

August 23, 2017



# 10 - Posets Basic Concepts

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# Binary Relations on Sets

**Definition** A **binary relation** on a set  $X$  is just a subset of the cartesian product  $X \times X$ .

**Definition** A binary relation  $R$  on a set  $X$  is said to be **reflexive** if  $(x, x) \in R$  for every  $x \in X$ .

**Example**  $X = \{1, 2, 3, 4, 5\}$

$R_1 = \{(2, 3), (3, 3), (1, 1), (4, 4), (5, 5), (5, 1), (3, 5), (2, 2)\}$

$R_2 = \{(2, 3), (5, 3), (1, 1), (4, 4), (5, 5), (5, 1), (2, 2)\}$

$R_3 = \{(3, 3), (2, 2), (1, 1), (4, 4), (5, 5), (5, 1), (3, 4), (2, 5)\}$

The binary relations  $R_1$  and  $R_3$  are reflexive.  $R_2$  is not.

## Binary Relations on Sets (2)

**Definition** A binary relation  $R$  on a set  $X$  is said to be **antisymmetric** if  $x = y$  whenever  $(x, y) \in R$  and  $(y, x) \in R$ .

**Example**  $X = \{1, 2, 3, 4, 5\}$

$$R_1 = \{(2, 3), (3, 3), (1, 3), (4, 4), (5, 5)\}$$

$$R_2 = \{(2, 3), (5, 3), (1, 1), (4, 4), (3, 5), (5, 1)\}$$

$$R_3 = \{(3, 3), (2, 2), (1, 1), (4, 1), (5, 4), (2, 1), (3, 4), (3, 5)\}$$

The binary relations  $R_1$  and  $R_3$  are antisymmetric.  $R_2$  is not.

# Binary Relations on Sets (3)

**Definition** A binary relation  $R$  on a set  $X$  is said to be **transitive** if  $(x, z) \in R$  whenever  $(x, y) \in R$  and  $(y, z) \in R$ .

**Example**  $X = \{1, 2, 3, 4, 5\}$

$R_1 = \{(2, 3), (3, 3), (3, 1), (4, 4), (2, 3)\}$

$R_2 = \{(2, 3), (5, 3), (3, 1), (4, 4), (3, 5), (5, 1)\}$

$R_3 = \{(3, 3), (2, 2), (3, 1), (1, 4), (5, 4), (5, 1), (3, 4), (5, 3)\}$

The binary relations  $R_1$  and  $R_3$  are transitive.  $R_2$  is not.

# Partial Orders on Sets

**Definition** A binary relation  $R$  on a set  $X$  is said to be **partial order** if it is reflexive, antisymmetric and transitive.

**Example**  $X = \{1, 2, 3, 4\}$

$R_1 = \{(1, 1), (1, 2), (3, 3), (4, 4), (1, 3), (3, 4), (1, 4), (2, 4)\}$

$R_2 = \{(1, 1), (2, 2), (3, 1), (1, 3), (1, 2)\}$

$R_3 = \{(1, 1), (2, 2), (3, 3), (4, 4), (1, 3), (2, 4)\}$

The binary relations  $R_1$  and  $R_3$  are partial orders.  $R_2$  is not. Note that  $R_2$  actually violates all three requirements.

# Basic Definitions

**Definition** A **partially ordered set** (also called a **poset**) is a set  $P$  equipped with a binary relation  $\leq$  which is a partial order on  $X$ , i.e.,  $\leq$  satisfies the following three properties:

- If  $x \in P$ , then  $x \leq x$  in  $P$  (**reflexive** property).
- If  $x, y, z \in P$ ,  $x \leq y$  in  $P$  and  $y \leq x$  in  $P$ , then  $x = y$  (**antisymmetric** property).
- If  $x, y, z \in P$ ,  $x \leq y$  in  $P$  and  $y \leq z$  in  $P$ , then  $x \leq z$  in  $P$  (**transitive** property).

# Examples of Posets

**Notation** When  $P$  is a poset,  $x < y$  in  $P$  means  $x \leq y$  in  $P$  and  $x \neq y$ . Also,  $y > x$  in  $P$  means the same as  $x < y$  in  $P$ . Similarly,  $x \leq y$  in  $P$  means the same as  $y \geq x$  in  $P$ .

**Example** When  $P$  is a collection of sets, set  $x \leq y$  in  $P$  when  $x$  is a subset of  $y$ . In this poset  $\{2, 5\} < \{2, 5, 7, 8\}$  and  $\{5, 8, 9\} \geq \{5, 8, 9\}$ .

**Example** When  $P$  is a set of positive integers, set  $x \leq y$  in  $P$  when  $x$  divides  $y$  without remainder. In this poset,  $15 < 105$  and  $12 < 48$ . But  $17$  is **not** less than  $1,000,000,000$ .

# Linear Orders

**Observation** The familiar binary relation  $\leq$  on number systems like  $\mathbf{Z}$  (integers),  $\mathbf{Q}$  (rationals) and  $\mathbf{R}$  (reals) is a partial order. However, in each of these three cases, the binary relation  $\leq$  satisfies a fourth condition:

For all  $x, y$ , either  $x \leq y$  in  $P$  or  $y \leq x$  in  $P$ .

**Definition** Partial orders satisfying this additional condition are called **linear** orders or **total** orders.



# Covers in a Poset

**Definition** When  $x$  and  $y$  are distinct points in a poset  $P$ , we say that  $x$  is **covered** by  $y$  in  $P$  when  $x < y$  in  $P$  and there is no point  $z$  with  $x < z < y$  in  $P$ . Alternatively, we may say that  $y$  **covers**  $x$  in  $P$ .

**Example** With inclusion,  $\{2, 5\}$  is covered by  $\{2, 5, 7\}$  but  $\{4, 6, 7\}$  is not covered by  $\{4, 6, 7, 9, 11, 12\}$

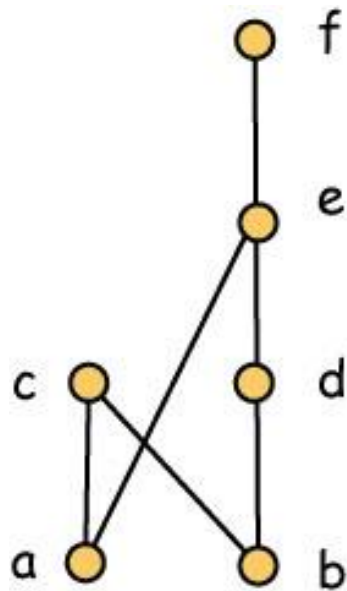
**Example** With division, 15 is covered by 105, but 14 is not covered by 84.

# Cover Graphs and Order Diagrams

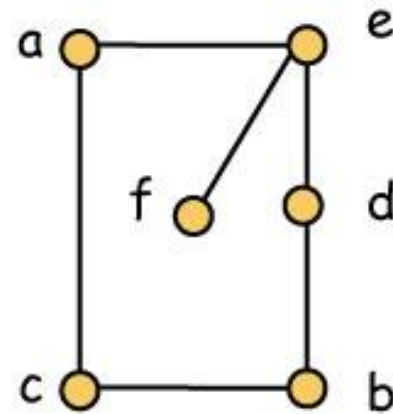
**Definition** When  $P$  is a poset, we associate with  $P$  a graph  $G$  called the **cover graph** of  $P$ . The vertices of  $G$  are the points of  $P$ . When  $x$  and  $y$  are distinct points in  $P$ , they are adjacent in  $G$  when one of  $x$  and  $y$  covers the other in  $P$ .

**Definition** When  $G$  is the cover graph of a poset  $P$ , a drawing of  $G$  in the plane (traditionally with straight line segments for edges) is called an **order diagram** (or **Hasse diagram**) if  $y$  is higher in the plane than  $x$  whenever  $y$  covers  $x$  in  $P$ .

# Order Diagrams and Cover Graphs

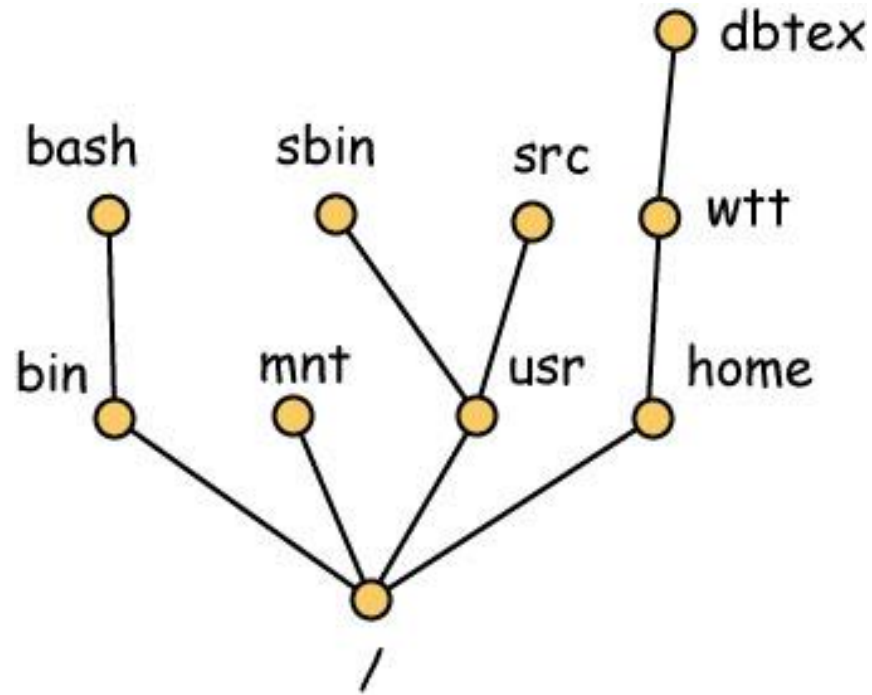


Order Diagram

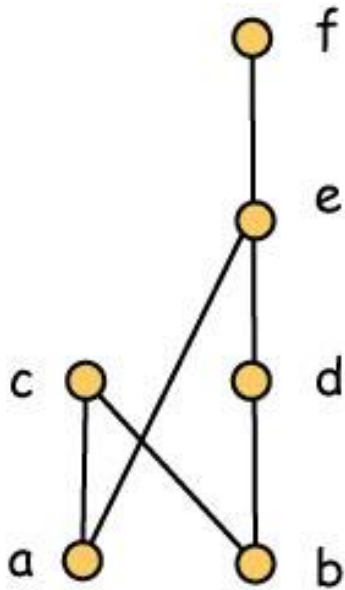


Cover Graph

# Posets are Everywhere!!



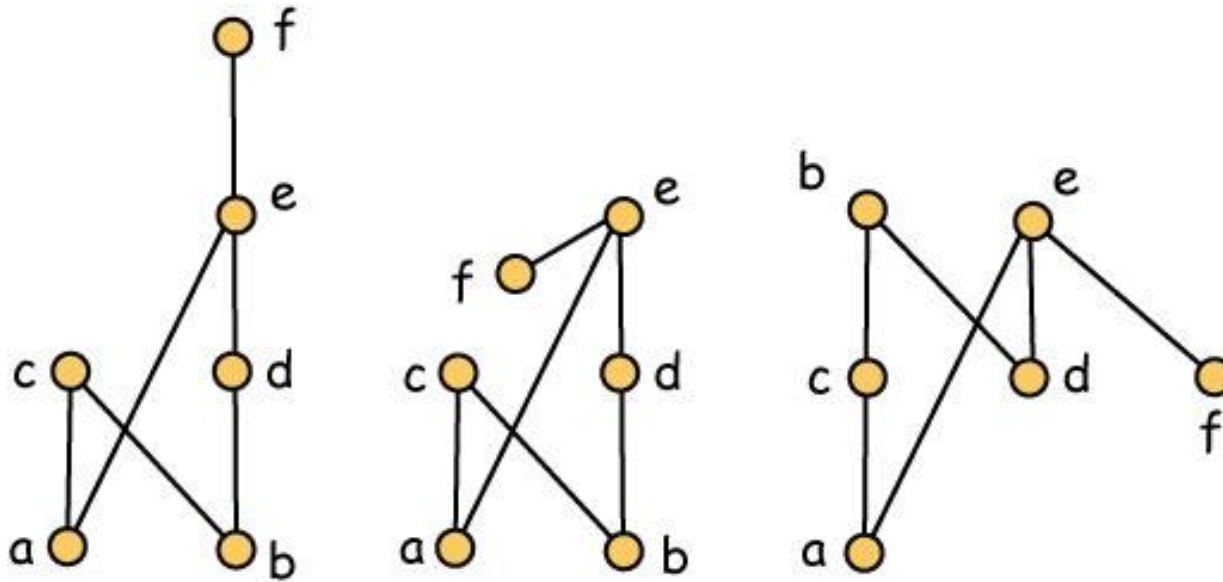
# Order Diagrams and Binary Relations



**Exercise** What is the binary relation for the poset shown on the left?

**Exercise** Draw an order diagram for the poset whose ground set is  $\{1, 2, 3, 4, 5, 6, 7\}$  with partial order  $\{(1, 1), (2, 2), (3, 3), (4, 4), (5, 5), (6, 6), (7, 7), (2, 5), (3, 4), (3, 6), (3, 2), (3, 5), (4, 5), (7, 1)\}$

# Three Posets with the Same Cover Graph



**Exercise** How many posets altogether have the same cover graph as these three?

# Comparable and Incomparable Points

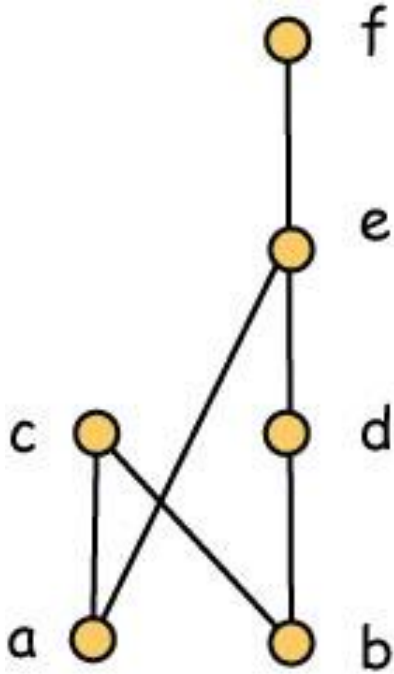
**Definition** When  $P$  is poset, we say that two distinct points  $x$  and  $y$  of  $P$  are **comparable** when either  $x < y$  in  $P$  or  $x > y$  in  $P$ . When  $x$  and  $y$  are not comparable, they are said to be **incomparable**. A **partially ordered set** (or **poset**)  $P$  is a set equipped with a binary relation  $\leq$  which is reflexive, antisymmetric and transitive.

# Comparability and Incomparability Graphs

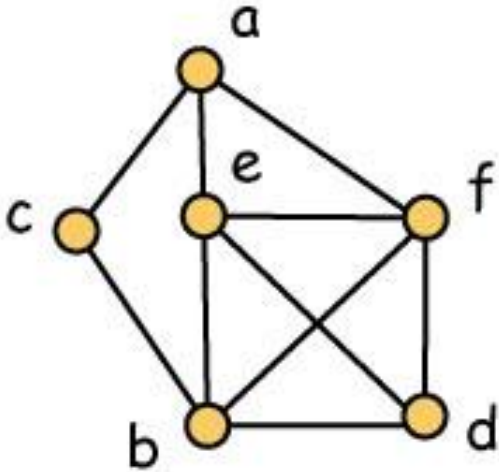
**Definition** When  $P$  is poset, we can associate with  $P$  two graphs. One is called **comparability** graph of  $P$  and the other is the **incomparability** graph of  $P$ . Both graphs have the elements of  $P$  as their vertex set. In the comparability graph, distinct elements  $x$  and  $y$  of  $P$  are adjacent when they are comparable in  $P$ . Analogously,  $x$  and  $y$  are adjacent in the incomparability graph when they are incomparable in  $P$ .



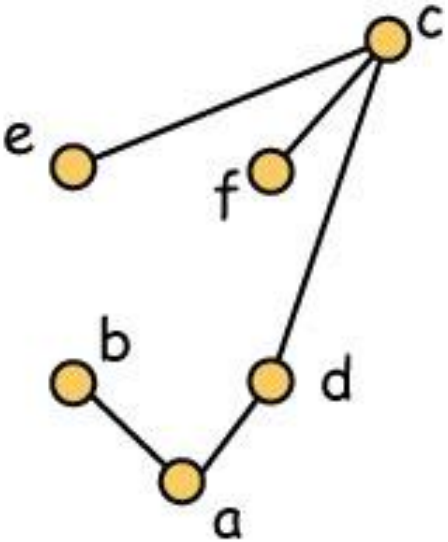
# Comparability and Incomparability Graphs (2)



Poset



Comparability Graph



Incomparability Graph

# Alternate Definition

**Definition** A **poset**  $P$  is a set equipped with a binary relation  $<$  which is irreflexive and transitive. For example:

- A family of closed intervals of  $\mathbf{R}$  with  $[a, b] < [c, d]$  if and only if  $b < c$  in  $\mathbf{R}$ .

**Note** To avoid operator overloading confusion, we write  $x <_P y$  in  $P$ . When there is no ambiguity, we just write  $x < y$ .

# Maximal and Minimal Points

**Definition** An element  $x$  of a poset  $P$  is said to be a **maximal point** of  $P$  when there is no point  $y$  of  $P$  with  $y > x$  in  $P$ .

**Definition** An element  $w$  of  $P$  is called a **minimal point** of  $P$  when there is no point  $z$  in  $P$  with  $z < w$  in  $P$ .

# A Concrete Example

**Example** Let  $X = \{1, 2, 3, 4, 5, 6\}$  and  $P = \{(1, 1), (2, 2), (3, 3), (4, 4), (5, 5), (6, 6), (6, 1), (6, 4), (1, 4), (6, 5), (3, 4), (6, 2)\}$ .

Then

6 and 3 are minimal elements.

2, 4 and 5 are maximal elements.

4 is comparable to 6.

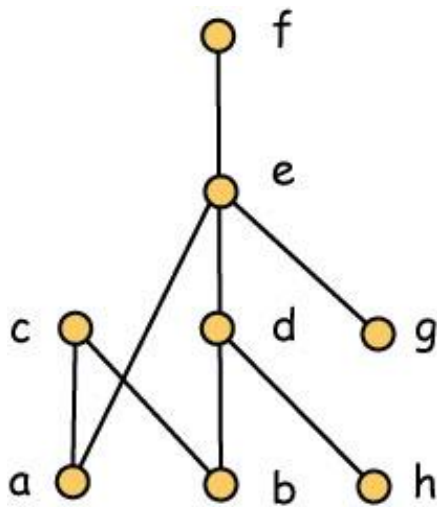
2 is incomparable to 3.

1 covers 6 and 3 is covered by 5.

4 > 6 but 4 does not cover 6, since  $6 < 1 < 4$ .

# Another Concrete Example

## Example



$c$  and  $f$  are maximal elements.

$a, b, g$  and  $h$  are minimal elements.

$a$  is comparable to  $f$ .

$c$  is incomparable to  $h$ .

$e$  covers  $a$  and  $h$  is covered by  $d$ .

$e > h$  but  $e$  does not cover  $h$ .

# Diagram for a Poset on 26 points

## Terminology:

- $b < i$  and  $s < y$ .
- $j$  covers  $a$ .
- $b > e$  and  $k > w$ .
- $s$  and  $y$  are comparable.
- $j$  and  $p$  are incomparable.
- $c$  is a maximal element.
- $u$  is a minimal element.

