be as in the definition. Then $\phi = A \cap \text{cl } B \supseteq A \cap B$, so $A \cap B = \phi$. Since $A \cup B = X$, A and B are complements. But $A \cap \text{cl } B = \phi$ implies $\text{cl } B \subseteq X \setminus A = B$, so B is closed. Similarly A is closed. Thus A and B are also open. Since $A \neq \phi$ and $B \neq \phi$, $\phi \neq A \neq X$.

Prop. 2.2: The open interval $(0, 1)$ is connected (with the relative topology from the usual topology on \mathbb{R}).

Proof: Suppose A and B are non-void proper closed and open subsets of $(0, 1)$ with $(0, 1) = A \cup B$ and $A \cap B = \phi$. Observe that since $(0, 1)$ is open in \mathbb{R} , A and B are open in \mathbb{R} (as well as relatively open in $(0, 1)$). Choose $a \in A$ and $b \in B$. We may assume $a < b$. Then $b \in \{t \in B : a < t\} \subseteq (0, 1)$. Let $c = \inf\{t \in B : a < t\}$, so that $a \leq c \leq b$. Observe that if $a < x < c$, then $x \notin B$ (since otherwise $c \leq x < c$, from the fact that c is a lower bound for $\{t \in B : a < t\}$). Thus if $a < x < c$, then $x \in A$. That is, if $a < c$, then $(a, c) \subset A$.

Now either $c \in A$ or $c \in B$. If $c \in A$, then $[a, c] \subseteq A$ (either $a = c$ or $(a, c) \cup \{a\} \cup \{c\} \subset A$). In this case, since A is open, there exists $\delta > 0$ such that $(c - \delta, c + \delta) \subseteq A$, whence $[c, c + \delta) \subseteq [a, c + \delta) \subseteq A$ (since $[a, c + \delta) = [a, c] \cup [c, c + \delta) \subseteq A$). But then $a < t$ and

$t \in B \Rightarrow t \geq c + \delta$ (since $[a, c + \delta) \subseteq A$), so $c + \delta$ is a lower bound for $\{t \in B : t > a\}$, contradicting the fact that c is the greatest such bound. Thus $c \notin A$. Suppose then that $c \in B$. Then since B is open, there exists $\delta > 0$ with $(c - \delta, c + \delta) \subseteq B \subseteq (0, 1)$ and we may assume that $a < c - \delta$, whence $a < c - \frac{\delta}{2} < c$. But $c - \frac{\delta}{2} \in B$, so this contradicts an observation above.

Thus $c \notin B$. Thus $c \notin A \cup B$, a clear contradiction.

Remark: It will follow shortly (from a result on closures of connected sets) that $[0, 1]$ is connected. We shall also soon see that every homeomorphic (or even continuous) image of a connected set is connected, whence we get that every interval in \mathbb{R} , every half-line in \mathbb{R} , \mathbb{R} and (of course) ϕ are connected. One can show that these are all the connected subsets of \mathbb{R} (if we agree that a point is an interval). In fact we have:

Exercise: A subset of \mathbb{R} is connected if and only if it is convex.

Prop. 2.3: Let $\Gamma = \{0, 1\}$ be a two-point discrete space. (Unique up to homeomorphism!) Then a topological space X is disconnected if and only if there is a continuous function from X onto Γ .

Proof: \Leftarrow : If $f : X \rightarrow \Gamma$ is onto, then $f^{-1}(0)$ and $f^{-1}(1)$ are complementary non-void $\left\{ \begin{array}{l} \text{closed} \\ \text{open} \end{array} \right\}$ subsets of X (since singletons are $\left\{ \begin{array}{l} \text{closed} \\ \text{open} \end{array} \right\}$ and f is continuous). \Rightarrow : Let $X = A \cup B$ with A and B open and closed, $A \cap B = \phi$ and $A \neq \phi, B \neq \phi$. Define $f(x) = 0$ if $x \in A$, $f(x) = 1$ if $x \in B$, so that $f^{-1}(0) = A$, $f^{-1}(1) = B$. Then $f^{-1}(0), f^{-1}(1), f^{-1}\{0, 1\} = f^{-1}(0) \cup f^{-1}(1)$ and $f^{-1}(\phi) = \phi$ are all open. Thus, $f^{-1}(\mathcal{O})$ is open for every open \mathcal{O} , so f is continuous. Since $A \neq \phi$ and $B \neq \phi$, f is onto.

Thm. 2.7: If A is a connected subset of a space X and $A \subseteq B \subseteq \text{cl } A$, then B is connected.

Lemma: The restriction of a continuous function to a subset A is continuous (out of the relative topology).

Proof: If \mathcal{O} is open in the image space, then $(f|_A)^{-1}(\mathcal{O}) = A \cap f^{-1}(\mathcal{O})$ is relatively open for f continuous.

Lemma: If $A \subseteq B \subseteq X$, then $\text{cl}_B(A) = B \cap \text{cl}_X(A)$.

Pf: $\text{cl}_B(A) = \bigcap \{B \cap K : K \text{ is closed in } X \text{ and } A \subseteq B \cap K\} = \bigcap \{B \cap K : K \text{ is closed in } X \text{ and } A \subseteq K\} = B \cap \{\bigcap \{K : K \text{ is closed in } X \text{ and } A \subseteq K\}\} = B \cap \text{cl}_X(A)$.

Pf. of Thm. 2.7: Let $F : B \rightarrow \Gamma = \{0, 1\}$ be continuous, where Γ is discrete. Since A

is connected and the restriction of F to A is continuous, F cannot map A onto Γ . Thus $F(A)$ is a singleton, say $\{0\}$. Since F is continuous, $F(\text{cl}_B(A)) \subseteq \text{cl}(F(A)) = \{0\}$. But $\text{cl}_B(A) = (\text{cl}(A)) \cap B = B$, so $F(B) \subseteq \{0\}$. Thus every continuous F from B into Γ fails to be onto (i.e., is constant). That is, B is connected.

Cor: $[0, 1]$ (and any interval in \mathbb{R}) is connected.

We shall soon see that \mathbb{R}^n is connected for all n .

Thm. 2.8: Let $f : X \rightarrow Y$ be continuous, and let X be connected. Then $f(X)$ is connected.

Proof: Let $F = f(X) \rightarrow \Gamma = \{0, 1\}$ be continuous, where Γ is discrete. Then $F \circ f$ is continuous ($(F \circ f)^{-1}(\mathcal{O}) = f^{-1}(F^{-1}(\mathcal{O}))$ is open for all open \mathcal{O}). Since X is connected, $F \circ f$ is constant, so F is constant on $f(X)$. It follows that $f(X)$ is connected.

Remark: We have used the following important (and obvious) fact: composition of two continuous functions yields a continuous function. (Use the open set argument as above.)

Thm. 2.4: Let \mathcal{C} be a collection of connected subsets of a space X , and suppose there exists $\tilde{C} \in \mathcal{C}$ such that $\tilde{C} \cap C \neq \emptyset$ for every $C \in \mathcal{C}$. Then $\cup \mathcal{C}$ is connected.

Proof: As usual, let $F : \cup \mathcal{C} \rightarrow \Gamma = \{1, 2\}$, where Γ is discrete and F is continuous. Then for each $C \in \mathcal{C}$, $F(C)$ is connected, hence is a singleton in Γ . Since each $F(C)$ meets $F(\tilde{C})$, they are all the same singleton. Thus F is constant on $\cup \mathcal{C}$, so $\cup \mathcal{C}$ is connected.

Thm. 2.5: Let \mathcal{C} be a collection of connected subsets of a space X , and suppose $C \cap D \neq \emptyset$ for all $C, D \in \mathcal{C}$. Then $\cup \mathcal{C}$ is connected.

Proof: Modify the proof of Thm. 2.4 in the obvious way.

Cor: If \mathcal{C} is a collection of connected sets and $\cap \mathcal{C} \neq \emptyset$, then $\cup \mathcal{C}$ is connected.

By using overlapping intervals one now sees from Thm. 2.5 that \mathbb{R} and any set of the form $(a, +\infty)$ or $(-\infty, a)$ are connected. (Hence also $(-\infty, a]$ and $[a, +\infty)$ are connected.)

Using overlapping balls shows that \mathbb{R}^n is connected. (Why precisely? Exercise.)

Remark: One can show that \mathbb{R} , \emptyset , rays in \mathbb{R} and intervals in \mathbb{R} are the *only* connected subsets of \mathbb{R} . (Exercise: Show that if C is connected and $a < b$ in C , then $(a, b) \subseteq C$.)

Prop. 2.9: Let X be a topological space and define $R \subseteq X \times X$ by $R = \{(a, b) \in X \times X : \exists$ a connected set in X containing a and $b\}$. Then R is an equivalence relation on X .

Pf: $\{a\}$ is connected, so $(a, a) \in R$ for all $a \in X$. The relation is clearly symmetric.

Transitivity follows from Thm. 2.5.

Def: The equivalence classes of the relation defined in Prop. 2.9 are called *components*, or *connected components*, of X . Observe that unless $X = \phi$, each component of X is non-empty.

If A is connected and A meets a component C of X , then by transitivity of the relation R above, we must have $A \subseteq C$. In particular, if A is connected and contains a component of C of X , then A must coincide with X .

Thm. 2.10: Each component of a topological space X is connected and closed. If $A \subseteq X$ is connected and non-empty, then A is contained in one and only one component of X . A connected subset C of X is a component of X if and only if it has the following property: whenever $C \subseteq A \subseteq X$ and A is connected, then $C = A$. (That is, the components of X are precisely the maximal connected subsets of X .) Finally, X is connected if and only if X has exactly one connected component.

Proof: Let C be a component of X . Let $x \in C$. Then for each $y \in C$, there exists a connected subset K_y of X such that $x \in K_y$ and $y \in K_y$. Clearly K_y meets C , so $K_y \subseteq C$. Thus $\bigcup\{K_y : y \in C\} \subseteq C \subseteq \bigcup\{y : y \in C\} \subseteq \bigcup\{K_y : y \in C\}$, so $C = \bigcup\{K_y : y \in C\}$. Since $\bigcap\{K_y : y \in C\} \supseteq \{x\} \neq \phi$, C is connected (by Thm. 2.4). Thus each component of X is connected.

Since closure of connected sets are connected, and since $C \subseteq \text{cl}(C)$, it follows from a remark preceding the theorem that $C = \text{cl}(C)$ whenever C is a component. Thus components are always closed. It follows from the same remark above that components are maximal connected subsets of X . Each non-empty connected subset of X must be part of an equivalence class under R . Since equivalence classes are either disjoint or coincident, each non-empty connected subset of X is contained in a unique component of X . It follows immediately that a maximal connected subset of X is a component. In particular, X is connected if and only if it has only one connected component.

Def: A continuous $f : [0, 1] \rightarrow X$ is a *path* in X .

Def: X is *path connected* if for each $x, y \in X$, \exists a path f in X with $f(0) = x$, $f(1) = y$.

Note: If f connects x to y and g connects y to z (in the obvious sense of the last few definitions), then there is a path connecting x to z which can be constructed from f and

g by making use of homeomorphisms from $[0, 1/2]$ and $[1/2, 1]$ onto $[0, 1]$.

Thm. 2.11: Every path connected space is connected.

Pf: Let $a, b \in X$ where X is path connected, and let $f : [0, 1] \rightarrow X$ with $f(0) = a$, $f(1) = b$, f continuous. Then $f([0, 1])$ is connected and contains a and b . Thus X has exactly one component.

Prop. 2.12: Every open connected subset of \mathbb{R}^n is path connected.

Proof: Cells are clearly path connected. Fix $x \in \mathcal{O}$, where \mathcal{O} is open and connected. (We may assume $\mathcal{O} \neq \phi$.) Let $U = \{y \in \mathcal{O} : \text{there is a path connecting } x \text{ and } y \text{ in } \mathcal{O}\}$. Since \mathcal{O} is open, each $y \in U$ is the center of a cell C contained in \mathcal{O} . If $z \in C$, connect x to y in \mathcal{O} and then y to z in $C \subseteq \mathcal{O}$. Thus U is open. Now consider any $z \in \mathcal{O} \setminus U$. Choose a cell C centered at z with $C \subseteq \mathcal{O}$. If $\exists y \in U \cap C$, then x and z can be connected (in \mathcal{O}) to y , hence to each other. Thus $U \cap C \neq \phi$. In particular, there exists a neighborhood C of z in \mathcal{O} which does not meet U . Since z is an arbitrary element of $\mathcal{O} \setminus U$, $\mathcal{O} \setminus U$ is open in \mathcal{O} , so U is closed in \mathcal{O} . Thus U is open and closed in \mathcal{O} . Since \mathcal{O} is connected, $U = \mathcal{O}$. (Clearly $x \in U$, so $U \neq \phi$.)

Thm. 2.13: If $f : X \rightarrow f(X) = Y$ is continuous and X is path connected, then $f(X)$ is path connected.

Pf: If $a, b \in Y$, choose $x, y \in X$ with $f(x) = a$, $f(y) = b$. Choose a path $F : [0, 1]$ in X from x to y . Then $f \circ F$ connects a to b .

Def: Let (X, τ) be a topological space. A subcollection \mathcal{C} of τ is called an *open cover* of X if $X = \cup \mathcal{C}$. A topological space X is *compact* if every open cover \mathcal{C} has a finite subset $\mathcal{F} \subseteq \mathcal{C}$ such that $X = \cup \mathcal{F}$ (i.e., has a finite *subcover*).

Prop. 2.14: A subset A of a topological (X, τ) is compact if and only if for every $\mathcal{C} \subseteq \tau$ such that $A \subseteq \cup \mathcal{C}$, there is a finite $\mathcal{F} \subseteq \mathcal{C}$ such that $A \subseteq \cup \mathcal{F}$. (That is, a subspace is compact in the *relative* topology from τ if and only if it satisfies this condition on \mathcal{C} .)

Proof: Let A be compact, and let $\mathcal{C} \subseteq \tau$ with $A \subseteq \cup \mathcal{C}$. Then $A \subseteq A \cap (\cup \mathcal{C}) = \cup \{A \cap C : C \in \mathcal{C}\}$ and each $A \cap C$ is relatively open. Since A is compact, there is a finite subset \mathcal{F} of \mathcal{C} such that $A \subseteq \cup \{A \cap C : C \in \mathcal{F}\} = A \cap \{\cup C : C \in \mathcal{F}\}$, i.e., such that $A \subseteq \{\cup C : C \in \mathcal{F}\} = \cup \mathcal{F}$. Conversely, suppose that whenever $\mathcal{C} \subseteq \tau$ with $A \subseteq \cup \mathcal{C}$, there is a finite $\mathcal{F} \subseteq \mathcal{C}$ with $A \subseteq \cup \mathcal{F}$. Let $\{A \cap C : C \in \mathcal{C}\}$ be any relatively open cover of A with

$A = \bigcup\{A \cap C : C \in \mathcal{C}\}$. Then $A \subseteq \bigcup\{C : C \in \mathcal{C}\} = \cup\mathcal{C}$, so there exists a finite $\mathcal{F} \subseteq \mathcal{C}$ with $A \subseteq \cup\mathcal{F}$. But then $A \subseteq A \cap (\cup\mathcal{F}) = \bigcup\{A \cap C : C \in \mathcal{F}\} \subseteq A$, so $A = \bigcup\{A \cap C : C \in \mathcal{F}\}$.

Thm. 2.15: Every closed subset of a compact space is compact.

Proof: Let $K \subseteq X$ be closed. Let $K \subseteq \cup\mathcal{C}$ where $\mathcal{C} \subseteq \tau$. Let $\mathcal{C}' = \mathcal{C} \cup \{X \setminus K\}$. Then $\mathcal{C}' \subseteq \tau$ since K is closed. Thus there exists a finite $\mathcal{F}' \subseteq \mathcal{C}'$ with $X \subseteq \cup\mathcal{F}'$. Clearly $K \subseteq \cup\mathcal{F}'$, and since $K \cap (X \setminus K) = \phi$, $K \subseteq \cup\mathcal{F}$, where $\mathcal{F} = \mathcal{F}' \setminus \{X \setminus K\}$. Clearly \mathcal{F} is finite.

Def.: A topological space (X, τ) is *Hausdorff* if for every pair x, y in X with $x \neq y$, there are open sets U and V such that $x \in U$, $y \in V$, and $U \cap V = \phi$.

Thm. 2.16: Each compact subset of a Hausdorff space (X, τ) is closed.

Proof: Let K be compact and $\mathcal{O} = X \setminus K$. We shall show \mathcal{O} is open. Fix $y \in \mathcal{O}$. For each $x \in K$, open sets U_x and V_x with $x \in U_x$, $y \in V_x$ and $U_x \cap V_x = \phi$. Then $\{U_x : x \in K\}$ is a family of open sets with $K \subseteq \bigcup_{x \in K} U_x$. Thus $\exists x_1, \dots, x_n$ with $K \subseteq \bigcup_{i=1}^n U_{x_i} = U$. Let $V = \bigcap_{i=1}^n V_{x_i}$. Then $V_x \cap U_x = \phi$ for all $x \in K$ implies $U \cap V = \phi$ (by distributivity of \cap and \cup over one another). Thus $K \cap V = \phi$, so y is an interior point of \mathcal{O} . Thus \mathcal{O} is open.

Prop. 2.17: The interval $[0, 1]$ is compact.

Proof: Let \mathcal{C} be a family of open sets with $[0, 1] \subseteq \cup\mathcal{C}$. Let $T = \{x \in [0, 1] : \{t : 0 \leq t \leq x\} \text{ can be covered by finitely many sets in } \mathcal{C}\}$. Thus $0 \in T$, so T is non-empty. Let $c = \sup T$, so that $0 \leq c \leq 1$. We need only show that $c = 1$. Choose $C \in \mathcal{C}$ with $c \in C$. Since C is open, $\exists \delta > 0$ with $(c - \delta, c + \delta) \subseteq C$. Since c is the least upper bound for T , $\exists x \in (c - \delta, c)$ with $x \in T$. Thus finitely many elements of \mathcal{C} cover $[0, x]$, say a finite subcollection \mathcal{F}' . Put $\mathcal{F} = \mathcal{F}' \cup \{C\}$ (so that \mathcal{F} is finite). Then \mathcal{F} covers $[0, c + \delta/2]$. If $c < 1$, this contradicts the fact that c is an upper bound for T . Thus $c = 1$. But then we see that \mathcal{F} covers $[0, 1] = [0, c]$.

Thm. 2.18: Suppose $f : X \rightarrow Y$ is continuous and (X, ϵ) is compact. Then $f(X)$ is compact.

Proof: Let $f(X) \subseteq \cup\mathcal{C}$, i.e., $X \subseteq f^{-1}(\cup\mathcal{C}) = f^{-1}\{\cup\mathcal{C} : \mathcal{C} \in \mathcal{C}\} = \bigcup\{f^{-1}(C) : C \in \mathcal{C}\}$. If $\mathcal{C} \subseteq \tau$, then there exists a finite $\mathcal{F} \subseteq \mathcal{C}$ with $X \subseteq \bigcup\{f^{-1}(C) : C \in \mathcal{F}\} = f^{-1}\{\cup\mathcal{C} : \mathcal{C} \in \mathcal{F}\} = f^{-1}(\cup\mathcal{F})$. That is, $f(X) \subseteq \cup\mathcal{F}$. Thus $f(X)$ is compact.

Prop. 2.19: Let $f : X \rightarrow Y$ be continuous, and suppose X is compact and Y is Hausdorff. Then F closed implies that $f(F)$ is closed.

Proof: F closed in a compact $X \Rightarrow F$ is compact $\Rightarrow f(F)$ is compact (by continuity). But Y is Hausdorff, so $f(F) \subseteq Y$ implies $f(F)$ is closed in Y .

Thm. 2.20: Suppose $f : X \rightarrow Y$ is one-to-one and onto, and continuous. If X is compact and Y is Hausdorff, then f is a homeomorphism.

Proof: f^{-1} is continuous $\Leftrightarrow f(F)$ is closed whenever F is closed (since $f = (f^{-1})^{-1}$).

Cor: If τ_1 and τ_2 are topologies on X such that τ_1 is compact and τ_2 is Hausdorff, and if $\tau_1 \supseteq \tau_2$, then $\tau_1 = \tau_2$.

Proof: Let $i(x) = x$ for all x in X . Then i is continuous from (X, τ_1) to (X, τ_2) (since $\tau_1 \supseteq \tau_2$). Clearly i is 1-1 onto. Thus i is a homeomorphism.

Note: If $\tau_1 \subseteq \tau_2$, where τ_1 and τ_2 are topologies on X , then τ_2 compact $\Rightarrow \tau_1$ compact, and τ_1 Hausdorff $\Rightarrow \tau_2$ Hausdorff (Exercise).

Def: A *sequence* in a set S is a function from the positive integers $\mathbb{Z}^+ = \{1, 2, 3, \dots\}$ into S . If $f : \mathbb{Z}^+ \rightarrow S$ is a sequence, we generally write x_n for $f(n)$ and denote this sequence by $\{x_n\}$ or (x_n) (or $\{x_n\}_{n=1}^{+\infty}$, etc.)

Note: Sometimes domains other than \mathbb{Z}^+ are allowed (particularly \mathbb{Z} or $\{0, 1, 2, 3, \dots\}$).

Def: Let $A \subseteq X$ and let $\{x_n\}$ be a sequence in X . We say that $\{x_n\}$ is *eventually* in A if there is an integer N such that $x_n \in A$ for all $n \geq N$. We say that $\{x_n\}$ is *frequently* in A if for each integer $k \geq 1$ there is an integer $n \geq k$ with $x_n \in A$.

Note: For any $A \subseteq X$ and any sequence $\{x_n\}$ in A , either $\{x_n\}$ is eventually in A or $\{x_n\}$ is frequently in $X \setminus A$, but *not* both.

Def: A sequence $\{x_n\}$ in a topological space X is said to *converge* to a point x of X if $\{x_n\}$ is eventually in each neighborhood of x . The sequence is said to *cluster* at x if it is frequently in each neighborhood of x . (Consider $x_n = \frac{1}{n}$ vs. $x_n = (-1)^n$.)

Prop. 3.1: A sequence in a Hausdorff space converge to at most one point.

Proof: Suppose $\{x_n\}$ converges to x and to y . Let U, V be any open neighborhoods of x and y respectively. Then $\exists N_1$ and N_2 such that $n \geq N_1 \Rightarrow x_n \in U$, $n \geq N_2 \Rightarrow x_n \in V$. Then $n \geq \max\{N_1, N_2\} \rightarrow x_n \in U \cap V$. Thus if X is Hausdorff, then $x = y$.

Def: A sequence $\{z_n\}$ is a *subsequence* of a sequence $\{x_n\}$ if there exists a strictly increasing

sequence $\{k_n\}$ of positive integers such that $z_n = x_{k_n}$.

Note: For all n , we must have $k_n \geq n$.

Prop. 3.2: Suppose $\{x_n\}$ is a sequence in a topological space X , and suppose $\{x_{k_n}\}$ is a subsequence of $\{x_n\}$ which converges to a point p of X . Then $\{x_n\}$ clusters at p .

Proof: Let U be a neighborhood of p . Let $m \in \mathbb{Z}^+$. Since $\{x_{k_n}\}$ converges to p , there exists $N \in \mathbb{Z}^+$ such that $n \geq N$ implies $x_{k_n} \in U$. We may assume that $N \geq m$. Then $k_N \geq k_m \geq m$ (since $n \rightarrow k_n$ is increasing), and $x_{k_N} \in U$.

Note: If $\{x_n\}$ converges to p , then so does every subsequence of $\{x_n\}$ (Exercise).

Prop. 3.3: Suppose $A \subseteq X$, where X is a topological space, and suppose $\{a_n\}$ is a sequence in A which converges to a point p of X . Then $p \in \text{cl } A$.

Proof: Let U be any neighborhood of p . Then $\exists N \in \mathbb{Z}^+$ such that $n \geq N$ implies $x_n \in U$. Thus (since $x_n \in A$) each neighborhood U of p meets A .

Prop. 3.4: Suppose (X, d) is a pseudometric space and $A \subseteq X$. If $p \in \text{cl } A$, then there exists a sequence in A which converges to p .

Proof: Let $n \in \mathbb{Z}^+$, and $C_n = \{x \in X : d(x, p) < \frac{1}{n}\}$. Then C_n is an open neighborhood of p , and so contains a point x_n of A (since $p \in \text{cl } A$). This defines a sequence $\{x_n\}$ in A . If U is any neighborhood of p , then there exists $\epsilon > 0$ such that $C(p; \epsilon) \subseteq U$. As soon as $N \geq \frac{1}{\epsilon}$ (so that $\frac{1}{N} \leq \epsilon$) we have for all $n \geq N$ that $x_n \in C_n \subseteq U$. Thus (x_n) converges to p .

Prop. 3.5: Let $f : X \rightarrow Y$ be continuous from one topological space to another. Let $\{x_n\}$ be a sequence in X which converge to a point x of X . Then the sequence $\{f(x_n)\}$ in Y converge to $f(x)$.

Proof: Let U be an open neighborhood of $f(x)$. Then $f^{-1}(U)$ is an open neighborhood of x , by continuity of f . Since $\{x_n\}$ converges to x , there exists N such that $n \geq N$ implies $x_n \in f^{-1}(U)$, whence $n \geq N$ implies $f(x_n) \in U$.

Prop. 3.6: If $f : (X, d) \rightarrow Y$ is a function from a pseudometric space into a topological space such that for every sequence $\{x_n\}$ that converges to a point x in X , the sequence $\{f(x_n)\}$ converges in Y to $f(x)$. Then f is continuous.

Proof: Let $A \subseteq X$, and consider $f(\text{cl } A)$. If $x \in \text{cl } A$, then there exists a sequence $\{x_n\}$ in A such that $\{x_n\}$ converges to x (by Prop. 3.4). By hypothesis, $\{f(x_n)\}$ converges to $f(x)$.

Let U be any neighborhood of $f(x)$. Then there exists $N \in \mathbb{Z}^+$ so that $n \geq N \Rightarrow f(x_n) \in U$. In particular, each neighborhood U of $f(x)$ meets $f(A)$. Thus $f(x) \subseteq \text{cl}(f(A))$. But this means $f(\text{cl } A) \subseteq \text{cl}(A)$ (since $x \in \text{cl } A$ is arbitrary).

Def: A sequence $\{x_n\}$ in a pseudometric space (X, d) is a *Cauchy sequence* if for every $\epsilon > 0$ there is an integer $N \in \mathbb{Z}^+$ such that $d(x_n, x_m) < \epsilon$ whenever $n \geq N$ and $m \geq N$.

Note: Every convergent sequence is Cauchy, but the converse can fail (exercises).

Exercise: Every Cauchy sequence that clusters converges.

Thm. 3.7: Every sequence in a compact space clusters.

Proof: Let $\{x_n\}$ be a sequence in X . For each $x \in X$, if $\{x_n\}$ does *not* cluster at x , then there exists an open neighborhood \mathcal{O}_x of x such that $\{x_n\}$ is *not* frequently in \mathcal{O}_x , i.e., such that x_n is eventually in $X \setminus \mathcal{O}_x$. Thus if $\{x_n\}$ does not cluster at x , then there exist an open neighborhood \mathcal{O}_x of x and $N_x \in \mathbb{Z}$ such that $n \geq N_x$ implies $x_n \notin \mathcal{O}_x$. Let \mathcal{F} be many finite set of such x , and let $N = \max\{N_x : x \in \mathcal{F}\}$. Then $n \geq N_x$ implies $x_n \notin \bigcup_{x \in \mathcal{F}} \mathcal{O}_x$. Thus, $\{x_n\}$ has *no* cluster points in X , then $\{\mathcal{O}_x : x \in X\}$ is an open cover for X and x_n lie eventually outside the union $\bigcup_{x \in \mathcal{F}} \mathcal{O}_x$ for any finite \mathcal{F} . It follows then that unless $\{x_n\}$ clusters somewhere, X has an open cover with no finite subcover.

Def: A pseudometric space is *complete* if every Cauchy sequence in it converges.

Prop. 3.8: Every compact pseudometric space is complete.

Proof: Every Cauchy sequence in a compact space clusters, and every Cauchy sequence which clusters converges.

Def: Let S be a nonempty subset of a pseudometric space (X, d) . Then the *diameter* of S is $\text{diam } S = \sup\{d(x, y) : x, y \in S\}$. (This may be $+\infty$.)

Thm. 3.9: Let (X, d) be a complete metric space, and let $f : X \rightarrow X$ be continuous. Suppose there exists a real number α with $0 \leq \alpha < 1$ such that $d(f(x), f(y)) \leq \alpha d(x, y)$ for all x, y in X . Then there exists exactly one $z \in X$ such that $f(z) = z$. Moreover, if $x \in X$, then the sequence $\{f^n(x)\}$ converges to z . (This is the Banach Fixed Point Theorem.)

Proof: By induction, we get easily that for all $k \geq 1$, $d(f^k(x), f^k(y)) \leq \alpha^k d(x, y)$. Thus also if $1 \leq m < n$ in \mathbb{Z}^+ , we have $d(f^m(x), f^n(x)) \leq \sum_{j=0}^{n-1-m} d(f^{m+j}(x), f^{m+j+1}(x)) \leq (\alpha^m + \alpha^{m+1} + \dots + \alpha^{n-1})d(x, f(x)) = \alpha^m [1 + \dots + \alpha^{n-m-1}]d(x, f(x)) < \frac{\alpha^m}{1-\alpha} d(x, f(x)) \rightarrow 0$. Thus $\{f^m(x)\}$ is Cauchy, so converge to some z , by completeness. Now f is continuous,

so $f(f^m(x))$ converges to $f(z)$, i.e., $\{f^{m+1}(x)\}$ converges to $f(z)$. But $\{f^{m+1}(x)\}$ is a subsequence of $\{f^m(x)\}$, so has the same limit. Thus, since metric spaces are Hausdorff, $z = f(z)$. If also $f(w) = w$, then $f^k(w) = w$ and $f^k(z) = z$ for all k , whence $d(z, w) = d(f^k(z), f^k(w)) \leq \alpha^k d(z, w)$. As $\alpha^k \rightarrow 0$, we must have $d(z, w) = 0$, so $z = w$.

Prop. 3.10: Let (X, d) be a complete pseudometric space, and suppose $\{S_n : n \in \mathbb{Z}_+\}$ is a collection of non-empty closed subsets of X such that $S_n \supseteq S_{n+1}$ for all $n \geq 1$. Suppose also that $\lim_{n \rightarrow \infty} \text{diam } S_n = 0$. Then $\bigcap_n S_n \neq \emptyset$.

Proof: Choose $x_n \in S_n$ for all n . Then $d(x_n, x_m) \leq \text{diam } S_n$ whenever $n \leq m$. Since $\text{diam } S_n \rightarrow 0$, $\{x_n\}$ is Cauchy, so converges by completeness to some $x \in X$. Now $n \leq m \Rightarrow x_m \in S_n$, and S_n is closed, so $x \in S_n$ for all n . (Note that $\{x_m : m \geq n\}$ is a sequence in S_n : renumber the indices.)

Def: A subset S of a topological space X is *dense in X* if $\text{cl } S = X$.

Exercise: S is dense in $X \Leftrightarrow$ every non-empty open subset of X meets S .

Thm. 3.11: Let (X, d) be a complete pseudometric space, and let $\{D_n : n \in \mathbb{Z}^+\}$ be a (countable) collection of open dense subsets of X . Then $\bigcap_n D_n$ is a dense subset of X .

Proof of Thm. 3.11: Let $B(x; r) = \{y \in X : d(x, y) \leq r\}$, $C(x; r) = \{y \in X : d(x, y) < r\}$. Let $U \neq \emptyset$ be open. We need to show U meets $\bigcap_n D_n$. Since D_1 is dense, $D_1 \cap U \neq \emptyset$. Let $x_1 \in D_1 \cap U$. Choose r_1 with $0 < r_1 < 1$ so that $B(x_1; r_1) \subseteq U \cap D_1$ ($U \cap D_1$ is open, so we can choose $r_1 > 0$ with $C(x_1; r_1) \subseteq U \cap D_1$). Choosing a slightly smaller r_1 will ensure $B(x_1; r_1) \subseteq U \cap D_1$. Now $C(x_1; r_1) \subseteq B(x_1; r_1)$ and $C(x_1; r_1)$ is open. Since D_2 is dense, $C(x_1; r_1) \cap D_2$ is non-empty. It is also open. Thus $\exists r_2$ and $x_2 \in C(x_1; r_1) \cap D_2$ with $0 < r_2 < \frac{1}{2}$, $C(x_2; r_2) \subseteq B(x_2; r_2) \subseteq C(x_1; r_1) \cap D_2$. Continuing inductively, we get a sequence $B(x_n; r_n)$ such that $0 < r_n < \frac{1}{n}$ and $C(x_{n+1}; r_{n+1}) \subseteq B(x_{n+1}; r_{n+1}) \subseteq C(x_n; r_n) \cap D_{n+1}$ for all n ; as before each $C(x_n; r_n) \cap D_{n+1}$ is open and non-empty. Note that $B(x_{n+1}; r_{n+1}) \subseteq B(x_n; r_n) \subseteq U \cap D_1 \subseteq U$ for all $n \geq 1$. We claim $\{x_n\}$ is Cauchy. If $\epsilon > 0$, choose N so that $\frac{2}{N} < \epsilon$. If then $n > N$ and $m > N$, then also x_n and x_m lie in $B(x_N, r_N)$ and so $d(x_n, x_m) \leq d(x_n, x_N) + d(x_N, x_m) < r_N + r_N < \frac{1}{N} + \frac{1}{N} < \epsilon$. Thus $\{x_n\}$ is Cauchy.

Thus $\{x_n\}$ converges to some z in X . We claim $z \in \bigcap_n D_n$. Indeed, let $N \geq 1$. Then $x_n \in B(x_N; r_N) \subseteq B(x_N; r_N)$ for all $n \geq N$. The sequence $\{x_{N+k} : k \geq 1\}$ is a

subsequence of $\{x_n\}$, so converges to z . It is also a sequence in the closed set $B(x_N; r_N)$, so that $z \in B(x_N; r_N)$. Since $N \geq$ is arbitrary, $z \in \bigcap_n B(x_n, r_n)$. Thus $z \in \bigcap_n D_n$ (since $B(x_n, r_n) \subseteq D_n$ for all n). But $B(x_n; r_n) \subseteq U$ for all $n \Rightarrow z \in U$. Then U meets $\bigcap_n D_n$ as required.

Thm. 3.12: Let (X, d) be a complete pseudometric space. Suppose $\{F_n : n \in \mathbb{Z}^+\}$ is a (countable) collection of closed subsets of X , each with empty interior. Then $\bigcup_n F_n$ has empty interior.

Proof: Exercise. Begin by showing A is open dense $\Leftrightarrow X \setminus A$ is closed with empty interior.

Remark: Theorems 3.11 and 3.12 are forms of the Baire Category Theorem.

Def: A subset E of X is *nowhere dense* if its closure contains no nonvoid open set, i.e., if the complement of its closure is dense. A subset E of X is *meager* (or *of first category*) if it is the union of a countable collection of nowhere dense sets. The complement of a meager set is called *residual*. We then have the following version of the *Baire category theorem*:

Cor: Let X be a complete pseudometric space. Then no non-empty open subset of X is meager. That is, no non-empty open subset of X is the union of a countable collection of nowhere dense (or even meager) subsets.

Pf: Let $\{E_n\}$ be a countable collection of nowhere dense subsets, and let U be a non-empty open set. Then $\mathcal{O}_n = X \setminus \text{cl}(E_n)$ is open and dense in X . Thus $\bigcap_n \mathcal{O}_n$ meets U . But if

$x \in U \left(\bigcap_n \mathcal{O}_n \right)$, then $x \notin UE_n$, so U is not contained in UE_n .

Cor: Let \mathcal{F} be a family of real-valued continuous functions on a complete pseudometric space, and suppose that for each $x \in X \exists M_x$ such that $|f(x)| \leq M_x$ for all $f \in \mathcal{F}$. Then \exists a non-empty open set $\mathcal{O} \subseteq X$ and a constant M such that $|f(x)| \leq M$ for all $f \in \mathcal{F}$ and all $x \in \mathcal{O}$.

Pf: Exercise.

Def: Let $\{X_\alpha : \alpha \in \Lambda\}$ be a collection of sets. The set Z of all functions f from Λ into $\bigcup\{X_\alpha : \alpha \in \Lambda\}$ such that $f(\alpha) \in X_\alpha$ for all $\alpha \in \Lambda$ is called the *product* (or *Cartesian product*) of the collection. We write $Z = \prod\{X_\alpha : \alpha \in \Lambda\}$ or $Z = \prod_{\alpha \in \Lambda} X_\alpha$ (or sometimes $Z = \times_{\alpha \in \Lambda} X_\alpha$, but usually not with X 's). For each $\alpha_0 \in \Lambda$, the function $\pi_{\alpha_0} : \prod\{X_\alpha\} \rightarrow X_{\alpha_0}$ given by $\pi_{\alpha_0}(f) = f(\alpha_0)$ is the *projection* of $\prod\{X_\alpha\}$ onto X_{α_0} .

Remark: If Λ is infinite, we need some assurance that there exist functions in $\prod\{X_\alpha\}$. We generally assume the existence by invoking the Axiom of Choice, which says that if $\Lambda \neq \emptyset$ and each X_α is non-void, then the product $\prod\{X_\alpha\}$ is non-void. More colorfully (but less precisely) this axiom says that for any non-empty collection of non-empty sets there exists a function (a “choice function”) which chooses exactly one element from each factor X_α . (Any f in the product is such a choice function.)

Def: If each X_α is a topological space, the weak topology for $\prod\{X_\alpha\}$ by the collection $\{\pi_\alpha : \alpha \in \Lambda\}$ is called the *product topology*.

Prop. 4.1: Let $Z = \prod\{X_\alpha : \alpha \in \Lambda\}$ and $\beta \in \Lambda$. Let $U_\beta \subseteq X_\beta$. Then $\pi_\beta^{-1}(U_\beta) = \prod\{Y_\alpha : \alpha \in \Lambda\}$, where $Y_\beta = U_\beta$ and $Y_\alpha = X_\alpha$ for all $\alpha \neq \beta$.

Proof: Exercise. (This is almost obvious: just use the definitions).

Prop. 4.2: The collection of all sets $\prod\{U_\alpha : \alpha \in \Lambda\}$, where U_α is an open subset of X_α and $U_\alpha = X_\alpha$ for all but a finite number of indices α , is a base for the product topology on $\prod\{X_\alpha : \alpha \in \Lambda\}$. [Note: An arbitrary product of open sets need *not* be open.]

Proof: The sets in this collection are precisely all finite intersections of sets of the form $\pi_\beta^{-1}(U_\beta)$, where U_β is open in X_β , by the last proposition. But this is precisely a base for the weak topology by the functions $\{\pi_\alpha : \alpha \in \Lambda\}$.

Note: If Λ is finite, this base consists of all “open rectangles” (or “boxes”, etc.)

Thm. 4.3: Suppose X is endowed with the weak topology by a collection $\{f_\alpha : \alpha \in \Lambda\}$ of functions where $f_\alpha : X \rightarrow Y_\alpha$ for all α . If $f : Z \rightarrow X$ is a function from a topological space Z into X , then f is continuous if and only if each composition $f_\alpha \circ f : Z \rightarrow Y_\alpha$ is continuous.

Proof: Exercise: show that f is continuous $\Leftrightarrow f^{-1}(C)$ is open for each subbasic set C .

Cor. 4.4: If $f : Z \rightarrow \prod\{X_\alpha : \alpha \in \Lambda\}$ is a function from a topological space Z into a product space, then f is continuous if and only if each “coordinate” function $\pi_\alpha \circ f$ is

continuous.

Prop. 4.5: A countable product of pseudometric spaces is a pseudometric space.

Proof: Let $(X_i, d_i)_{i=1}^{+\infty}$ be pseudometric spaces. We must find a pseudometric for the product topology (i.e., which induces the product topology) on $Z = \prod_i (X_i, d_i)$. Observe first that if (X, d) is a pseudometric space, then $d'(x, y) = \min(d(x, y), 1)$ is a pseudometric on X with $d' \leq d$. In particular, $d(x_n, x) \rightarrow 0 \Rightarrow d'(x_n, x) \rightarrow 0$. But if $d'(x_n, x) \rightarrow 0$, then eventually $d'(x_n, x) = d(x_n, x)$ and so $d(x_n, x) \rightarrow 0$. Thus d' and d are equivalent pseudometrics. (By Proposition 3.3 and 3.4, they induce topologies which have the same closed sets.) Thus we may assume that for each i , $d_i \leq 1$. Now define $d(x, y) = \sum_{i=1}^{+\infty} \frac{1}{2^i} d_i(x(i), y(i))$, where $x, y \in Z$. If now $\epsilon > 0$, and if $d(x, y) < \epsilon/2^i$, then $d_i(x(i), y(i)) < \epsilon$. Thus the topology induced by d makes each π_i continuous, so contains the product topology (i.e., the weak topology by $\{\pi_i : i \in \mathbb{Z}^+\}$). Conversely, let $C = C(x; r)$ be a cell in (Z, d) , and we shall show that C is open in the product topology (whence it follows that the topology induced by d consists of sets which are open in the product topology, and we will be done). Let $y \in C(x; r)$ and choose $\epsilon > 0$ so that $C(y; \epsilon) \subseteq C(x; r)$. Let N be such that $2^{-N} = \sum_{n=N+1}^{+\infty} \frac{1}{2^n} < \frac{\epsilon}{2}$. For each $n = 1, 2, 3, \dots, N$, let

$$U_n = \left\{ z \in X_n : \frac{d_n(y(n), z)}{2^n} < \frac{\epsilon}{2N} \right\}.$$

Thus $B = \bigcap_{n=1}^N \pi_n^{-1}(U_n)$ is open in the product topology and contains y . It suffices to show that $B \subseteq C(x; r)$. Let $p \in B$. Then $\pi_n(p) \in U_n$ for $n = 1, 2, \dots, N$, so

$$\frac{d_n(\pi_n(y), \pi_n(p))}{2^n} = \frac{d_n(y(n), p(n))}{2^n} < \frac{\epsilon}{2N}.$$

Now

$$\begin{aligned} d(y, p) &= \sum_{n=1}^{+\infty} \frac{d_n(y(n), p(n))}{2^n} = \sum_{n=1}^N \frac{d_n(y(n), p(n))}{2^n} + \sum_{n=N+1}^{+\infty} \frac{d_n(y(n), p(n))}{2^n} \\ &\leq \sum_{n=1}^N \frac{\epsilon}{2N} + \sum_{n=N+1}^{+\infty} \frac{1}{2^n} = \frac{\epsilon}{2} + \frac{1}{2^N} < \frac{\epsilon}{2} + \frac{\epsilon}{2} = \epsilon \end{aligned}$$

(since $d_n \leq 1$ for all n).

Note: It is easy to check that if each d_i is a metric, then so is the d defined above. Thus a countable product of metric spaces is a metric space. It is also easy to modify the argument above to show that if Z is a finite product of pseudometric (or metric) spaces, say $(X_i, d_i)_{i=1}^N$, then we get a pseudometric (or metric) for Z by defining

$$d(x, y) = \sum_{i=1}^N d_i(x(i), y(i)) \quad (\text{exercise}).$$

In particular the product topology on \mathbb{R}^n is induced by the metric ρ given by

$$\rho(x, y) = \sum_{i=1}^n |x(i) - y(i)|$$

Prop. 4.6: Any product of Hausdorff spaces is Hausdorff.

Proof: Exercise.

Prop. 4.7: The usual metric of \mathbb{R}^n induces the product topology on \mathbb{R}^n .

Proof of 4.7: As noted above, $\rho(x, y) = \sum_{i=1}^n |x(i) - y(i)|$ induces the product topology on \mathbb{R}^n . Now $\rho(x, y) \leq n \max_{1 \leq i \leq n} |x(i) - y(i)| \leq nd(x, y)$, where $d(x, y) = (\sum_{i=1}^n |x(i) - y(i)|^2)^{1/2}$, since extracting square roots is monotone. But $(d(x, y))^2 = \sum_{i=1}^n |x(i) - y(i)|^2 \leq n \max_{1 \leq i \leq n} |x(i) - y(i)|^2$ so $d(x, y) \leq \sqrt{n} \max_{1 \leq i \leq n} |x(i) - y(i)| \leq \sqrt{n} \sum_{i=1}^n |x(i) - y(i)|$. In particular there are constants $k > 0$ and $c > 0$ such that $\rho(x, y) \leq d(x, y)$ and $d(x, y) \leq k\rho(x, y)$ for all $x, y \in \mathbb{R}^n$. It follows that ρ and d induces the same topology. (They make the same sequences converge to the same limits, or observe that each point in a cell for one metric is the center of a cell for the other metric.)

Thm. 4.8: (Tychonoff's Theorem): The product of any collection of compact spaces is compact.

Pf: See below.

Thm. 4.9: A subset of \mathbb{R}^n is compact \Leftrightarrow it is closed and bounded (w.r.t. the usual metric). (This is the Heine-Borel Theorem.)

Thm. 4.10: Let \mathbb{R}^n have the usual metric. Then every Cauchy sequence in \mathbb{R}^n is bounded.

Thm. 4.11: Let \mathbb{R}^n have the usual metric. Then \mathbb{R}^n is complete.

Proofs of 4.9, 4.10, 4.11: Exercises.

To prove Tychonoff's Theorem we will employ Zorn's Lemma, which is a variant of the Axiom of Choice. (They are equivalent, in fact: see Halmos' *Naive Set Theory* or Hewitt and Stromberg, *Real and Abstract Analysis*.)

Def: Let X be a set. A relation R in X is a *partial ordering* of X if R is reflexive (xRx for all $x \in X$), antisymmetric (xRy and yRx imply $x = y$), and transitive (xRy and yRz imply xRz). A *partially ordered set* is a pair (X, R) consisting of a set X and a partial ordering of X . A partial ordering R on X is a *total order* (or *linear ordering*) if for each pair x and y in X either xRy or yRx . An element x of a partially ordered set (X, R) is an *upper bound* for a subset S of X if sRx for all $s \in S$. An element x of a partially ordered set (X, R) is a *maximal element* if xRy and $y \in X$ imply that $x = y$.

Note: If R is a partial ordering on X , then the relation T defined by $xTy \Leftrightarrow yRx$ is also a partial ordering on X , and R is a total order $\Leftrightarrow T$ is a total order. A *lower bound* for S with respect to R is an upper bound for S with respect to T . A *minimal element* for X with respect to R is a maximal element of X with respect to T . Observe that passage from R to T turns upper bounds to lower bounds, maximal elements to minimal elements, and vice versa.

Note: A maximal element in a partially ordered set need not be unique (but two maximal elements must coincide whenever they are related).

Zorn's Lemma: Let (X, R) be a non-void partially ordered set. Suppose that each linearly ordered subset \mathcal{L} of X has an upper bound in X . Then X has a maximal element.

The following proof of the Tychonoff Theorem is taken from Hewitt and Stromberg, *Real and Abstract Analysis*. Its core is the following lemmas.

Lemma (Alexander): Let (X, τ) be a topological space, and let \mathcal{S} be any subset of τ such that τ is generated by \mathcal{S} (i.e., such that each element of τ is a union of sets each of which is a finite intersection of elements of \mathcal{S}). Then the following are equivalent

- i) The space X is compact.
- ii) Every cover of X by a subfamily of \mathcal{S} has a finite subcover.

Proof: Clearly i) implies ii), so assume ii) holds. Let us suppose i) fails. Then the family \mathcal{Z} of all open covers of X which do not have a finite subcover is not empty. This family \mathcal{Z} is partially ordered by set theoretic inclusion. Clearly the union of a non-void linearly

ordered subset \mathcal{L} of \mathcal{Z} has an upper bound in \mathcal{Z} (namely the union of all the elements of \mathcal{L} : any finite subset of this union lies in one element of \mathcal{L} because of the total ordering). Then \mathcal{Z} contains a maximal element, i.e., an open cover \mathcal{V} with no finite subcover such that for any open $U \notin \mathcal{V}$ the cover $\mathcal{V} \cup \{U\}$ has a finite subcover. Let $\mathcal{W} = \mathcal{V} \cap \mathcal{S}$. Then no finite subfamily of \mathcal{W} covers X (since \mathcal{V} contains no finite subcover). Thus \mathcal{W} is not a cover of X (by (ii)). Let $x \in X \setminus (\cup \mathcal{W})$. Choose a set V in the cover \mathcal{V} with $x \in V$. Since \mathcal{S} generates τ , there are sets S_1, \dots, S_n in \mathcal{S} with $x \in \bigcap_{i=1}^n S_i \subseteq V$. Since $x \notin \cup \mathcal{W}$, no S_i is in \mathcal{V} . Since \mathcal{V} is maximal, there exists for each j a set A_j which is the union of a finite number of sets in \mathcal{V} such that $S_j \cup A_j = X$. Then $V \cup \left(\bigcup_{j=1}^n A_j \right) \supseteq \left(\bigcap_{j=1}^n S_j \right) \cup \left(\bigcup_{j=1}^n A_j \right) = \bigcap_{j=1}^n \left(S_j \cup \left(\bigcup_{k=1}^n A_k \right) \right) \supseteq \bigcap_{j=1}^n (S_j \cup A_j) = X$. Thus X is a union of finitely many sets from \mathcal{V} , contradicting the choice of \mathcal{V} .

Proof of Tychonoff's Theorem: By Alexander's Theorem (the last theorem), it suffices to consider covers of $X = \prod \{X_\alpha : \alpha \in \Lambda\}$ by sets of the form $\pi_\alpha^{-1}(U_\alpha)$ with U_α open in X_α . Let \mathcal{U} be any such cover of X . For each $\alpha \in \Lambda$, let \mathcal{U}_α denote the family of all open sets $U \subseteq X_\alpha$ such that $\pi_\alpha^{-1}(U) \in \mathcal{U}$. We claim that for some α we must have $\cup \mathcal{U}_\alpha = \cup \{U : U \in \mathcal{U}_\alpha\} = X_\alpha$. If not, there would be a point x in X such that for every $\alpha \in \Lambda$, $\pi_\alpha(x) \notin \cup \mathcal{U}_\alpha$. Hence $x \notin \pi_\alpha^{-1}(U)$ for all $\pi_\alpha^{-1}(U) \in \mathcal{U}$, which contradicts the fact that \mathcal{U} is a cover. Thus we may choose $\eta \in \Lambda$ so that $\cup \mathcal{U}_\eta = X_\eta$. Since X_η is compact, there exist U, \dots, U_n in \mathcal{U}_η such that $X_\eta = \bigcup_{i=1}^n U_i$. Thus $\{\pi_\eta^{-1}(U_i) : i = 1, \dots, n\}$ is a finite subcover of \mathcal{U} for X , and the theorem is proved.