IRREDUCIBILITY OF $q$-DIFFERENCE OPERATORS
AND THE KNOT $7_4$

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Abstract. Our goal is to compute the minimal-order recurrence of the colored Jones polynomial of the $7_4$ knot, as well as for the first four double twist knots. As a corollary, we verify the AJ Conjecture for the simplest knot $7_4$ with reducible non-abelian SL(2, C) character variety. To achieve our goal, we use symbolic summation techniques of Zeilberger’s holonomic systems approach and an irreducibility criterion for $q$-difference operators. For the latter we use an improved version of the qHyper algorithm of Abramov-Paule-Petkovšek to show that a given $q$-difference operator has no linear right factors. En route, we introduce exterior power Adams operations on the ring of bivariate polynomials and on the corresponding affine curves.

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1. Introduction

1.1. Notation. Throughout the paper the symbol \( \mathbb{K} \) denotes a field of characteristic zero; for most applications one may think of \( \mathbb{K} = \mathbb{Q} \). We write \( \mathbb{K}[X_1, \ldots, X_n] \) for the ring of polynomials in the variables \( X_1, \ldots, X_n \) with coefficients in \( \mathbb{K} \), and similarly \( \mathbb{K}[X_1^{\pm 1}, \ldots, X_n^{\pm 1}] \) for the ring of Laurent polynomials, and \( \mathbb{K}(X_1, \ldots, X_n) \) for the field of rational functions. In a somewhat sloppy way we use angle brackets, e.g., \( \mathbb{K}\langle X_1, \ldots, X_n \rangle \), to refer to the ring of polynomials in \( X_1, \ldots, X_n \) with some non-commutative multiplication. This non-commutativity may occur between variables \( X_i \) and \( X_j \), or between the coefficients in \( \mathbb{K} \) and the variables \( X_i \). It will be always clear from the context which commutation rules apply. Let 

\[
p(X, Y_1, \ldots, Y_n) = \sum_{k=a}^{b} p_k(Y_1, \ldots, Y_n) X^k, \quad a, b \in \mathbb{Z},
\]

be a nonzero Laurent polynomial with \( p_a \neq 0 \) and \( p_b \neq 0 \); then we define \( \deg_X(p) := b \) and \( \ldeg_X(p) := a \). As usual, \([a] \) (resp. \( [a] \)) denotes the largest integer \( \leq a \) (resp. smallest integer \( \geq a \)).

1.2. The colored Jones polynomial of a knot and its recurrence. The colored Jones function \( J_{K,n}(q) \in \mathbb{Z}[q^{\pm 1}] \) of a knot \( K \) in 3-space for \( n \in \mathbb{N} \) is a powerful knot invariant which satisfies a linear recurrence (i.e., a linear recursion relation) with coefficients that are polynomials in \( q \) and \( q^n \) [GL05]. The non-commutative \( A \)-polynomial \( A_K(q, M, L) \) of \( K \) is defined to be the (homogeneous and content-free) such recurrence for \( J_{K,n}(q) \) that has minimal order, written in operator notation. (By “content-free” we mean that the coefficients of the recurrence, which are polynomials in \( q \) and \( M \), do not share a common non-trivial factor.) By definition, the non-commutative \( A \)-polynomial of \( K \) is an element of the localized \( q \)-Weyl algebra

\[
\mathbb{W} = \mathbb{K}(q, M)\langle L \rangle/(LM - qML)
\]

where \( \mathbb{K} = \mathbb{Q} \) and the symbols \( L \) and \( M \) denote operators which act on a sequence \( f_n(q) \) by

\[
(Lf)_n(q) = f_{n+1}(q), \quad (Mf)_n(q) = q^n f_n(q).
\]

The non-commutative \( A \)-polynomial of a knot allows one to compute the Kashaev invariant of a knot in linear time, and to confirm numerically the Volume Conjecture of Kashaev, the Generalized Volume Conjecture of Gukov and Garoufalidis-Le, the Modularity Conjecture of Zagier, the Slope Conjecture of Garoufalidis and the Stability Conjecture of Garoufalidis-Le. For a discussion of the above conjectures and for a survey of computations, see [Gar]. This explains the importance of exact formulas for the non-commutative \( A \)-polynomial of a knot.

In [Gar04] (see also [Gel02]) the first author formulated the AJ conjecture which relates the specialization \( A_K(1, M, L) \) with the \( A \)-polynomial \( A_K(M, L) \) of \( K \). The latter parametrizes the affine variety of \( \text{SL}(2, \mathbb{C}) \) representations of the knot complement, viewed from the boundary torus [CCG+94].

So far, the AJ conjecture has been verified only for knots whose \( A \)-polynomial consists of a single multiplicity-free component (aside from the component of abelian representations).
For the remaining knots, and especially for the hyperbolic knots, one does not know whether the non-commutative $A$-polynomial detects

(a) all non-geometric components of the $\text{SL}(2, \mathbb{C})$ character variety,
(b) their multiplicities.

Our goal is to compute the non-commutative $A$-polynomial of the simplest knot whose $A$-polynomial has two irreducible components of non-abelian $\text{SL}(2, \mathbb{C})$ representations (see Theorem 1.2), as well as recurrences for the colored Jones polynomials of the first four double twist knots. En route, we will introduce Adams operations on $\mathbb{W}$ which will allow us to define Adams operations of the ring $\mathbb{Q}[M, L]$ of $A$-polynomials and their non-commutative counterparts.

1.3. Minimal-order recurrences. We split the problem of determining a minimal-order recurrence for a given sequence into two independent parts:

(a) Compute a recurrence: if the sequence is defined by a multidimensional sum of a proper $q$-hypergeometric term (as it is the case for the colored Jones polynomial), numerous algorithms can produce a linear recurrence with polynomial coefficients; see for instance [PWZ96]. Different algorithms in general produce different recurrences, which may not be of minimal order [PR97].
(b) Show that the recurrence produced in (a) has in fact minimal order: this can be achieved by proving that the corresponding operator is irreducible in $\mathbb{W}$ . Criteria for certifying the irreducibility of a $q$-difference operator are presented in Section 4.

1.4. The non-commutative $A$-polynomial of the $7_4$ knot. To illustrate our ideas concretely, rigorously and effectively, we focus on the simplest knot with reducible $A$-polynomial, namely the $7_4$ knot in Rolfsen’s notation [Rol90]:

\[7_4\]

$7_4$ is a 2-bridge knot $K(11/15)$, and a double-twist knot obtained by $(-1/2, -1/2)$ surgery on the Borromean rings. Its $A$-polynomial can be computed with the Mathematica implementation by Hoste or with the Maxima implementation by Huynh, see also Petersen [Pet11], and it is given by

\[
A_{7_4}(M, L) = (L^2M^8 - LM^8 + LM^6 + 2LM^4 + LM^2 - L + 1)^2 \\
	imes (L^3M^{14} - 2L^2M^{14} + LM^{14} + 6L^2M^{12} - 2LM^{12} + 2L^2M^{10} + 3LM^{10} - 7L^2M^8 \\
+ 2LM^8 + 2L^2M^6 - 7LM^6 + 3L^2M^4 + 2LM^4 - 2L^2M^2 + 6LM^2 + L^2 - 2L + 1).
\]

The first factor of $A_{7_4}(M, L)$ has multiplicity two and corresponds to a non-geometric component of the $\text{SL}(2, \mathbb{C})$ character variety of $7_4$. The second factor of $A_{7_4}(M, L)$ has multiplicity one and corresponds to the geometric component of the $\text{SL}(2, \mathbb{C})$ character variety of $7_4$. 
Let $A_{7_4}^{\text{red}}$ denote the squarefree part of the above polynomial (i.e., where the second power of the first factor is replaced by the first power), called the reduced $A$-polynomial. Finally, let $A_{-7_4}^{\text{red}}(M, L) = A_{7_4}^{\text{red}}(M, L^{-1})L^5 \in \mathbb{Z}[M, L]$ denote the reduced $A$-polynomial of $-7_4$, the mirror of $7_4$.

**Definition 1.1.** We say that an operator $P \in \mathbb{K}(q)(M^\pm 1, L^\pm 1)/(LM - qML)$ is palindromic if and only if there exist integers $a, b \in \mathbb{Z}$ such that

$$P(q, M, L) = (-1)^aq^{b+2}M^mL^bP(q, M^{-1}, L^{-1})L^{\ell-b}$$

where $m = \deg_M(P) + \ldeg_M(P)$ and $\ell = \deg_L(P) + \ldeg_L(P)$. An operator in $\mathbb{K}$ is called palindromic if, after clearing denominators, it is palindromic in the above sense.

If $P = \sum_{i,j} p_{i,j}M^iL^j$ then condition (1) implies that $p_{i,j} = (-1)^aq^{b(i-m/2)}p_{m-i,\ell-j}$ for all $i, j \in \mathbb{Z}$. Note also that palindromic operators give rise to (skew-) symmetric solutions (if doubly-infinite sequences $(f_n)_{n \in \mathbb{Z}}$ are considered). More precisely, the equation $Pf = 0$ for palindromic $P$ admits nontrivial symmetric (i.e., $f_{[r+n]} = f_{[r-n]}$ for all $n$) and skew-symmetric (i.e., $f_{[r+n]} = -f_{[r-n]}$ for all $n$) solutions, where $r = (\ell - b)/2$ is the reflection point.

The next theorem gives the non-commutative $A$-polynomial of $7_4$ in its inhomogeneous form. Every inhomogeneous recurrence $Pf = b$ gives rise to a homogeneous recurrence $(L - 1)(b^{-1}P)f = 0$.

**Theorem 1.2.** The inhomogeneous non-commutative $A$-polynomial of $7_4$ is given by the equation

$$P_{7_4}J_{7_4,n}(q) = b_{7_4}$$

with $b_{7_4} \in \mathbb{Q}(q, q^n)$ and $P_{7_4} \in \mathbb{W}$ being a palindromic operator of $(q, M, L)$-degree $(65, 24, 5)$; both are given explicitly in Appendix A.

The proof of Theorem 1.2 consists of three parts:

1. Compute the inhomogeneous recurrence (2) for the colored Jones function $J_{7_4,n}(q)$ using the iterated double sum formula for the colored Jones function (Equation (3)) and rigorous computer algebra algorithms (see Section 3).
2. Prove that the operator $P_{7_4}$ has no right factors of positive order (see Section 4). To this end, we discuss some natural $\mathbb{W}$-modules associated to a knot, given by the exterior algebra operations.
3. Show that $J_{7_4,n}(q)$ does not satisfy a zero-order inhomogeneous recurrence, by using the degree of the colored Jones function (see Section 8).

**Corollary 1.3.** The AJ conjecture holds for the knot $7_4$:

$$P_{7_4}(1, M, L) = A_{7_4}^{\text{red}}(M^{1/2}, L)(M - 1)^5(M + 1)^4(2M^4 - 5M^3 + 8M^2 - 5M + 2).$$

**Proof.** This follows from Theorem 1.2 by setting $q = 1$. \qed
2. The colored Jones polynomial of double twist knots

Let $J_{K,n}(q)$ denote the colored Jones polynomial of the 0-framed knot $K$, colored by the $n$-dimensional irreducible representation of $\mathfrak{sl}_2(\mathbb{C})$ and normalized to be 1 at the unknot [Tur88, Tur94, Jan96]. The double twist knot $K_{p,p'}$ depicted below is given by $(-1/p, -1/p')$ surgery on the Borromean rings for integers $p, p'$,

![Diagram of double twist knot]

where the boxes indicate half-twists as follows

$$\begin{align*}
+1 &= \times \\
-1 &= \times
\end{align*}$$

Using the Habiro theory of the colored Jones function, (see [Lau10, Sec.6] following [Mas03] and [Hab08]) it follows that

$$J_{K_{p,p'},n}(q) = \sum_{k=0}^{n-1} (-1)^k c_{p,k}(q)c_{p',k}(q)q^{-kn-k(k+3)/2}(q^{n-1}; q^{-1})_k(q^{n+1}; q)_k$$

(3)

where $(x;q)_n$ denotes, as usual, the $q$-Pochhammer symbol defined as $\prod_{j=0}^{n-1}(1-xq^j)$ and

$$c_{p,n}(q) = \sum_{k=0}^{n} (-1)^{k+n}q^{-k^{2}+\frac{k^2}{2}+\frac{3n}{2}+\frac{n^2}{2}}(1-q^{2k+1})(q;q)_n(q^{-k}q^{-n}k(q; q)_{n+k+1}$$

(4)

Keep in mind that the above definition of $c_{p,n}$ differs by a power of $q$ from the one given in [Mas03, Thm.3.2]. With our definition, we have $c_{-1,n}(q) = 1$ and $c_{1,n}(q) = (-1)^n q^{n(n+3)/2}$. In [GS06] it was shown that for each integer $p$, the sequence $c_{p,n}(q)$ satisfies a monic recurrence of order $|p|$ with initial conditions $c_{p,n}(q) = 0$ for $n < 0$ and $c_{p,0}(q) = 1$. In particular, for $p = 2$ we have:

$$c_{2,n+2}(q) + q^{n+3}(1+q-q^{n+2}+q^{2n+4})c_{2,n+1}(q) + q^{2n+6}(1-q^{n+1})c_{2,n}(q) = 0.$$
Now, $K_{2,2} = 7_4$. The first few values of the colored Jones polynomial $f_n(q) := J_{7_4,n}(q)$ are given by

\[
\begin{align*}
    f_1(q) &= 1 \\
    f_2(q) &= q - 2q^2 + 3q^3 - 2q^4 + 3q^5 - 2q^6 + q^7 - q^8 \\
    f_3(q) &= q^2 - 2q^3 + q^4 + 4q^5 - 6q^6 + 2q^7 + 6q^8 - 9q^9 + 3q^{10} + 7q^{11} - 8q^{12} + q^{13} + 7q^{14} - 7q^{15} - q^{16} + 5q^{17} - 4q^{18} - q^{19} + 3q^{20} - q^{21} - q^{22} + q^{23} \\
    f_4(q) &= q^3 - 2q^4 + q^5 + 2q^6 - 4q^8 + q^9 + 6q^{10} - 2q^{11} - 8q^{12} + 5q^{13} + 9q^{14} - 4q^{15} - 13q^{16} + 7q^{17} + 11q^{18} - 3q^{19} - 15q^{20} + 6q^{21} + 11q^{22} - q^{23} - 13q^{24} + q^{25} + 10q^{26} + 2q^{27} - 11q^{28} - 3q^{29} + 9q^{30} + 3q^{31} - 7q^{32} - 5q^{33} + 7q^{34} + 4q^{35} - 3q^{36} - 5q^{37} + 3q^{38} + 3q^{39} - 3q^{41} + q^{43} + q^{44} - q^{45}
\end{align*}
\]

The above data agrees with the KnotAtlas [BN05].

### 3. Computing a recurrence for the colored Jones polynomial of $7_4$

We employ the definition of $J_{K_{p,p',n}}(q)$ given in (3) and (4) in terms of definite sums to compute a recurrence for the colored Jones polynomial of $7_4 = K_{2,2}$. Thanks to Zeilberger’s holonomic systems approach [Zei90b] this task can be executed in a completely automatic fashion, e.g., using the algorithms implemented in the Mathematica package HolonomicFunctions [Kou10b], see [Kou09] for more details. The summation problem in (4) can be tackled by a $q$-analogue of Zeilberger’s fast summation algorithm [Zei90a, Zei91, WZ92, PR97] since the summand is a proper $q$-hypergeometric term.

As it was mentioned above, the sequence $c_{p,n}(q)$ satisfies a recurrence of order $|p|$ and therefore the summand of (3) is not $q$-hypergeometric in general. Thus we apply Chyzak’s generalization [Chy00] of Zeilberger’s algorithm to derive a recurrence for $J_{7_4,n}(q)$.

Both algorithms are based on the concept of creative telescoping [Zei91], see [Kou09] for an introduction and [GS10] for an earlier application to the computation of non-commutative A-polynomials. Let $f_{n,k}(q)$ denote the summand of (3). Our implementation of Chyzak’s algorithm yields the equation

\[
P_{7_4}(f_{n,k}) = c_d(q, q^n)f_{n+d,k} + \cdots + c_0(q, q^n)f_{n,k} = g_{n,k+1} - g_{n,k}
\]

where $g_{n,k}$ is a $\mathbb{K}(q, q^k, q^n)$-linear combination of certain shifts of $f$ (e.g., $f_{n,k}$, $f_{n+1,k}$, $f_{n,k+1}$, etc.). Now creative telescoping is executed by summing this equation with respect to $k$. It follows that $P_{7_4}(J_{7_4,n}) = g_{n,n} - g_{n,0} = b_{7_4}$.

The summation problems (3) and (4) for $p = p' = 2$ are of moderate size: our software HolonomicFunctions computes the solution in less than 2 minutes. The result is given in Appendix A.

### 4. Irreducibility of $q$-difference operators

An element $P \in \mathbb{W}$ is irreducible if it cannot be written in the form $P = QR$ with $Q, R \in \mathbb{W}$ of positive $L$-degree. Since there is a (left and right) division algorithm in $\mathbb{W}$, it follows that every element $P$ is a finite product of irreducible elements. However, it
can happen that $P$ can be factored in different ways, but any two factorizations of $P$ into irreducible elements are related in a specific way; see [Ore33].

A factorization algorithm for elements of the localized Weyl algebra $\mathbb{K}(x)\langle\partial\rangle$ where $\partial x - x\partial = 1$ has been discussed by several authors that include [Sch89, Tsa96, Bro96] and also [vH97, Sec.8] and [vdPS03]; the factorization of more general Ore operators (including differential, difference, and $q$-difference) has been investigated in [BP96]. Roughly, a factorization algorithm for $P \in \mathbb{K}(x)\langle\partial\rangle$ where $\partial x - x\partial = 1$ has been discussed by several authors that include [Sch89, Tsa96, Bro96] and also [vH97, Sec.8] and [vdPS03]; the factorization of more general Ore operators (including differential, difference, and $q$-difference) has been investigated in [BP96]. Roughly, a factorization algorithm for $P \in \mathbb{K}(x)\langle\partial\rangle$ of order $d$ (as a linear differential operator) proceeds as follows: if $P = QR$ where $R$ is of order $k$, then the coefficients of $R$ can be computed by finding the right factors of order 1 of the associated equation obtained by the $k$-th exterior power of $P$. The problem of finding linear right factors can be solved algorithmically.

For our purposes we do not require a full factorization algorithm, but only criteria for certifying the irreducibility of $q$-difference operators. Consider $P(q,M,L) \in \mathbb{K}(q)^{\langle\partial\rangle}$ and assume that the leading coefficient of $P$ does not vanish when specialized to $q = 1$. The following is an algorithm for certifying irreducibility of $P$:

1. If $P(1,M,L) \in \mathbb{K}(M)[L]$ is irreducible, then $P$ is irreducible (see Section 4.1).
2. If not, factor the commutative polynomial $P(1,M,L)$ into irreducible factors $P_1 \cdots P_n$.
3. For each $k = \sum_{i \in I} \deg L(P_i)$ such that $I \subseteq \{1, \ldots, n\}$ compute the exterior power $\bigwedge^k P \in \mathbb{W}$ (see Section 4.2).
4. Apply the algorithm $q$Hyper (e.g., in its improved version described in Section 7), to show that none of the computed exterior powers has a linear right factor. Then $P$ is irreducible.

4.1. An easy sufficient criterion for irreducibility. In this section we mention an easy irreducibility criterion in $\mathbb{W}$, which is sufficient but not necessary, as we shall see. This criterion has been used in [GS10] to compute the non-commutative $A$-polynomial of twist knots, and also in [Lé06, LT13] to verify the AJ conjecture in some cases.

To formulate the criterion, we will use the Newton polygon at $q = 1$, in analogy with the Newton polygon at $q = 0$ studied in [Gar11a]. Expanding a rational function $a(q,M) \in \mathbb{K}(q,M)$ into a formal Laurent series in $q - 1$, let $v(a(q,M)) \in \mathbb{Z} \cup \{\infty\}$ denote the lowest power of $q - 1$ which has nonzero coefficient. It can be easily verified that $v$ is a valuation, i.e., it satisfies

$$v(ab) = v(a) + v(b), \quad v(a + b) \geq \min(v(a), v(b)).$$

**Definition 4.1.** For an operator $P(q,M,L) = \sum_{j=0}^d a_j(q,M)L^j \in \mathbb{W}$ the Newton polygon $N(P)$ is defined to be the lower convex hull (see [DLRS10]) of the set $\{(j, v(a_j)) \mid j = 0, \ldots, d\}$. Furthermore, let $N^e(P)$ denote the union of the (non-vertical) boundary line segments of $N(P)$.

For instance, if $P = (q - 1)^2L^5 + ((q - 1)(Mq - 1))^{-1}L^3 + L^2 + (q - 1)^{-1}L + 1$, then $N(P)$ is given by

```
N
```
and \(N^e(P)\) is the path of straight line segments connecting the points \((0, 0)\), \((1, -1)\), \((3, -1)\), and \((5, 2)\). The next lemma is elementary; it follows easily from the definitions. Recall [Zie95] that the Minkowski sum \(A + B\) of two polytopes \(A\) and \(B\) is the convex hull of the set \{\(a + b \mid a \in A, b \in B\}\).

**Lemma 4.2.** If \(Q, R \in \mathbb{W}\), then \(N(QR) = N(Q) + N(R)\).

**Proposition 4.3.** Let \(P(q, M, L) = \sum_{j=0}^{d} a_j(q, M)L^j \in \mathbb{W}\) with \(d > 1\) and assume that \(P(1, M, L) \in \mathbb{K}(M)[L]\) is well-defined and irreducible with \(a_0(1, M)a_d(1, M) \neq 0\). Then \(P(q, M, L)\) is irreducible in \(\mathbb{W}\).

**Proof.** The assumptions imply that \(N^e(P)\) is the horizontal line segment from the origin to \((\deg_L(P), 0)\). If \(P = QR\) with \(\deg_L(Q)\deg_L(R) \neq 0\), then Lemma 4.2 implies that both \(N^e(Q)\) and \(N^e(R)\) consist of a single horizontal segment as well. Without loss of generality, assume that the leading coefficient of \(Q\) has valuation zero; if not we can multiply \(Q\) by \((1-q)^a\) and \(R\) by \((1-q)^{-a}\) for an appropriate integer \(a\). Then, it follows that \(N^e(Q) = [0, \deg_L(Q)] \times 0\) and \(N^e(R) = [0, \deg_L(R)] \times 0\). Evaluating at \(q = 1\), it follows that \(Q(1, M, L), R(1, M, L) \in \mathbb{K}(M)[L]\) are well-defined and \(P(1, M, L) = Q(1, M, L)R(1, M, L)\) where \(Q(1, M, L)\) and \(R(1, M, L)\) are of \(L\)-degree \(\deg_L(Q)\) and \(\deg_L(R)\) respectively. This contradicts the assumption that \(P(1, M, L)\) is irreducible and completes the proof. \(\square\)

4.2. Adams operations on \(\mathbb{W}\)-modules. In this section we introduce Adams (i.e., exterior power) operations on finitely generated \(\mathbb{W}\)-modules. The Adams operations were inspired by the Weyl algebra setting, and play an important role in irreducibility and factorization of elements in \(\mathbb{W}\).

To begin with, a finitely generated left \(\mathbb{W}\)-module \(\mathcal{M}\) is a direct sum of a free module of finite rank and a cyclic torsion module. The proof of this statement for \(\mathbb{W}\) is identical to the proof for modules over the Weyl algebra, discussed for example in Lemma 2.5 and Proposition 2.9 of [vdPS03].

Consider a torsion \(\mathbb{W}\)-module \(\mathcal{M}\) with generator \(f\). We will write this by \((\mathcal{M}, f)\), following the notation of [vdPS03, Sec.2.3]. \(f\) is often called a cyclic vector for \(\mathcal{M}\). It follows that \(\mathcal{M} = \mathbb{W}/(\mathbb{WP})\) where \(P\) is a generator of the left annihilator ideal \(\text{ann}(f) := \{Q \in \mathbb{W} \mid Qf = 0\}\) of \(f\).

**Definition 4.4.** For a natural number \(k\), we define the \(k\)-th exterior power of \((\mathcal{M}, f)\) by

\[
\bigwedge^k(\mathcal{M}, f) = (\bigwedge^k \mathcal{M}, f \wedge Lf \wedge \cdots \wedge L^{k-1}f)
\]

If \(P = \text{ann}(f)\), then we define \(\bigwedge^k P := \text{ann}(f \wedge Lf \wedge \cdots \wedge L^{k-1}f)\).

The next lemma is an effective algorithm to compute \(\bigwedge^k P\). Recall the shifted analogue of the Wronskian (also called Casoratian) of \(k\) sequences \(f_n^{(i)}\) for \(i = 1, \ldots, k\) given by

\[
W(f^{(1)}, \ldots, f^{(k)})_n = \text{det}_{0 \leq j \leq k-1}^{\bigwedge_{1 \leq i < k} f_n^{(i)}}.
\]

**Lemma 4.5.** Let \(P \in \mathbb{W}\) and \(f_n^{(1)}, \ldots, f_n^{(k)}\) be \(k\) linearly independent solutions of the equation \(Pf = 0\). Then \(\bigwedge^k P\) is the minimal-order operator in \(\mathbb{W}\) which annihilates the
sequence \( w_n = W(f^{(1)}, \ldots, f^{(k)})_n \). In particular, there is a unique such solution (up to left multiplication by elements from \( \tilde{K}(q, M) \)).

Proof. Let \( d = \deg_L(P) \) and fix \( k \leq d \). Let \( \mathcal{I} = \{(i_1, \ldots, i_k) \mid 1 \leq i_1 < i_2 < \cdots < i_k \leq d\} \).
\( \wedge^k \mathcal{M} \) has a basis \( \{v_I \mid I \in \mathcal{I}\} \) where \( v_I = L^{i_1-1}f \wedge L^{i_2-1}f \cdots \wedge L^{i_k-1}f \) for \( I = (i_1, \ldots, i_k) \in \mathcal{I} \). Now, using the fact that \( Pf = 0 \), it follows that for \( v \in \mathcal{M} \) and every natural number \( j \) we have

\[
L^j v = \sum_{I \in \mathcal{I}} a_{j,I} v_I
\]

where the \( a_{j,I} \) are rational functions in \( q \) and \( q^n \). It follows that the set \( \{L^j v \mid j = 0, \ldots, (\binom{d}{k})\} \) is linearly dependent. A minimal dependency of this set gives rise to the operator \( \wedge^k P \) by definition of the latter.

To prove the lemma, choose a fundamental set of solutions \( f^{(i)} \) for \( i = 1, \ldots, d \) to the recurrence equation \( Pf = 0 \) and consider the correspondence

\[
\phi : \{v_I \mid I \in \mathcal{I}\} \longrightarrow \{w_I \mid I \in \mathcal{I}\}, \quad \phi(v_I) = w_I
\]

where \( w_I = W(f^{(i_1)}, \ldots, f^{(i_k)}) \) for \( I = (i_1, \ldots, i_k) \in \mathcal{I} \). The above correspondence is invariant with respect to the \( L \)-action since

\[
LW(g^{(i_1)}, \ldots, g^{(i_k)}) = W(Lg^{(i_1)}, \ldots, Lg^{(i_k)}).
\]

It follows that for all natural numbers \( j \) we have

\[
L^j w = \sum_{I \in \mathcal{I}} a_{j,I} w_I
\]

where \( w = \phi(f \wedgeLf \wedge \cdots \wedge L^{k-1}f) = W(f^{(1)}, \ldots, f^{(k)}) \). Since \( \wedge^k P \) is the operator that encodes the minimal dependency among the translates of \( v \), it follows that it is also the operator that encodes the minimal dependency among the translates of \( w \). The result follows. \( \square \)

Corollary 4.6. Lemma 4.5 gives the following algorithm to compute \( \wedge^k P \): The definition of \( w_n \) as a determinant together with the equations \( Pf^{(i)} = 0 \) for \( i = 1, \ldots, k \) allows to express \( w_{n+\ell} \) for arbitrary \( \ell \in \mathbb{N} \) as a \( \mathbb{K}(q, q^n) \)-linear combination of the products \( \prod_{i=1}^{k} f^{(i)}_{n+j_i} \) where \( 0 \leq j_i < \deg_L(P) \) for \( 1 \leq i \leq k \). This allows to determine the minimal \( \ell \) such that \( w_0, \ldots, w_{n+\ell} \) are \( \mathbb{K}(q, q^n) \)-linearly dependent. Compare also with [vdPS03, Example 2.29].

Lemma 4.7. Let \( P \in \mathbb{W} \) be of the form \( P = L^d + \sum_{j=0}^{d-1} a_j L^j \) with \( a_0 \neq 0 \), and let \( \{f^{(1)}_n, \ldots, f^{(d)}_n\} \) be a fundamental solution set of the equation \( Pf = 0 \). Then \( w_{n+1} - (-1)^d a_0 w_n = 0 \) where \( w = W(f^{(1)}, \ldots, f^{(d)}) \).

Proof. The proof is done by an elementary calculation

\[
w_{n+1} = \det \begin{pmatrix} f^{(1)}_{n+1} & \cdots & f^{(d)}_{n+1} \\ \vdots & \ddots & \vdots \\ f^{(1)}_{n+d} & \cdots & f^{(d)}_{n+d} \end{pmatrix} = \det \begin{pmatrix} f^{(1)}_{n+1} & \cdots & f^{(d)}_{n+1} \\ \vdots & \ddots & \vdots \\ f^{(1)}_{n+d-1} & \cdots & f^{(d)}_{n+d-1} \end{pmatrix} = (-1)^d a_0 w_n
\]
where in the second step the identities $f^{(i)}_{n+d} = -\sum_{j=0}^{d-1} a_j f^{(i)}_{n+j}$ and some row operations have been employed.

\[ \text{Theorem 4.8.} \text{ Let } P, Q, R \in \mathbb{W} \text{ such that } P = QR \text{ is a factorization of } P, \text{ and let } k \text{ denote the order of } R, \text{ i.e., } k = \deg_k(R). \text{ Then } \Lambda^k P \text{ has a linear right factor of the form } L - a \text{ for some } a \in \mathbb{K}(q, M). \]

\[ \text{Proof.} \text{ Let } F = \{ f^{(1)}, \ldots, f^{(k)} \} \text{ be a fundamental solution set of } R. \text{ By Lemma 4.7 it follows that } w = W(f^{(1)}, \ldots, f^{(k)}) \text{ satisfies a recurrence of order 1, say } w_{n+1} = aw_n, a \in \mathbb{K}(q, M). \text{ But } F \text{ is also a set of linearly independent solutions of } Pf = 0, \text{ and therefore } w \text{ is contained in the solution space of } \Lambda^k P. \text{ It follows that } \Lambda^k P \text{ has the right factor } L - a. \]

5. Plethysm

In this section we define Adams operations on the ring $\mathbb{Q}(M)[L]$, and in particular on the set of affine curves in $\mathbb{C}^* \times \mathbb{C}^*$.

Let $\mathbb{Q}(M)^+[L]$ denote the subring of $\mathbb{Q}(M)[L]$ which consists of $p(M, L) \in \mathbb{Q}(M)[L]$ with constant term 1, i.e., $p(M, 0) = 1$. If $p(M, L) \in \mathbb{Q}(M)^+[L]$ has degree $d = \deg_L(p)$, then we can write

\[ p(M, L) = \prod_{i=1}^{d} (1 + L_i(M)L^i) \]

in an appropriate algebraic closure of $\mathbb{Q}(M)[L]$.

\[ \text{Definition 5.1.} \text{ For } k \in \mathbb{N} \text{ we define } \psi : \mathbb{Q}(M)^+[L] \rightarrow \mathbb{Q}(M)^+[L] \text{ by} \]

\[ \psi_k(p)(M, L) = \prod_{1 \leq i_1 < i_2 < \ldots < i_k \leq d} (1 + L_{i_1}(M)\ldots L_{i_k}(M)L) \]

The next lemma expresses the coefficients of $\psi_k(p)$ in terms of those of $p$ using the plethysm operations on the basis $e_i$ of the ring of symmetric functions $\Lambda$. For a definition of the latter, see [Mac95, Sec.I.8].

\[ \text{Lemma 5.2.} \text{ If } p = \prod_{i=1}^{\infty} (1 + x_iL) = \sum_{i=0}^{\infty} e_iL^i \text{ then} \]

\[ \psi_k(p) = \sum_{i=0}^{\infty} (e_i \circ e_k)L^i \]

\[ \text{Corollary 5.3.} \text{ In particular for } d = 5 \text{ and } k = 2, 3 \text{ (as is the case of interest for the knot } 7_4) \text{ the SF package [Ste05] gives:} \]

\[ p = 1 + e_1L + e_2L^2 + e_3L^3 + e_4L^4 + e_5L^5 \]

\[ \psi_2(p) = 1 + e_2L + (e_1e_3 - e_4)L^2 + (-2e_2e_4 + e_2^2 + e_1e_4 - e_1e_5)3 + (e_1^2e_3e_5 + e_3e_5 - e_2^2e_5 + 3e_1e_2e_3 + e_1e_3e_4)L^4 \]

\[ + (e_3^2e_3e_5 - 2e_1e_4e_5 - 2e_2e_3e_5 + 2e_1^2 + e_2e_4)L^5 + (e_1e_2e_3e_5 - e_1^2e_5 + e_2^2e_5 + e_3e_5^2 - 3e_1e_2e_3 + e_1e_3e_4)L^6 \]

\[ + (-e_1e_3^2 + e_1e_2e_4e_5 - e_1e_2e_3^2 + e_2e_3^2)L^7 + (e_2e_4e_5 + e_3e_5^2 - 3e_1e_2e_3 + e_1e_3e_4)^2 + e_1e_3e_4)^2 + e_5L^10 \]

\[ \psi_3(p) = 1 + e_3L + (e_2e_4 - e_1e_5)L^2 + (-2e_2e_4e_5 - e_4e_5 + e_2^2 + e_2e_3e_5)3 + (e_1e_2e_4e_5 - e_1^2e_5 - e_2^2e_5 + e_3e_5^2 - 3e_1e_2e_3 + e_1e_3e_4)L^4 \]

\[ + (-e_2e_3e_5^2 + 2e_1^2 + e_1e_2e_4e_5 + e_2e_3e_5^2 - 2e_1e_2e_3e_5)L^5 + (-e_1^2e_3^2 + e_1e_2e_3^2 + e_1e_3e_4e_5 - 3e_1e_2e_3)^2 + e_1e_3e_4)^2 + e_5L^8 + e_1e_3e_4)^2 + e_5L^{10} \]
6. Factorization of $q$-difference operators after Bronstein-Petkovšek

This section is not needed for the results of our paper, but may be of independent interest. In [BP96], Bronstein-Petkovšek developed a factorization algorithm for $q$-difference operators, and more generally, for Ore operators. A key component of their algorithm, which predated and motivated the work of [APP98], is to reduce the problem of factorization into computing all linear right factors of a finite list of so-called associated operators. Since this factorization algorithm is not widely known, we will describe it in this section, following [BP96]. All results in this section are due to [BP96].

**Definition 6.1.** Let $P \in \mathbb{W}$ be of the form $P = L^d + \sum_{j=0}^{d-1} a_j L^j$ with $a_0 \neq 0$, and let \{f^{(1)}_n, \ldots, f^{(d)}_n\} be a fundamental solution set of the equation $Pf = 0$. Let

$$
\sum_{l=0}^{d} w^{(d-l)} L^l f = \det \begin{pmatrix} f & f^{(1)} & \cdots & f^{(d)} \\ \vdots & \vdots & \ddots & \vdots \\ L^d f & L^d f^{(1)} & \cdots & L^d f^{(d)} \end{pmatrix}
$$

(6)

**Lemma 6.2.** With the notation of Definition 6.1 we have

(a) $w^{(d-j)}/w^{(0)} = a_j$ for $j = 0, \ldots, d$.
(b) $w^{(0)} = W(f^{(1)}, \ldots, f^{(d)})$ satisfies $w^{(0)}_{n+1} + (-1)^d a_0 w^{(0)}_n = 0$.
(c) For $j = 0, \ldots, d - 1$ and $n \in \mathbb{N}$ we have

$$
a_j(q, q^n) w^{(d-j)}_n + (-1)^d a_j(q, q^{n+1}) a_0(q, q^n) w^{(d-j)}_n = 0.
$$

(7)

**Proof.** Since $\sum_{l=0}^{d} w^{(d-l)} L^l f^{(i)} = 0$ for $i = 1, \ldots, d$ and \{f^{(1)}, \ldots, f^{(d)}\} is a fundamental solution of the equation $Pf = 0$, it follows that $P = \sum_{l=0}^{d} w^{(d-l)} L^l f$. This proves (a).

The definition of $w^{(0)}$ implies that $w^{(0)} = W(f^{(1)}, \ldots, f^{(d)})$ and likewise

$$
w^{(d)} = W(L f^{(1)}, \ldots, L f^{(d)}) = LW(L f^{(1)}, \ldots, L f^{(d)}).
$$

Using $w^{(d)} = a_0 w^{(0)}$ (by part (a)) and the above, we obtain (b).

Now, (a) gives $w^{(d-j)} = a_j w^{(0)}$, hence $Lw^{(d-j)} = (L a_j)Lw^{(0)} = (-1)^{d-1}(L a_j)a_0 w^{(0)}$. Eliminating $w^{(0)}$, (c) follows.

**Lemma 6.2** gives the following algorithm that produce a finite set of all possible right factors $R = L^k + \sum_{j=0}^{k-1} a_j L^j$ of an element $P \in \mathbb{W}$.

**Corollary 6.3.** Using the definition of $w^{(k-j)}$ for $j = 0, \ldots, k$ together with the equations $P f^{(i)} = 0$ for $i = 1, \ldots, k$ allows to express $w^{(k-j)}_n$ for arbitrary $\ell \in \mathbb{N}$ as a $\mathbb{K}(q, q^n)$-linear combination of the products $\prod_{i=1}^{k} f^{(i)}_{n+j}$ where $0 \leq j_i < \deg_L(P)$ for $1 \leq i \leq k$. This allows to determine the minimal $\ell$ such that $w^{(k-j)}_n, \ldots, w^{(k-j)}_{n+\ell}$ are $\mathbb{K}(q, q^n)$-linearly dependent. Let $\cap_j^k P$ denote the corresponding monic minimal-order operators. List all right factors of $\cap_j^k P$ using qHyper. If $a_j = 0$, include it in the list of possible values of $a_j$. Else, use the computed finite list and equation (7) to list all possible values of $a_j$. The result follows.
7. Irreducibility of the Computed Recurrence for $\tau_4$

The irreducibility of a monic operator $P \in \mathbb{W}$ of order $d$ can be established by Theorem 4.8, i.e., by showing that none of the exterior powers $\bigwedge^k P$ for $1 \leq k < d$ has a linear right factor. In the case of the fifth-order operator $P_{\tau_4}$ we observed that its $q = 1$ specialization factors into two irreducible factors of $L$-degrees 2 and 3, respectively (and hence Proposition 4.3 is not applicable). We conclude that $P_{\tau_4}$ cannot have right factors of $L$-degrees 1 or 4. Thus it suffices to inspect its second and third exterior powers only.

The computation of an exterior power $\bigwedge^k P$ is immediate from its definition. We start with an ansatz for a linear recurrence for the Wronskian:

\begin{equation}
    c_\ell(q, M) w_{n+\ell} + \cdots + c_1(q, M) w_{n+1} + c_0(q, M) w_n = 0.
\end{equation}

In the next step, all occurrences of $w_{n+j}$ in (8) are replaced by the expansion of the determinant (5), e.g., for $k = 2$ we have

$$w_{n+j} = f_{n+j}^{(1)} f_{n+j+1}^{(2)} - f_{n+j+1}^{(1)} f_{n+j}^{(2)}.$$

As before let $d$ denote the $L$-degree of $P$. Now each $f_{n+j}^{(i)}$ with $j \geq d$ is rewritten as a $\mathbb{Q}(q, M)$-linear combination of $f_1^{(i)}, \ldots, f_{d-1}^{(i)}$, using the equation $P f^{(i)} = 0$. Finally, coefficient comparison with respect to $f_{n+j}^{(i)}, 1 \leq i \leq k, 0 \leq j < d$ yields a linear system for the unknown coefficients $c_0, \ldots, c_\ell$. The minimal-order recurrence for $w_n$ can be found by trying $\ell = 0, \ell = 1, \ldots$, until a solution is found. This methodology was employed to compute $\bigwedge^2 P_{\tau_4}$ and $\bigwedge^3 P_{\tau_4}$ (see Table 1 for their sizes).

Having the exterior powers of $P_{\tau_4}$ at hand, we can now apply Theorem 4.8 to it: for establishing the irreducibility of $P_{\tau_4}$ we have to show that its exterior powers do not have right factors of order one. Note that for our application we would not necessarily need the minimal-order recurrences for the Wronskian—as long as they have no linear right factors, the irreducibility follows as a consequence. Note also that one could try to use Proposition 4.3 for this task; unfortunately this is not going to work, since from the discussion in Section 5 it is clear that, after the substitution $q = 1$, the exterior powers in question do have a linear factor.

It is well known that a linear right factor of a $q$-difference equation corresponds to a $q$-hypergeometric solution, i.e., a solution $f_n(q)$ such that $f_{n+1}/f_n$ is a rational function in $q$ and $q^n$. The problem of computing all such solutions has been solved in [APP98] and the corresponding algorithm has been implemented by Petkovšek in the Mathematica package qHyper.
Let \( P(q, M, L) = \sum_{i=0}^{d} p_i(q, M) L^i \) be an operator such that all \( p_i \) are polynomials in \( q \) and \( M \). The qHyper algorithm described in \cite{APP98} attempts to find a right factor \( L - r(q, M) \) of \( P \) where the rational function \( r \) is assumed to be written in the normal form

\[
r(q, M) = z(q) \frac{a(q, M) c(q, q M)}{b(q, M) c(q, M)}
\]

subject to the conditions

\[
gcd(a(q, M), b(q, q^n M)) = 1 \text{ for all } n \in \mathbb{N},
\]

and

\[
gcd(a(q, M), c(q, M)) = 1, \quad gcd(b(q, M), c(q, q M)) = 1, \quad c(0) \neq 0
\]

(see \cite{APP98} for the existence proof). It is not difficult to show that under these assumptions \( a(q, M) \mid p_0(q, M) \) and \( b(q, M) \mid p_0(q, q^{1-d} M) \). Therefore the algorithm qHyper proceeds by testing all admissible choices of \( a \) and \( b \). Each such choice yields a \( q \)-difference equation for \( c(q, M) \) which also involves the unknown algebraic expression \( z(q) \). The techniques for solving this kind of equations (or for showing that no solution exists) are described in detail in \cite{APP98}.

Now let’s apply qHyper to \( P(2)(q, M, L) := \wedge^2 P_{7_4} \) whose trailing and leading coefficients are given by

\[
p_0(q, M) = q^{162} M^{4^4}(M - 1) \left( \prod_{i=6}^{9} (q^i M - 1) \right) \left( \prod_{i=6}^{10} (q^i M + 1)(q^{2i+1} M^2 - 1) \right) F_1(q, M)
\]

\[
p_{10}(q, q^{-9} M) = q^{-397}(q^2 M - 1) \left( \prod_{i=4}^{7} (M - q^i) \right) \left( \prod_{i=4}^{8} (M + q^i)(M^2 - q^{2i+1}) \right) F_2(q, M)
\]

where \( F_1 \) and \( F_2 \) are large irreducible polynomials, related by \( q^{280} F_1(q, M) = F_2(q, q^{10} M) \). A blind application of qHyper would result in \( 45 \cdot 2^{16} \cdot 2^{16} = 193273528320 \) possible choices for \( a \) and \( b \)—far too many to be tested in reasonable time. In \cite{CvH06, Hor08} improvements to qHyper have been presented which are based on local types and exclude a large number of possible choices; however, the simple criteria described below seem to be more efficient.

In order to confine the number of qHyper’s test cases we exploit two facts. The first is the fact that \( P(2)(1, M, L) = R_1(M) \cdot (L - M^4) \cdot Q_1(M, L) \cdot Q_2(M, L) \) where \( Q_1 \) and \( Q_2 \) are irreducible of \( L \)-degree 3 and 6, respectively. In other words, we need only to test pairs \((a, b)\) which satisfy the condition

\[
a(1, M) = M^4 b(1, M).
\]

The second fact is that \( a \) and \( b \) must fulfill condition (9); in Remark 4.1 of \cite{Pet92} this improvement is already suggested, formulated in the setting of difference equations. In our example we are lucky because the two criteria exclude most of the possible choices for \( a \) and \( b \); the process of figuring out which cases remain to be tested is now presented in detail.

1. (10) implies that either both \( F_1 \) and \( F_2 \) must be present or none of them; condition (9) then excludes them entirely.
2. Clearly the factor $M^4$ in (10) can only come from $M^{44}$ in $p_0$; thus all other (linear and quadratic) factors in $a(1, M)/b(1, M)$ must cancel completely.

3. The most simple admissible choice is $a(q, M) = M^4$ and $b(q, M) = 1$.

4. Because of (9) a cancellation can almost never take place among factors which are equivalent under the substitution $q = 1$. This is reflected by the fact that the entries in the first column of Table 2 are (row-wise) larger than those in the second column, e.g., $(q^6M + 1) | a(q, M)$ and $(q^{-4}M + 1) | b(q, M)$ violates (9).

5. The only exception is that $(M - 1) | a(q, M)$ cancels with $(q^2M - 1) | b(q, M)$ in $a(1, M)/b(1, M)$. In that case, (9) excludes further factors of the form $q^4M - 1$, and together with (10) we see that no other factors at all can occur. This gives the choice $a(q, M) = M^4(M - 1)$ and $b(q, M) = q^2M - 1$.

6. We may assume that $a(q, M)$ contains some of the quadratic factors $q^4M^2 - 1$. For $q = 1$ they factor as $(M - 1)(M + 1)$ and therefore can be canceled with corresponding pairs of linear factors in $b(q, M)$. Condition (9) forces $a(q, M)$ to be free of linear factors and $b(q, M)$ to be free of quadratic factors. Thus we obtain $\sum_{m=1}^{5} \binom{5}{m}^3 = 2251$ possible choices.

7. Analogously $a(q, M)$ can have some linear factors which for $q = 1$ must cancel with quadratic factors in $b(q, M)$; this gives 2251 further choices.

Summing up, we have to test 4504 cases which can be done in relatively short time on a computer. None of these cases delivered a solution for $c(q, M)$ and $z(q)$ which proves that $P^{(2)}$ does not have a linear right factor.

The situation for $P^{(3)}(q, M, L) := \Lambda^3 P_{14}$ is very similar. Now the trailing and leading coefficients turn out to be

\[
p_0(q, M) = q^{207}M^{66}(M - 1)(q^7M - 1)\cdots(q^{23}M^2 - 1)F_3(q, M)
\]
\[
p_{10}(q, q^{-9}M) = q^{-456}(q^3M - 1)(M - q^4)\cdots(M^2 - q^{17})F_4(q, M)
\]

where the linear and quadratic factors can be extracted from Table 2. Also not explicitly displayed are the large irreducible factors $F_3$ and $F_4$ which satisfy $q^{275}F_3(q, M) = F_4(q, q^{10}M)$.

### Table 2. Factors of the leading and trailing coefficients of the exterior powers of $P_{14}$: each cell contains the values of $i$ of the corresponding factors. Superscripts indicate that factors occur with multiplicities.

<table>
<thead>
<tr>
<th>$q^iM - 1$</th>
<th>$\Lambda^2 P_{14}$</th>
<th>$\Lambda^3 P_{14}$</th>
</tr>
</thead>
<tbody>
<tr>
<td>$p_0(q, M)$</td>
<td>$p_{10}(q, q^{-9}M)$</td>
<td>$p_0(q, M)$</td>
</tr>
<tr>
<td>0, 6, 7, 8, 9</td>
<td>$-7, -6, -5, -4, 2$</td>
<td>0, 7, 8, 9</td>
</tr>
<tr>
<td>6, 7, 8, 9, 10</td>
<td>$-8, -7, -6, -5, -4$</td>
<td>7, 8, 9, 10, 11</td>
</tr>
<tr>
<td>13, 15, 17, 19, 21</td>
<td>$-17, -15, -13, -11, -9$</td>
<td>5, 7, 9, 11, 13, 15, 17, 19, 21, 23</td>
</tr>
</tbody>
</table>
For $q = 1$ we obtain the factorization $P^{(3)}(1, M, L) = R_2(M) \cdot (L + M^7) \cdot Q_3(M, L) \cdot Q_4(M, L)$ where $Q_3$ and $Q_4$ are irreducible of $L$-degree 3 and 6, respectively. As before we get two special cases, the first with $a(q, M) = M^7$ and $b(q, M) = 1$, and the second with $a(q, M) = M^7(M - 1)$ and $b(q, M) = q^2M - 1$. For the choices where we cancel quadratic against linear factors, we obtain

$$
2 \sum_{m=1}^{4} \binom{4}{m} \left( \binom{5}{m} \sum_{j=0}^{\lfloor m/2 \rfloor} \binom{10 - j}{m - 2j} \right) = 23600
$$

possibilities. Again, none of these cases yields a solution for $c(q, M)$ and therefore we have shown that $P^{(3)}$ does not have a linear right factor.

Theorem 4.8 now implies that $P_{7_4}$ cannot have a right factor of order 2 or 3. We conclude that the operator $P_{7_4}$ is irreducible.

8. No recurrence of order zero

In this section we give an elementary criterion to deduce that a $q$-holonomic sequence does not satisfy an inhomogeneous recurrence of order zero, and apply it in the case of the $7_4$ knot to conclude the proof of Theorem 1.2. The next lemma is obvious.

**Lemma 8.1.** If $\deg_q(f_n(q))$ is not a linear function of $n$, then $f_n(q)$ does not satisfy $af = b$ for $a, b \in \mathbb{K}(q, q^n)$.

The degree $\deg_q(J_{K,n}(q))$ of an alternating knot is well-known and given by a quadratic polynomial in $n$; see for instance [Gar11b] and [Lê06]. In the case of the alternating knot $7_4$, we have

$$
\deg_q(J_{7_4,n}(q)) = \frac{7}{2}n^2 - \frac{5}{2}n - 1.
$$

It follows that $J_{7_4,n}$ does not satisfy an inhomogeneous recurrence of order zero.

9. Proof of Theorem 1.2

In this section we will finish the proof of Theorem 1.2. It follows from the following lemma, of independent interest.

**Lemma 9.1.** Suppose $f$ is a $q$-holonomic sequence such that

(a) $f$ satisfies the inhomogeneous recurrence $Pf = b$,
(b) $P \in \mathbb{W}$ is irreducible, $\deg_L(P) > 1$ and $b \in \mathbb{K}(q, q^n) \neq 0$,
(c) $f$ does not satisfy a recurrence of the form $af = c$ for $a, c \in \mathbb{K}(q, q^n)$, $a \neq 0$.

Then the minimal-order homogeneous recurrence relation that $f$ satisfies is given by $(L - 1)(b^{-1}P)f = 0$.

**Proof.** Let $d = \deg_L(P)$ and $P' = (L - 1)(b^{-1}P)$. $P'$ is the product of two irreducible elements of $\mathbb{W}$ (namely, $L - 1$ and $b^{-1}P$) of $L$-degrees 1 and $d$ respectively. Recall that $\mathbb{W}$ is a Euclidean domain. Although the factorization of an element in $\mathbb{W}$ into irreducible factors is not unique in general, [Ore33, Thm.1] proves that the number of irreducible factors of a fixed order is independent of the factorization. It follows that any factorization of $P'$ into
a product of irreducible factors has exactly two factors, one of $L$-degree 1 and another of $L$-degree $d$.

Suppose $P''f = 0$ where $P''$ has minimal $L$-degree strictly less than $d + 1$. Since $\mathbb{W}$ is a Euclidean domain, it follows that $P''$ is a right factor of $P'$, and $P''$ is a product of irreducible factors. The above discussion implies that $P''$ is irreducible of $L$-degree 1 or $d$. Since $\mathbb{W}$ is a Euclidean domain, we can write $P = QP'' + R$ where $R \neq 0$ and $\deg_L(R) < \deg_L(P'')$. It follows that $Rf = b$, thus $(L - 1)(b^{-1}R)f = 0$. By the choice of $P''$, it follows that $P''$ is a right factor of $(L - 1)(b^{-1}R)$.

**Case 1:** $\deg_L(P'') = 1$. Then $\deg_L(R) = 0$ and $f$ satisfies $Rf = b$ contrary to the hypothesis.

**Case 2:** $\deg_L(P'') = d$. Then, $P''$ is irreducible and it is a right factor of $(L - 1)(b^{-1}R)$ where $\deg_L(b^{-1}R) < d$. It follows that any factorization of $b^{-1}R$, extended to a factorization of $(L - 1)(b^{-1}R)$, will contain an irreducible factor of $L$-degree $d$. This is impossible since $\deg_L(b^{-1}R) < d$. □

10. Extension to double twist knots

10.1. The $A$-polynomial of double twist knots. The $SL(2, \mathbb{C})$ character variety of non-abelian representations of $K_{p,p}$ for $p > 1$ consists of two components, the geometric one, and the non-geometric one [Pet11]. It follows that the $A$-polynomial of $K_{p,p}$ is the product of two factors, with multiplicities. The values of $A_{K_{p,p}}(M, L)$ for $p = 2, \ldots, 8$, as well as the recurrences presented in Section 10.2, are available from

http://www.math.gatech.edu/~stavros/publications/double.twist.data/

For $p = 2, \ldots, 8$ we have

$$A_{K_{p,p}}(M, L) = A_{K_{p,p}}^{\text{geom}}(M, L)A_{K_{p,p}}^{\text{ngeom}}(M, L)^2$$

is the product of two irreducible factors: the geometric component has $(M, L)$-degree $(2p - 1, 8p - 2)$ and multiplicity one, and the non-geometric one has $(M, L)$-degree $(p^2 - p, 4p^2 - 4)$ and multiplicity two. The Newton polygons of $A_{K_{p,p}}^{\text{geom}}$ and $A_{K_{p,p}}^{\text{ngeom}}$ are parallelograms given by the convex hull of

$$\{(2p - 1, 8p - 2), (1, 8p - 2), (0, 0), (2p - 2, 0)\}$$

and

$$\{(p^2 - p, 4p^2 - 4p), (p - 1, 4p^2 - 4p), (0, 0), (p^2 - 2p + 1, 0)\}$$

respectively in $(M, L)$-coordinates. The area of the above Newton polygons is $4(4p - 1)(p - 1)$ and $4p(p - 1)^3$, respectively. The behavior of the Newton polygon of $A_{p,p}(M, L)$ as a function of $p$ is in agreement with a theorem of [Gar11c].

10.2. The non-commutative $A$-polynomial of double twist knots. We have rigorously computed an inhomogeneous recurrence for the double twist knot $K_{3,3}$, using the creative telescoping algorithm proposed in [Kou10a], see also Section 3. It has order 11 and its $(q, q''')$-degree is $(458, 74)$. Moreover, it verifies the AJ conjecture using the reduced $A$-polynomial. The corresponding operator factors for $q = 1$ into two irreducible factors of $L$-degrees 5 and 6. In order to show the irreducibility of the operator itself (to prove that the computed recurrence is of minimal order), we would have to investigate its fifth and sixth exterior powers—a challenge that currently seems hopeless.
For $K_{4,4}$ and $K_{5,5}$ we were able to obtain recurrences, using an ansatz with undetermined coefficients (“guessing”). Although they were derived in a non-rigorous way, they both confirm the AJ conjecture using the reduced A-polynomial. Again, both recurrences are inhomogeneous; the one for $K_{4,4}$ has order 19 and $(q, q^7)$-degree $(2045, 184)$, the one for $K_{5,5}$ is a truly gigantic one: it is of order 29, has $(q, q^7)$-degree $(6922, 396)$, and its total size is nearly 8GB (according to Mathematica’s ByteCount). These data qualify it as a good candidate for the largest $q$-difference equation that has ever been computed explicitly. A rigorous derivation of these two recurrences using creative telescoping, or even the application of the irreducibility criterion using exterior powers, is far beyond our current computing abilities.

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**APPENDIX A. THE FORMULA FOR THE NON-COMMUTATIVE A-POLYNOMIAL OF $7_4$**

In the following, Equation 2 from Theorem 1.2 is given explicitly; note that the operator $P_{7_4}(q, M, L) = \sum_{j=0}^{5} a_j(q, M) L^j$ is palindromic since $a_j(q, M) = -q^{10} M^{24} a_{5-j}(q, (q^5 M)^{-1})$ (and therefore only $a_5, a_4, a_3$ are displayed).

$$a_5 = (q M - 1)(q M + 1)(q^2 M^2 - 1)(q^3 M - 1)(q^2 M^2 - 1)(q^3 M - 1)(q^3 M - 1)(q^2 M - 1)(q^2 M + 1)(q^3 M - 1)(q^2 M - 1)(q^2 M^2 - 1)(q^3 M - 1)(q^8 + 1)(q^8 M + 1)M^4 - q^5(q^8 - 2q^2 + q + 1)M^3 -$$

$$q^5(2q^4 + q^3 + 2q^2 + 2q + 1)M^2 - q(q^3 + 2q^2 + q + 1)M + (q + 1))$$

$$a_4 = q(q M - 1)(q M + 1)(q^2 M^2 - 1)(q^3 M - 1)(q^2 M^2 - 1)(q^3 M - 1)(q^3 M + 1)(q^3 M + 1)(q^3 M^2 + 1)(q^3 M + 1)(q^3 M - 1)(q^3 M - 2)(q^3 M + 1)M^{10} +$$

$$q^3(q + 1)(2q^6 - 2q^5 + 5q^4 + q^3 + 4q^2 + 3q - 1)M^9 - q^2(4q^7 + 2q^6 + 9q^5 + 10q^4 + 6q^3 + 6q^2 - q - 2)M^8 -$$

$$q^2(2q^6 + q^5 - 9q^4 - 12q^3 - 10q^2 + 10q^3 + 6q + 3)M^7 +$$

$$q^2(q^7 + 2q^6 + 5q^5 + 4q^4 + q^3 - 8q^2 + 7q^3 + 7q^2 + 7q + 2)M^6 -$$

$$q^9(q^2 + 3q^2 + 8q^1 + 8q^0 + q^6 + q^7 + 3q^6 + q^8 - 4q^7 + 7q^3 + 10q^2 + 7 + 3)M^5 +$$

$$q^8(4q^2 + 7q^1 + 11q^0 + 4q^9 - 2q^8 + q^7 - 4q^6 - 3q^5 - 3q^4 - 5q^2 + 5q^3 + 2q^2)M^4 -$$

$$q^7(q^1 + 5q^9 + 6q^3 + 3q^7 - 7q^6 - 10q^5 - 7q^4 - 9q^3 - 9q^2 - 9q - 3)M^3 +$$

$$q^6(q^2 + q + 1)(q^7 + 2q^6 - 5q^5 - 5q^4 - 3q^3 - 2q^2 - 3q + 2)M^2 + q(q^3 + 6q + 9q^3 + 8q^2 + 3q + 2)M - (q + 1)(q + 2)$$

$$a_3 = -q^2(q M - 1)(q M + 1)(q M^2 - 1)(q^3 M^2 - 1)(q^3 M + 1)(q^3 M - 1)(q M^2 + 1)(q^4 + 1(q + 1)M^{15} - q^7(q^2 + 3q^2 + 4q + 1)M^{14} +$$

$$q^{14}(q^5 + q^4 + 7q^3 + 9q^2 + 8q + 3)M^{13} + q^20(q^9 + 2q^7 - 2q^7 - 10q^4 + 17q^4 - 12q^2 - 3q^2 + 2q + 1)M^{12} -$$

$$q^{23}(2q^11 + 4q^10 + 5q^9 + 4q^8 - 3q^7 - 11q^6 - 17q^5 - 11q^4 + 2q^3 + 8q^2 + 5q + 1)M^{11} +$$

$$q^{22}(6q^11 + 12q^10 + 8q^9 + 8q^8 - 14q^7 + 19q^6 - 6q^5 + 11q^4 + 16q^3 + 9q + 2)M^{10} +$$

$$q^{18}(2q^14 - 2q^13 - 9q^12 - 17q^11 - 11q^10 + 10q^9 + 20q^7 + 24q^6 + 7q^5 - 15q^4 - 20q^3 - 10q^2 + 1)M^9 -$$

$$q^{15}(q^15 + 6q^14 - 3q^13 - 14q^12 - 14q^11 - 4q^10 + 11q^9 + 25q^8 + 36q^7 + 35q^6 + 16q^5 - 9q^4 - 13q^3 - 6q^2 + 3q + 3)M^8 +$$

$$q^{12}(4q^{15} + 6q^14 - 3q^13 - 18q^12 - 16q^11 + 4q^10 + 23q^9 + 30q^8 + 39q^7 + 31q^6 + 12q^5 - 14q^4 - 14q^3 - 3q^2 + 3q + 3)M^7 -$$

$$q^9(5q^{15} + 3q^14 - 11q^{13} - 23q^{12} - 18q^{11} + 2q^{10} + 19q^9 + 20q^8 + 21q^7 + 8q^6 - 7q^5 - 20q^4 - 22q^3 - 5q^2 + q + 1)M^6 +$$

$$q^6(q^1 + 1)(2q^2 - 4q^1 - 13q^0 - 17q^4 - q^8 + 2q^7 + 11q^6 - 2q^5 + 5q^4 - 9q^3 - 13q^2 - 12q + 6)M^5 +$$

$$q^3(5q^{12} + 16q^{11} + 25q^{10} + 11q^9 - 8q^8 - 19q^7 - 16q^6 - 4q^5 - 2q^4 + 6q^3 + 11q^2 + 5q + 1)M^4 -$$

$$q^4(2q^{10} + 10q^9 + 9q^8 - 3q^7 - 22q^6 - 23q^5 - 20q^4 - 13q^3 - 6q^2 - 3q + 1)M^3 +$$

$$q^2(q + 1)(2q^7 - 4q^6 - 6q^5 - 17q^4 - 6q^3 - 6q^2 - 2q + 1)M^2 + q(2q^5 + 8q^4 + 11q^3 + 10q^2 + 3q + 1)M - (q + 1)(2q + 1)$$
\[ b_{74} = -q^{10} M^3 (q + 1) (q^2 M + 1) (q^4 + 1) (q^4 M + 1) (q^5 M^2 - 1) (q^5 M^2 - 1) (q^7 M^2 - 1) (q^9 M^2 - 1) \\
(\text{for } q = 1) \]
\[ b_{74} = -q^{10} M^3 (q + 1) (q^2 M + 1) (q^4 + 1) (q^4 M + 1) (q^5 M^2 - 1) (q^5 M^2 - 1) (q^7 M^2 - 1) (q^9 M^2 - 1) \\
(\text{for } q = 1) \]
\[ \frac{(q^2 + 2 q + q^2)}{q^2 + 2 q + q^2} = 1 \]

When \( q \) is set to 1, the above expressions simplify drastically. For a concise presentation we introduce the following notation for some frequently appearing irreducible factors:

\[
\begin{align*}
v_1 &= M^4 - M^3 - 2 M^2 - M + 1 \\
v_2 &= M^4 - 2 M^3 + 6 M^2 - 2 M + 1 \\
v_3 &= 2 M^4 - 5 M^3 + 8 M^2 - 5 M + 2 \\
v_4 &= M^7 - 2 M^6 + 3 M^5 + 2 M^4 - 7 M^3 + 2 M^2 - 6 M - 2 \\
v_5 &= M^8 - 2 M^7 + 6 M^6 + 2 M^5 - 10 M^4 + 2 M^3 + 6 M^2 - 2 M + 1 \\
v_6 &= M^{12} - 6 M^{11} + 16 M^{10} - 24 M^9 + 15 M^8 + 14 M^7 - 36 M^6 + 14 M^5 + 15 M^4 - 24 M^3 + 16 M^2 - 6 M + 1 \\
v_7 &= 2 M^{14} - 10 M^{13} + 16 M^{12} - 14 M^{11} + 46 M^{10} + 67 M^9 + 28 M^8 - 116 M^7 + 28 M^6 + 67 M^5 - 46 M^4 - 4 M^3 + 16 M^2 - 10 M + 2 \\
v_8 &= M^{18} - 4 M^{17} + 10 M^{16} - 10 M^{15} - 3 M^{14} + 40 M^{13} - 67 M^{12} - 34 M^{11} + 157 M^{10} - 14 M^9 - 140 M^8 + 40 M^7 + 66 M^6 - 18 M^5 - 14 M^4 + 4 M^3 + 4 M^2 - 4 M + 1 \\
v_9 &= M^{26} - 8 M^{25} + 42 M^{24} - 142 M^{23} + 345 M^{22} - 554 M^{21} + 521 M^{20} + 51 M^{19} - 729 M^{18} + 827 M^{17} + 234 M^{16} - 843 M^{15} + 707 M^{14} - 45 M^{13} + 707 M^{12} - 843 M^{11} + 234 M^{10} + 827 M^9 - 729 M^8 + 51 M^7 + 521 M^6 - 554 M^5 + 345 M^4 - 142 M^3 + 42 M^2 - 8 M + 1
\end{align*}
\]

Now the inhomogeneous part \( b_{74} \) and the operator \( P_{74} \), together with its second and third exterior power, evaluated at \( q = 1 \), can be written in a few lines. A bar is used to denote the mirror of a polynomial, i.e., \( \bar{v} = M^{\deg(v)} v(1/M) \).

\[
\begin{align*}
b_{74}(1,M) &= -M^3 (M - 1)^5 (M + 1)^9 v_3 \\
P_{74}(1,M,L) &= (M - 1)^5 (M + 1)^4 v_3 (L^2 - v_1 L + M^4)(L^3 + v_4 L^2 + \bar{v_1} L + M^5) \\
P_{74}^{(2)}(1,M,L) &= (M - 1)^{10} (M + 1)^2 v_2 v_3^2 v_5 v_6 v_9 (L - M^4)(L^3 - \bar{v_1} L^2 + M^7 v_4 L - M^{14}) \\
&\times (L^6 + v_1 v_4 L^5 + v_8 L^4 - M^4 v_1 v_7 L^3 + M^8 v_8 L^2 + M^{15} v_1 v_7 L + M^{26}) \\
P_{74}^{(3)}(1,M,L) &= (M - 1)^{19} (M + 1)^{20} (M^2 + 1)^2 v_2 v_3^6 v_5 v_6 v_9 (L + M^7)(L^3 + M^4 v_4 L^2 + M^8 v_7 L + M^{10}) \\
&\times (L^6 - v_1 v_7 L^5 + M^4 v_8 L^4 + M^{11} v_1 v_7 L^3 + M^{18} v_8 L^2 - M^{29} v_1 v_4 L + M^{40})
\end{align*}
\]

References


IRREDUCIBILITY OF $q$-DIFFERENCE OPERATORS AND THE KNOT $7_4$


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