

# THE EXTREMAL FUNCTIONS FOR TRIANGLE-FREE GRAPHS WITH EXCLUDED MINORS<sup>1</sup>

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## Abstract

We prove two results:

1. A graph  $G$  on at least seven vertices with a vertex  $v$  such that  $G - v$  is planar and  $t$  triangles satisfies  $|E(G)| \leq 3|V(G)| - 9 + t/3$ .
2. For  $p = 2, 3, \dots, 9$ , a triangle-free graph  $G$  on at least  $2p - 5$  vertices with no  $K_p$ -minor satisfies  $|E(G)| \leq (p - 2)|V(G)| - (p - 2)^2$ .

## 1 Introduction

All *graphs* in this paper are finite and simple. *Cycles* have no “repeated” vertices. A graph is a *minor* of another if the first can be obtained from a subgraph of the second by contracting edges. An *H-minor* is a minor isomorphic to  $H$ . Mader [6] proved the following beautiful theorem.

**Theorem 1.1.** *For  $p = 2, 3, \dots, 7$ , a graph with no  $K_p$ -minor and  $V \geq p - 1$  vertices has at most  $(p - 2)V - \binom{p-1}{2}$  edges.*

For large  $p$  however, a graph on  $V$  vertices with no  $K_p$ -minor can have up to  $\Omega(p\sqrt{\log p}V)$  edges as shown by several people (Kostochka [4, 5], and Fernandez de la Vega [2] based on Bollobás, Catlin and Erdős [1]),. Already for  $p = 8, 9$ , there are  $K_p$ -minor-free graphs on  $V$  vertices with strictly more than  $(p - 2)V - \binom{p-1}{2}$  edges, but the exceptions are known. Given a graph  $G$  and a positive integer  $k$ , we define  $(G, k)$ -*cockades* recursively as follows. A graph isomorphic to  $G$  is a  $(G, k)$ -cockade. Moreover, any graph isomorphic to one obtained by identifying complete subgraphs of size  $k$  of two  $(G, k)$ -cockades is also a  $(G, k)$ -cockade, and every  $(G, k)$ -cockade is obtained this way. The following is a theorem of Jørgensen [3].

**Theorem 1.2.** *A graph on  $V \geq 7$  vertices with no  $K_8$ -minor has at most  $6V - 21$  edges, unless it is a  $(K_{2,2,2,2,2}, 5)$ -cockade.*

The next theorem is due to Song and the first author [10].

**Theorem 1.3.** *A graph on  $V \geq 8$  vertices with no  $K_9$ -minor has at most  $7V - 28$  edges, unless it is a  $(K_{1,2,2,2,2,2}, 6)$ -cockade or isomorphic to  $K_{2,2,2,3,3}$ .*

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The first author and Zhu [11] conjecture the following generalization.

**Conjecture 1.4.** *A graph on  $V \geq 9$  vertices with no  $K_{10}$ -minor has at most  $8V - 36$  edges, unless it is isomorphic to one of the following graphs:*

- (1) *a  $(K_{1,1,2,2,2,2,2,7})$ -cockade,*
- (2)  *$K_{1,2,2,2,3,3}$ ,*
- (3)  *$K_{2,2,2,2,2,3}$ ,*
- (4)  *$K_{2,2,2,2,2,3}$  with an edge deleted,*
- (5)  *$K_{2,3,3,3,3}$ ,*
- (6)  *$K_{2,3,3,3,3}$  with an edge deleted,*
- (7)  *$K_{2,2,3,3,4}$ , and*
- (8) *the graph obtained from the disjoint union of  $K_{2,2,2,2}$  and  $C_5$  by adding all edges joining them.*

McCarty and the first author studied the extremal functions for *linklessly embeddable graphs*: graphs embeddable in 3-space such that no two disjoint cycles form a non-trivial link. Robertson, Seymour, and the first author [9] showed that a graph is linklessly embeddable if and only if it has no minor isomorphic to a graph in the *Petersen family*, which consists of the seven graphs (including the Petersen graph) that can be obtained from  $K_6$  by  $\Delta Y$ - or  $Y\Delta$ -transformations. Thus, Mader's theorem implies that a linklessly embeddable graph on  $V$  vertices has at most  $4V - 10$  edges. McCarty and the first author [8] proved the following.

**Theorem 1.5.** *A bipartite linklessly embeddable graph on  $V \geq 5$  vertices has at most  $3V - 10$  edges, unless it is isomorphic to  $K_{3,V-3}$ .*

In the same paper McCarty and the first author made the following three conjectures.

**Conjecture 1.6.** *A triangle-free linklessly embeddable graph on  $V \geq 5$  vertices has at most  $3V - 10$  edges, unless it is isomorphic to  $K_{3,V-3}$ .*

As a possible approach to Conjecture 1.6 McCarty and the first author proposed the following.

**Conjecture 1.7.** *A linklessly embeddable graph on  $V \geq 7$  vertices with  $t$  triangles has at most  $3V - 9 + t/3$  edges.*

The third conjecture of McCarty and the first author is as follows.

**Conjecture 1.8.** *For  $p = 2, 3, \dots, 8$ , a bipartite graph on  $V \geq 2p - 5$  vertices with no  $K_p$ -minor has at most  $(p - 2)V - (p - 2)^2$  edges.*

## 1.1 Our results

We first give a partial result to Conjectures 1.6 and 1.7. An *apex graph* is a graph  $G$  with a vertex  $a$  such that  $G - a$  is planar. All apex graphs are linklessly embeddable. We show that Conjectures 1.6 and 1.7 hold for apex graphs:

**Theorem 1.9.** *A triangle-free apex graph on  $V \geq 5$  vertices has at most  $3V - 10$  edges, unless it is isomorphic to  $K_{3, V-3}$ . Moreover, an apex graph on  $V \geq 7$  vertices with  $t$  triangles has at most  $3V - 9 + t/3$  edges.*

Our second result proves a generalization of Conjecture 1.8 to triangle-free graphs for values of  $p$  up to 9:

**Theorem 1.10.** *For  $p = 2, 3, \dots, 9$ , a triangle-free graph with no  $K_p$ -minor on  $V \geq 2p - 5$  vertices has at most  $(p - 2)V - (p - 2)^2$  edges.*

We prove Theorem 1.9 in Section 2 and Theorem 1.10 in Section 3.

## 2 Proof of Theorem 1.9

For an integer  $V$ , by  $V^+$  we denote  $\max\{V, 0\}$ , and we define  $\psi(V) := (7 - V)^+ + (5 - V)^+$ . We need the following lemma.

**Lemma 2.1.** *Let  $V_1, V_2 \geq 2$  be integers, and let  $V = V_1 + V_2 - 1$ . Then*

$$\max\{\psi(V_1), 1\} + \max\{\psi(V_2), 1\} \leq \psi(V) + 10$$

*with equality if and only if  $V \leq 5$ .*

*Proof.* Assume first that both  $V_1, V_2$  are at most five. If  $V \geq 6$ , then  $V_1 + V_2 \geq 7$  and we have

$$\max\{\psi(V_1), 1\} + \max\{\psi(V_2), 1\} = \psi(V_1) + \psi(V_2) = 7 - V - 1 + 17 - (V_1 + V_2) \leq \psi(V) + 9.$$

If  $V \leq 5$ , then

$$\max\{\psi(V_1), 1\} + \max\{\psi(V_2), 1\} = \psi(V_1) + \psi(V_2) = 7 - V - 1 + 5 - V - 1 + 12 = \psi(V) + 10.$$

We may therefore assume that say  $V_2 \geq 6$ . Then

$$\begin{aligned} \max\{\psi(V_1), 1\} + \max\{\psi(V_2), 1\} &= \max\{(5 - V_1)^+ + (7 - V_1)^+, 1\} + \max\{(7 - V_2)^+, 1\} \\ &\leq 3 + 5 + 1 = 9, \end{aligned}$$

as desired. □

Let  $G$  be an apex graph on  $V$  vertices and  $E$  edges with a vertex  $a$  such that  $G - a$  is planar. Let  $G^\circ := G - a$  be embedded in the plane, and let  $V^\circ := |V(G^\circ)|$  and  $E^\circ := |E(G^\circ)|$ . Note that  $V = V^\circ + 1$  and  $E = E^\circ + d(a)$ .

## 2.1 Triangle-free case

First suppose that  $G$  is triangle-free and that  $V \geq 5$ . Then  $N(a)$  is an independent set. As  $G^\circ$  is triangle-free, planar and has at least three vertices, it follows from Euler's formula that  $E^\circ \leq 2V^\circ - 4$ , so

$$E = E^\circ + d(a) \leq 2V^\circ - 4 + d(a) = 2V - 6 + d(a)$$

If  $d(a) \leq V - 4$ , then we are done. As  $d(a) \leq V - 1$ , we just need to check 3 cases:

1.  $d(a) = V - 1$ . As  $N(a)$  is independent,  $G^\circ$  is the empty graph on  $V - 1$  vertices, so  $E = V - 1 \leq 3V - 10$ , since  $V \geq 5$ .
2.  $d(a) = V - 2$ . Then  $a$  is adjacent to all but one vertex  $u$  in  $G^\circ$ . Since  $d(u) \leq V - 2$  and  $N(a)$  is independent, it follows that  $E \leq 2V - 4 \leq 3V - 10$ , unless  $V = 5$ , in which case  $E \leq 3V - 10$ , except when  $G$  is isomorphic to  $K_{2,3}$ , as desired.
3.  $d(a) = V - 3$ . Then  $a$  is adjacent to all but two vertices  $u, v$  in  $G^\circ$ . Since  $G^\circ$  is triangle-free and  $N(a)$  is independent, if  $u$  is adjacent to  $v$ , then  $E^\circ \leq V^\circ - 1$ , in which case  $E = E^\circ + V - 3 \leq 2V - 5 \leq 3V - 10$ , since  $V \geq 5$ ; and if  $u$  is not adjacent to  $v$ , then  $E^\circ \leq 2(V^\circ - 2)$ , in which case  $E \leq E^\circ + V - 3 \leq 3V - 9$ , with equality if and only if  $G^\circ$  is isomorphic to  $K_{2, V-3}$  and  $G$  is isomorphic to  $K_{3, V-3}$ .

Therefore  $E \leq 3V - 10$ , unless  $G$  is isomorphic to  $K_{3, V-3}$ , as desired.

## 2.2 General case

Now suppose that  $G$  has  $t$  triangles. Let  $t^\circ$  denote the number of triangular faces of  $G^\circ$  and let  $t_a$  denote the number of triangles of  $G$  incident with  $a$ . Let  $t' = t^\circ + t_a$ . Since  $t' \leq t$ , it would suffice to show that

$$E \leq 3V - 9 + t'/3 \tag{1}$$

However, this inequality does not always hold. Consider a graph  $G$  obtained from  $K_{3, V-3}$  with bipartition  $(\{a, b, c\}, \{v_1, \dots, v_{V-3}\})$  by adding the edge  $bc$  and any subset of the edges  $\{v_1v_2, v_2v_3, \dots, v_{V-2}v_{V-3}\}$ . This gives an apex graph, where  $G - a$  is planar, with  $E = 3V - 9 + t'/3 + 1/3$ , violating the inequality (1). Let us call any graph isomorphic to such a graph *exceptional*. What we will show is that every graph  $G$  on at least seven vertices satisfies (1), unless  $G$  is exceptional. Note that this proves Theorem 1.9, since an exceptional graph has at least two triangles which are not counted in  $t'$ , and hence satisfies the inequality in Theorem 1.9.

In fact, we prove a stronger statement, and for the sake of the inductive argument we allow graphs on fewer than seven vertices. Let  $\mathcal{F}$  denote the set of faces of  $G^\circ$ . Define

$$\phi(G, a) := \frac{t_a}{3} - \sum_{f \in \mathcal{F}} \frac{|f| - 4}{3} = \frac{t_a}{3} + \frac{t^\circ}{3} - \sum_{\substack{f \in \mathcal{F} \\ |f| \geq 5}} \frac{|f| - 4}{3} \leq \frac{t'}{3}.$$

We prove the following:

**Theorem 2.2.** *Let  $G, a, V, E$  be as before. and let  $V \geq 2$ . Then*

(1) if  $G$  is exceptional, then  $E = 3V - 9 + \phi(G, a) + 1/3$ .

Otherwise

(2)  $E \leq 3V - 9 + \phi(G, a) + \psi(V)/3$ ,

(3) if  $G - a$  has at least one non-neighbour of  $a$ , then  $E \leq 3V - 9 + \phi(G, a) + (7 - V)^+/3$ , and

(4) if  $G - a$  has at least two non-neighbours of  $a$ , then  $E \leq 3V - 9 + \phi(G, a)$ .

*Proof.* We proceed by induction on  $V + E$ . If  $V = 2$  and  $E = 0$ , then

$$E = 0 = 6 - 9 + 4/3 + (7 - 2)/3 = 3V - 9 + \phi(G, a) + (7 - V)^+/3.$$

If  $V = 2$  and  $E = 1$ , then

$$E = 1 = 6 - 9 + 4/3 + (7 - 2)/3 + (5 - 2)/3 = 3V - 9 + \phi(G, a) + \psi(V)/3.$$

We may therefore assume that  $V \geq 3$  and that the theorem holds for all graphs  $G'$  with  $|V(G')| + |E(G')| < V + E$ . We suppose for a contradiction that the theorem does not hold for  $G$ . It follows that  $G$  is not exceptional, because exceptional graphs satisfy the theorem. Let  $G^\circ := G - a$ ,  $V^\circ$  and  $E^\circ$  be as before.

**Claim 2.2.1.** *The graph  $G^\circ$  has no cut-edges.*

*Proof.* Suppose  $e = xy$  is a cut-edge of  $G^\circ$  incident with a face  $f_e$ . Let  $C_1$  be the connected component of  $G^\circ - e$  containing  $x$ , and let  $C_2 = G^\circ - V(C_1)$ . Define  $G_i := G[V(C_i) \cup \{a\}]$ ,  $V_i := |V(G_i)|$  and  $E_i := |E(G_i)|$  for  $i = 1, 2$ . Let  $\mathcal{F}_i$  denote the set of faces of  $C_i$ , let  $f_i$  denote the face of  $C_i$  that contains  $f_e$ , and let  $t_{a,i}$  denote the number of triangles incident with  $a$  in  $G_i$  for  $i = 1, 2$ . Then  $V = V_1 + V_2 - 1$ ,  $|f_e| = |f_1| + |f_2| + 2$ ,  $\mathcal{F} = ((\mathcal{F}_1 \cup \mathcal{F}_2) \setminus \{f_1, f_2\}) \cup \{f_e\}$ , and  $t_{a,1} + t_{a,2} \leq t_a - \epsilon$ , where  $\epsilon = 1$  if  $a$  is adjacent to every vertex of  $G - a$  and  $\epsilon = 0$  otherwise, and so

$$\begin{aligned} \phi(G_1, a) + \phi(G_2, a) &= \frac{t_{a,1} + t_{a,2}}{3} - \sum_{f \in \mathcal{F}_1} \frac{|f| - 4}{3} - \sum_{f \in \mathcal{F}_2} \frac{|f| - 4}{3} \\ &\leq \frac{t_a}{3} - \frac{\epsilon}{3} - \left( \sum_{f \in \mathcal{F}} \frac{|f| - 4}{3} \right) + \frac{|f_e| - 4}{3} - \frac{|f_1| + |f_2| - 8}{3} \\ &= \phi(G, a) + 2 - \epsilon/3. \end{aligned}$$

By Lemma 2.1  $\max\{\psi(V_1), 1\} + \max\{\psi(V_2), 1\} \leq \psi(V) + 10$ , with equality if and only if  $V \leq 5$ . Note that  $E = E_1 + E_2 + 1$ . By the induction hypothesis each  $G_i$  satisfies

$$E_i \leq 3V_i - 9 + \phi(G_i, a) + \max\{\psi(V_i), 1\}/3,$$

where for  $V_i \leq 4$  equality holds only if  $a$  is adjacent to every vertex of  $G_i - a$ ; thus

$$\begin{aligned} E &= E_1 + E_2 + 1 \\ &\leq 3(V_1 + V_2) - 18 + \phi(G_1, a) + \phi(G_2, a) + (\max\{\psi(V_1), 1\} + \max\{\psi(V_2), 1\})/3 + 1 \\ &\leq 3V - 15 + \phi(G, a) + 3 + (\psi(V) + 10 - \epsilon)/3. \end{aligned}$$

It follows that  $E \leq 3V - 9 + \phi(G, a) + \psi(V)/3$ , a contradiction, because if equality holds in the two inequalities above, then  $V \leq 5$ , which implies that  $V_1, V_2 \leq 4$ , and hence  $a$  is adjacent to every vertex of  $G - a$ , and consequently  $\epsilon = 1$ . This proves the claim in the case when either  $V \geq 7$  or  $a$  is adjacent to every vertex of  $G - a$ .

We may therefore assume that  $V \leq 6$  and that  $a$  is not adjacent to every vertex of  $G - a$ . Assume next that  $a$  is adjacent to all but one vertex of  $G - a$ . By the symmetry we may assume that  $a$  is adjacent to every vertex of  $G_1 - a$  and all but one vertex of  $G_2 - a$ . Then

$$\psi(V_1) + (7 - V_2)^+ = 7 - V - 1 + 12 - V_1 \leq (7 - V)^+ + 9,$$

and hence

$$\begin{aligned} E &= E_1 + E_2 + 1 \\ &\leq 3(V_1 + V_2) - 18 + \phi(G_1, a) + \phi(G_2, a) + (\psi(V_1) + (7 - V_2)^+)/3 + 1 \\ &\leq 3V - 15 + \phi(G, a) + 3 + ((7 - V)^+ + 9)/3 \\ &\leq 3V - 9 + \phi(G, a) + (7 - V)^+/3, \end{aligned}$$

a contradiction.

We may therefore assume that  $a$  is not adjacent to at least two vertices of  $G - a$ . Assume next that  $a$  is not adjacent to at least two vertices of  $G_2 - a$ . Then

$$\begin{aligned} E &= E_1 + E_2 + 1 \\ &\leq 3(V_1 + V_2) - 18 + \phi(G_1, a) + \phi(G_2, a) + \psi(V_1)/3 + 1 \\ &\leq 3V - 15 + \phi(G, a) + 3 + 8/3 \\ &\leq 3V - 9 + \phi(G, a), \end{aligned}$$

a contradiction.

We may therefore assume that  $a$  is not adjacent to exactly one vertex of  $G_i - a$  for  $i = 1, 2$ . We have

$$\begin{aligned} E &= E_1 + E_2 + 1 \\ &\leq 3(V_1 + V_2) - 18 + \phi(G_1, a) + \phi(G_2, a) + ((7 - V_1)^+ + (7 - V_2)^+)/3 + 1 \\ &\leq 3V - 15 + \phi(G, a) + 3 + 10/3 \\ &= 3V - 9 + \phi(G, a) + 1/3, \end{aligned}$$

with equality if and only if  $V_1 = V_2 = 2$ , in which case  $G$  is exceptional, in either case a contradiction. Thus the claim holds.  $\square$

**Claim 2.2.2.** *We have that  $t_a = 0$ ; that is,  $N(a)$  is independent. In particular,  $\phi(G, a) = \sum_{f \in \mathcal{F}} \frac{4 - |f|}{3}$ .*

*Proof.* Suppose there exist adjacent vertices  $x, y \in N(a)$ . As  $xy$  is not a cut-edge of  $G^\circ$  by Claim 2.2.1, it is incident with two distinct faces  $f_1, f_2$ . Let  $G' := G - xy$ , let  $E' := |E(G')|$  and let  $f'$  be the new face obtained in  $G^\circ - xy$ . Let  $\mathcal{F}'$  denote the set of faces of  $G^\circ - xy$  and let  $t'_a$  denote the number of

triangles of  $G'$  incident with  $a$ . Then  $t'_a = t_a - 1$ ,  $|f_1| + |f_2| = |f'| + 2$ , and  $\mathcal{F} = (\mathcal{F}' \setminus \{f'\}) \cup \{f_1, f_2\}$ , so

$$\begin{aligned}\phi(G', a) &= \frac{t'_a}{3} - \sum_{f \in \mathcal{F}'} \frac{|f| - 4}{3} \\ &= \frac{t_a}{3} - \frac{1}{3} - \left( \sum_{f \in \mathcal{F}} \frac{|f| - 4}{3} \right) + \frac{|f_1| + |f_2| - 8}{3} - \frac{|f'| - 4}{3} \\ &= \phi(G, a) - 1\end{aligned}$$

Since  $G$  does not satisfy the theorem, it is not exceptional, and so neither is  $G'$ . Let  $x := \psi(V)$  if  $a$  is adjacent to every vertex of  $G - a$ , let  $x := (7 - V)^+$  if  $a$  is adjacent to all but one vertex of  $G - a$  and let  $x := 0$  otherwise. By the induction hypothesis

$$\begin{aligned}E &= E' + 1 \\ &\leq 3V - 9 + \phi(G', a) + 1 + x \\ &= 3V - 9 + \phi(G, a) + x,\end{aligned}$$

a contradiction. □

**Claim 2.2.3.** *The graph  $G^\circ$  has no isolated vertices.*

*Proof.* Suppose for a contradiction that  $v$  is an isolated vertex of  $G^\circ$ . Let  $G' = G - v$ , let  $V' := |V(G')|$  and let  $E' = |E(G')|$ . Then  $\phi(G', a) = \phi(G, a)$ . Let  $x' := \psi(V')$  and  $x := \psi(V)$  if  $a$  is adjacent to every vertex of  $G - a$ , let  $x' := (7 - V')^+$  and  $x := (7 - V)^+$  if  $a$  is adjacent to all but one vertex of  $G - a$  and let  $x = x' := 0$  otherwise. If  $v$  is adjacent to  $a$ , then by the induction hypothesis

$$\begin{aligned}E &= E' + 1 \\ &\leq 3V' - 9 + \phi(G', a) + 1 + \max\{x', 1\}/3 \\ &\leq 3V - 3 - 9 + \phi(G, a) + 1 + x/3 + 2/3 \\ &\leq 3V - 9 + \phi(G, a) + x/3,\end{aligned}$$

and if  $v$  is not adjacent to  $a$ , then

$$\begin{aligned}E &= E' \leq 3V' - 9 + \phi(G', a) + \max\{\psi(V'), 1\}/3 \\ &\leq 3V - 3 - 9 + \phi(G, a) + 8/3 \\ &\leq 3V - 9 + \phi(G, a),\end{aligned}$$

a contradiction in either case. □

**Claim 2.2.4.** *If  $v \in N(a)$ , then  $v$  has at least three neighbours in  $G^\circ$ ; that is,  $d(v) \geq 4$ .*

*Proof.* Since  $G^\circ$  has no cut-edges by Claim 2.2.1 and no isolated vertices by Claim 2.2.3,  $v$  has at least two neighbours in  $G^\circ$ . Suppose it has exactly two neighbours, and let  $f_1, f_2$  be the two faces

of  $G^\circ$  incident to  $v$ . Let  $G' = G - v$ , let  $V' := |V(G')|$ , let  $E' = |E(G')|$  and let  $f'$  denote the new face in  $G^\circ - v$ . Then  $|f_1| + |f_2| = |f'| + 4$ , and

$$\begin{aligned}\phi(G', a) &= - \sum_{f \in \mathcal{F}'} \frac{|f| - 4}{3} \\ &= - \left( \sum_{f \in \mathcal{F}} \frac{|f| - 4}{3} \right) + \frac{|f_1| + |f_2| - 8}{3} - \frac{|f'| - 4}{3} \\ &= \phi(G, a)\end{aligned}$$

As  $G$  does not satisfy the theorem, it is not exceptional, and hence neither is  $G'$ . Furthermore, the neighbours of  $v$  are not adjacent to  $a$  by Claim 2.2.2, and so by the induction hypothesis

$$\begin{aligned}E &= E' + 3 \\ &\leq 3V' - 9 + \phi(G', a) + 3 \\ &= 3V - 9 + \phi(G, a),\end{aligned}$$

a contradiction. □

We now show an upper bound on the degree of  $a$  by a simple discharging argument. Start by assigning a charge of one to each vertex in  $N(a)$ , and for each  $v \in N(a)$  distribute its charge equally to its incident faces in  $G^\circ$ . Then the sum of the charges of the faces of  $G^\circ$  is equal to  $d(a)$ .

By Claim 2.2.4, each  $v \in N(a)$  is incident to at least three faces, so it gives at most  $1/3$  charge to each incident face. By Claim 2.2.2, each face  $f \in \mathcal{F}$  is incident to at most  $\lfloor |f|/2 \rfloor$  neighbours of  $a$ . Thus the final charge of face  $f$  is at most  $\lfloor |f|/2 \rfloor / 3$ , and

$$d(a) \leq \sum_{f \in \mathcal{F}} \frac{\lfloor |f|/2 \rfloor}{3}$$

Since  $\lfloor k/2 \rfloor \leq k - 2$  for all  $k \geq 3$ ,

$$d(a) \leq \sum_{f \in \mathcal{F}} \frac{|f| - 2}{3} \tag{2}$$

The remainder of the proof follows from arithmetic using Euler's formula. Let  $F^\circ$  denote the number of faces of  $G^\circ$ . By the handshaking lemma, we have  $2E^\circ = \sum_{f \in \mathcal{F}} |f|$ . Since  $F^\circ = \sum_{f \in \mathcal{F}} 1$ , by Euler's formula:

$$\begin{aligned}8 &\leq 4V^\circ - 4E^\circ + 4F^\circ \\ &= 4V^\circ - 2E^\circ - \sum_{f \in \mathcal{F}} (|f| - 4)\end{aligned}$$

Rearranging, we have

$$E^\circ \leq 2V^\circ - 4 - \sum_{f \in \mathcal{F}} \frac{|f| - 4}{2} \tag{3}$$



Similarly, we have  $3F^\circ \leq 2E^\circ \leq 2V^\circ + 2F^\circ - 4$ , which gives

$$F^\circ \leq 2V^\circ - 4. \quad (4)$$

Putting (2), (3), and (4) together, we have

$$\begin{aligned} E &= E^\circ + d(a) \\ &\leq V^\circ + F^\circ - 2 + \sum_{f \in \mathcal{F}} \frac{|f| - 2}{3} \\ &= V^\circ + \frac{1}{3}F^\circ + \frac{2}{3}E^\circ - 2 \\ &\leq V^\circ + \frac{1}{3}(2V^\circ - 4) + \frac{2}{3} \left( 2V^\circ - 4 - \sum_{f \in \mathcal{F}} \frac{|f| - 4}{2} \right) - 2 \\ &= 3V^\circ - 6 - \sum_{f \in \mathcal{F}} \frac{|f| - 4}{3} \\ &= 3V - 9 + \phi(G, a), \end{aligned}$$

a contradiction. □

### 3 Proof of Theorem 1.10

We prove the following slightly more general statement from which Theorem 1.10 follows:

**Theorem 3.1.** *Let  $p \geq 4$  be an integer. Suppose that no graph  $G$  with  $|E(G)| > (p-2)|V(G)| - \binom{p-1}{2}$  can be obtained by contracting  $\max\{p-4, 2\}$  edges from a triangle-free graph on at least  $2p-3$  vertices with no  $K_p$ -minor. Then every triangle-free graph on  $V \geq 2p-5$  vertices with no  $K_p$ -minor has at most  $(p-2)V - (p-2)^2$  edges.*

Let us first show that Theorem 3.1 implies Theorem 1.10:

*Proof of Theorem 1.10, assuming Theorem 3.1.* For  $p = 2, 3$  Theorem 1.10 is easy. For  $p = 4, 5, 6, 7$ , it follows directly from Theorems 1.1 and 3.1, as there are no graphs  $G$  with no  $K_p$ -minor and strictly more than  $(p-2)|V(G)| - \binom{p-1}{2}$  edges.

For  $p = 8$ , by Theorem 1.2, a graph  $G$  with no  $K_8$ -minor and strictly more than  $6|V(G)| - 15$  edges is a  $(K_{2,2,2,2,2}, 5)$ -cockade. It is easy to see that, given any four vertices of a  $(K_{2,2,2,2,2}, 5)$ -cockade, one can always find a triangle disjoint from those four vertices. Thus a  $(K_{2,2,2,2,2}, 5)$ -cockade cannot be obtained by contracting four edges from a triangle-free graph, and the result follows by Theorem 3.1.

For  $p = 9$ , by Theorem 1.3, a graph  $G$  with no  $K_9$ -minor and strictly more than  $7|V(G)| - 21$  edges is either a  $(K_{1,2,2,2,2,2}, 6)$ -cockade or isomorphic to  $K_{2,2,2,3,3}$ . Again it is easy to verify that, given any five vertices of such a graph, one can always find a triangle disjoint from those five vertices. Therefore neither a  $(K_{1,2,2,2,2,2}, 6)$ -cockade nor  $K_{2,2,2,3,3}$  can be obtained by contracting five edges from a triangle-free graph, and the result follows by Theorem 3.1. □

Let us remark that the same argument shows that Conjecture 1.4 implies that Theorem 1.10 holds for  $p = 10$ , formally as follows:

**Theorem 3.2.** *If Conjecture 1.4 holds, then every triangle-free graph on  $V \geq 15$  vertices with no  $K_{10}$ -minor has at most  $8V - 64$  edges.*

### 3.1 Proof of Theorem 3.1

Let  $p \geq 4$  be an integer and let  $G$  be a counterexample with  $|V(G)|$  minimum. Let  $V = |V(G)|$  and  $E = |E(G)|$ . We prove by a series of claims that  $G$  is a complete bipartite graph. This leads to a contradiction: suppose  $G$  is isomorphic to  $K_{n, V-n}$  with  $n \leq V/2$ . If  $n \geq p-1$ , then  $G$  contains a  $K_p$ -minor, and if  $n \leq p-2$ , then  $E = n(V-n) \leq (p-2)(V-(p-2))$  as  $V \geq 2p-5$ .

**Claim 3.2.1.** *The graph  $G$  has at least  $2p-3$  vertices.*

*Proof.* If  $V \leq 2p-4$ , then by Mantel's theorem [7]  $E \leq (p-2)(V-p+2)$ , contrary to  $G$  being a counterexample.  $\square$

**Claim 3.2.2.**  $\delta(G) > p-2$

*Proof.* Let  $v$  be a vertex of  $G$  of minimum degree, and let  $G' = G - v$ . Then  $|E(G')| = E - \delta(G)$  and  $|V(G')| = V - 1$ . Since  $G$  is a minimal counterexample and  $V > 2p-3$  by Claim 3.2.1,

$$\begin{aligned} (p-2)V - (p-2)^2 &< E \\ &= |E(G')| + \delta(G) \\ &\leq (p-2)|V(G')| - (p-2)^2 + \delta(G) \\ &= (p-2)V - (p-2)^2 + \delta(G) - (p-2), \end{aligned}$$

and so  $p-2 < \delta(G)$ , as desired.  $\square$

**Claim 3.2.3.** *For  $1 \leq k \leq p-2$ , given any set of  $k$  disjoint edges  $\{e_1, \dots, e_k\}$  in  $G$ , we can find another edge disjoint from each  $e_i$ ,  $1 \leq i \leq k$ .*

*Proof.* Let  $e_1, \dots, e_k$  be given, where  $e_i = x_i y_i$ . By Claim 3.2.1 there exists a vertex  $v$  not equal to any  $x_i, y_i$ . Since  $G$  is triangle-free,  $v$  can be adjacent to at most one of  $\{x_i, y_i\}$  for each  $i$ . Since  $\deg(v) \geq \delta(G) > p-2 \geq k$  by Claim 3.2.2, there is an edge incident with  $v$  disjoint from each  $e_i$ , as desired.  $\square$

**Claim 3.2.4.** *Let  $e_1, e_2$  be any two disjoint edges of  $G$ . Then  $G[e_1 \cup e_2]$  forms a 4-cycle.*

*Proof.* Since  $G$  is triangle-free, there are at most two edges between  $e_1$  and  $e_2$ , and if there are two edges,  $G[e_1 \cup e_2]$  forms a 4-cycle. Suppose for a contradiction that there is at most one edge between  $e_1$  and  $e_2$ . Let  $k = \max\{p-4, 2\}$ . By Claim 3.2.3, we can find pairwise disjoint edges  $e_3, \dots, e_k$ , each disjoint from both  $e_1$  and  $e_2$ . Let  $G'$  be the graph obtained by contracting all edges  $e_1, \dots, e_k$  and let  $\ell$  denote the number of parallel edges identified. Then  $|E(G')| = E - k - \ell$ ,  $|V(G')| = V - k$ , and  $|E(G')| \leq (p-2)|V(G')| - \binom{p-1}{2}$  by hypothesis as  $G'$  is obtained from the graph  $G$  by contracting  $k$  edges. Since there are  $\binom{k}{2}$  pairs of edges in  $\{e_1, \dots, e_k\}$  and there is at most one edge between  $e_1$  and  $e_2$ , we have  $\ell \leq \binom{k}{2} - 1$ . If  $p \leq 5$ , then let  $\epsilon = 1$ ; otherwise let  $\epsilon = 0$ .

Then

$$\begin{aligned}
E &= |E(G')| + \ell + k \\
&\leq \left( (p-2)|V(G')| - \binom{p-1}{2} \right) + \left( \binom{k}{2} - 1 \right) + k \\
&= (p-2)(V-k) - \frac{(p-1)(p-2) - k(k-1)}{2} + k - 1 \\
&= (p-2)V - (p-2)^2 - \epsilon \\
&\leq (p-2)V - (p-2)^2,
\end{aligned}$$

a contradiction since  $G$  is a counterexample.  $\square$

**Claim 3.2.5.**  $G$  is a complete bipartite graph.

*Proof.* Let  $e = xy$  be an edge and let  $v \in V(G) \setminus \{x, y\}$ . By Claim 3.2.4,  $v$  is adjacent to either  $x$  or  $y$ , but not both as  $G$  is triangle-free. Thus  $V(G) \setminus \{x, y\}$  can be partitioned into two disjoint sets  $X' \cup Y'$  where every vertex in  $X'$  is adjacent to  $y$  and every vertex in  $Y'$  is adjacent to  $x$ . Since  $G$  is triangle-free, there are no edges between vertices of  $X'$  and between vertices of  $Y'$ . Thus  $G$  is bipartite with bipartition  $X \cup Y$ , where  $X = X' \cup \{x\}$  and  $Y = Y' \cup \{y\}$ . Moreover, for any  $x' \in X'$  and  $y' \in Y'$ , the two edges  $xy'$  and  $x'y$  induce a 4-cycle by Claim 3.2.4. Therefore  $x'$  is adjacent to  $y'$ , completing the proof of the claim.  $\square$

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