

Simple Games and Power Indices

A **simple game** consists of

$N = \{1, 2, \dots, n\}$, $n \in \mathbb{N}$, the players;

$v : \mathcal{P}(N) \rightarrow \{0, 1\}$, $\mathcal{P}(N)$ = power set of N , such that

- for all $S, T \in \mathcal{P}(N)$, $v(S) \leq v(S \cup T)$, equivalently, if $v(S) = 1$ and $S \subseteq T$, then $v(T) = 1$.
- $v(N) = 1$, $v(\emptyset) = 0$.

$v(S) = 1 \Rightarrow S$ is **winning**; otherwise **losing**.

A model for yes-no voting systems, e.g., legislatures, elections with two candidates.

Example: Weighted voting game. Assign real weights w_i to voter i ($w_i > 0$) and a quota q . S winning iff $\sum_{i \in S} w_i \geq q$.

For a voter k and a coalition S containing k , we say that k is **critical** in S if S is winning, and $S - \{k\}$ is losing. Let $C_{k,i}$ denote the number of coalitions of size i for which k is critical.

power index: $\Psi = \{\lambda_i\}_{i=1}^n$, $\lambda_i \in \mathbb{R}_{\geq 0}$, not all $\lambda_i = 0$. $\Psi(k) := \sum_{i=1}^n \lambda_i C_{k,i}$, the power of k . This yields an ordering of the voters.

Classic power indices: Banzhaf: $\lambda_i = 1/n$ for all n .

Shapley-Shubik: $\lambda_i = (i-1)!(n-i)!$.

Define a partial ordering on N : $k \gg m$ if $C_{k,i} \geq C_{m,i}$ for all i . If the partial ordering is complete, then every power index gives the same ordering of the voters.

Vague question: Properties of a simple game for which there are “few” orderings of the voters.

Specific question: Characterize games for which Banzhaf ordering = Shapley-Shubik ordering. Note: $n = 5$, always agree (ad-hoc argument). $n = 6$, don't always agree.