

# Chopping Celery and the Lattice of Integer Partitions

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How should we proceed in order to chop up the sticks using as few cuts as possible?

Answer: (J. Ginsburg and S, 2000) At each step, choose the  $w$  longest nontrivial (that is, of length greater than one) sticks, or all nontrivial sticks if there are less than  $w$  of them, and chop these all in half or as nearly in half as possible.

We will identify a set of  $k$  sticks with an infinite non-increasing sequence  $\mathcal{S}$  of positive integers, where the first  $k$  integers in  $\mathcal{S}$  represent the lengths of the sticks, and the remaining members of  $\mathcal{S}$  are all 1's.

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Note that the addition (or deletion) of 1's (which represent trivial sticks not needing to be cut) at the end of any  $\mathcal{S} \in \mathcal{S}$  will not affect the number of chops needed.

Thus, for example,  $(5, 2, 2, 1, 1, \dots)$  will usually be denoted  $(5, 2, 2)$ .

For each  $\mathcal{S} \in \mathcal{I}$ , define the *chop vector* of  $\mathcal{S}$  by

$$\mathbf{v}_{\mathcal{S}} = (v_1, v_2, v_3, \dots)$$

where, for each integer  $w \geq 1$ ,  $v_w$  is the minimum number of cuts needed to chop  $\mathcal{S}$  into unit pieces given a knife which can cut up to  $w$  pieces at a time.

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Also, the  $v_i$ 's are non-increasing and non-negative integers, and so  $\mathbf{v}_{\mathcal{S}}$  is eventually constant.

For example, consider  $\mathcal{S} = (7, 3, 2)$ . Then  $v_1 = 6 + 2 + 1 = 9$ , and  $v_2 = 5$  because, with a knife of width  $w = 2$ , the binary algorithm would cut  $\mathcal{S}$  up in five steps as follows:

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But what does all this have to do with partially ordered sets??

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Furthermore  $\mathcal{P}$  can be given a natural partial ordering  $\leq$  called *dominance ordering* (or *majorization*) as follows. For integer partitions

$\mathcal{S} = (s_1, s_2, \dots)$  and  $\mathcal{T} = (t_1, t_2, \dots)$  in  $\mathcal{P}$ , put  $\mathcal{S} \leq \mathcal{T}$  if and only if

$$\sum_{i=1}^j s_i \leq \sum_{i=1}^j t_i \text{ for all } j \geq 1.$$

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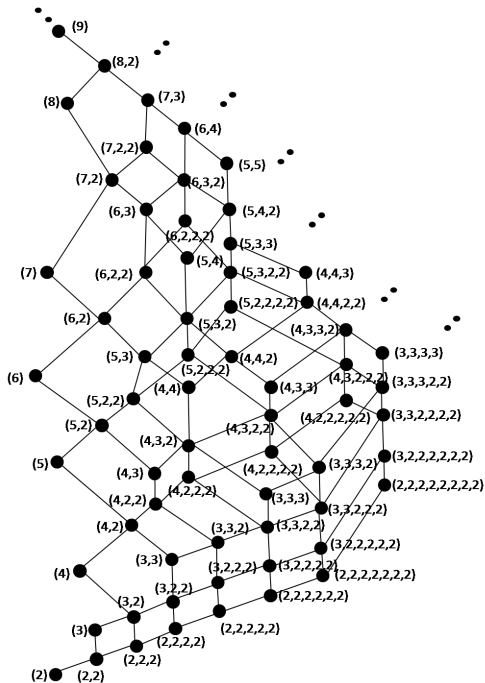


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Thao Do: Master's Thesis on integer partitions (U of C, 2009)



Dominance ordering  $\leq$  on the lattice  $\mathcal{S}$  is the transitive and reflexive closure of the following two types of relations: for  $\mathcal{S} = (s_1, s_2, \dots, s_m)$  and  $\mathcal{T} = (t_1, t_2, \dots, t_n)$  in  $\mathcal{S}$ ,  $\mathcal{S} < \mathcal{T}$  if

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- (i) is equivalent to  $\mathcal{T} = \mathcal{S} \cup \{2\}$ , and
- (ii) is equivalent to  $\mathcal{T} = (\mathcal{S} - \{x, y\}) \cup \{x - 1, y + 1\}$  for some  $x, y$  in  $\mathcal{S}$  satisfying  $2 \leq x \leq y$ .



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The family of all chop vectors, considered as elements of the direct product  $\mathbb{N}^\omega$ , can be naturally ordered componentwise; that is, for all  $\mathcal{S}, \mathcal{T} \in \mathcal{S}$ ,  $\mathbf{v}_{\mathcal{S}} \leq \mathbf{v}_{\mathcal{T}}$  if and only if  $(\mathbf{v}_{\mathcal{S}})_i \leq (\mathbf{v}_{\mathcal{T}})_i$  for all  $i$ .

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## Theorem

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The proof is a slightly tricky induction on the number of steps required to completely chop up a set of sticks.



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note that when  $w = 2$ , the binary algorithm produces

$$(2, 2) \rightarrow \emptyset,$$

so  $v_2 = 1$  in  $\mathbf{v}_{(2,2)}$ .

Note:  $\phi : \mathcal{S} \rightarrow \mathbb{N}^\omega$  given by  $\phi(\mathcal{S}) = \mathbf{v}_{\mathcal{S}}$  is order-preserving, but is **not** a lattice homomorphism.

For example, let  $\mathcal{S} = (3)$  and  $\mathcal{T} = (2, 2, 2)$ . Then

$$\phi(3) = \mathbf{v}_{(3)} = (2, 2, \dots) \quad \text{and} \quad \phi(2, 2, 2) = \mathbf{v}_{(2,2,2)} = (3, 2, 1, 1, \dots).$$

Thus  $\phi(\mathcal{S}) \wedge \phi(\mathcal{T}) = (2, 2, 1, 1, \dots)$ .

However,  $\mathcal{S} \wedge \mathcal{T} = (2, 2)$ , and

$$\phi(2, 2) = \mathbf{v}_{(2,2)} = (2, 1, 1, \dots) < \phi(\mathcal{S}) \wedge \phi(\mathcal{T});$$

note that when  $w = 2$ , the binary algorithm produces

$$(2, 2) \rightarrow \emptyset,$$

so  $v_2 = 1$  in  $\mathbf{v}_{(2,2)}$ .



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## Problem

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## Problem

**1** *Is  $\phi$  join preserving?*

An affirmative answer to this problem would supply an alternate proof to our Theorem.

For a vector  $\mathbf{v}$ , let  $\mathcal{S}(\mathbf{v})$  be the family of all sets  $\mathcal{S}$  of sticks whose chop vector  $\mathbf{v}_{\mathcal{S}}$  equals  $\mathbf{v}$ .

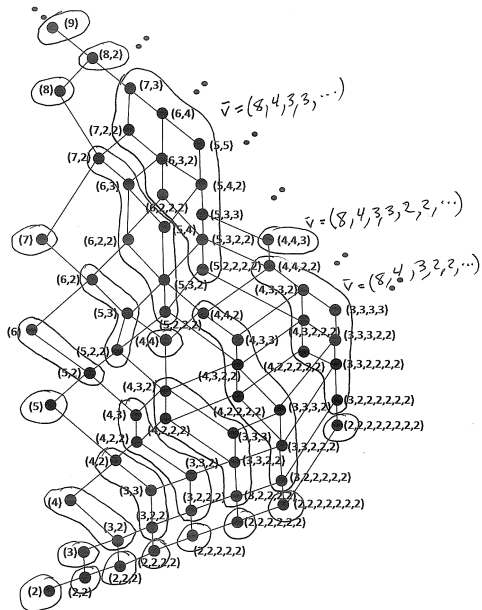
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Then  $\mathcal{S}(\mathbf{v})$  is a convex subset of  $\mathcal{S}$ .

That is, if  $S$  and  $T$  are in  $\mathcal{S}(\mathbf{v})$  and satisfy  $S < T$  in  $\mathcal{S}$ , and if  $U$  is in  $\mathcal{S}$  and satisfies  $S < U < T$ , then  $U$  must be in  $\mathcal{S}(\mathbf{v})$ .



However,  $\mathcal{S}(\mathbf{v})$  is not always a sublattice of  $\mathcal{S}$ , in particular  $\mathcal{S}(\mathbf{v})$  is not always closed under meets.



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For example, let  $\mathcal{S} = (7, 4)$  and  $\mathcal{T} = (8, 2, 2)$ . Then

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## Problem

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An affirmative answer to Problem 1 would give an affirmative answer to Problem 2 as well.



Here is another question suggested by the convex subsets  $\mathcal{S}(\mathbf{v})$ . Call a set  $\mathcal{S}$  of sticks *lonely* if  $\mathcal{S}(\mathbf{v}_{\mathcal{S}}) = \{\mathcal{S}\}$ , that is, if  $\mathcal{S}$  is the only element of  $\mathcal{S}$  having that particular chop vector.

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It is easy to see that for  $\mathcal{S} = (2, 2, \dots, 2)$  (which we abbreviate as  $(2^n)$  if there are  $n$  2's), its chop vector  $\mathbf{v}_{\mathcal{S}}$  satisfies  $v_1 = n$  and  $v_m = 1$  for all  $m \geq n$ .

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Thus  $(2^n)$  is lonely for all integers  $n \geq 1$ .

Also, of the elements  $\mathcal{S} \in \mathcal{S}$  of the form  $\mathcal{S} = (n)$  for integers  $n \leq 12$ , the following are lonely:

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And there is more: among the remaining elements shown in the Figure,  $(4, 4)$ ,  $(4, 4, 3)$  and  $(8, 2)$  are lonely.

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## Problem

**3** *Characterize all lonely elements of  $\mathcal{S}$ .*

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