

Isotopy and equivalence of knots in 3-manifolds

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A knot is an embedding of S^1 into S^3

$K, J: S^1 \hookrightarrow S^3$:

• isotopic: if \exists 1-parameter family of embeddings

$$F_t: S^1 \hookrightarrow S^3 \text{ st. } F_0 = K, F_1 = J$$

• ambient isotopic: if \exists a 1-param. family

$$\text{of homeomorphisms } G_t: S^3 \xrightarrow{\sim} S^3$$

$$\text{st. } G_0 = \text{Id} \quad G_1 \circ K = J$$

• equivalent: if \exists a homeomorphism $\phi: S^3 \rightarrow S^3$

$$\text{st. } \phi \circ K = J$$

$K, J \subset Y$ are isotopic $\stackrel{\checkmark}{\iff} K, J \subset Y$ are ambient iso $\stackrel{\checkmark}{\implies} K, J \subset Y$ equivalent

$\xrightarrow{\text{isotopy ext. Th}^m}$ $\xrightarrow{?}$

Th^m [Fisher 60]: Any orientation preserving homeo of S^3 is isotopic to Id

Main Th^m : Let Y be a closed oriented prime 3-manifold

Every pair of equivalent knots in Y are isotopic $\iff \text{Mod}(Y)$ is trivial

Moreover, if an orientation preserving ϕ of Y preserves the isotopy class of every knot then ϕ is isotopic to Id

Part I:

Th^m 1: If ϕ fixes the conjugacy class of every knot K in Y . Then either

① ϕ is isotopic to id

② $Y \cong S^1 \times S^2$ and ϕ is the Gluck twist

Recall: $\text{Mod}(Y) \rightarrow \text{Out}(\Gamma) \cong \text{Aut}(\Gamma) / \text{Inn}(\Gamma)$

$$\Gamma = \pi_1(Y)$$

If Y is irreducible, then $\text{Mod}(Y) \hookrightarrow \text{Out}(\Gamma)$

if $Y \cong S^1 \times S^2$, then $\text{Mod}(Y) \cong \mathbb{Z}_2 \oplus \mathbb{Z}_2$

↓

$$\text{Out}(\mathbb{Z}) \cong \mathbb{Z}_2$$

Defⁿ: A group is said to have Grossman's property A if every conjugacy class preserving homomorphism is inner

Th^m 1: 3-mfd groups have prop. A.

Recall G is called residually finite (RF) if

$$\bigcap_{H \triangleleft G} H = \{e\}$$

$[G:H] < \infty$

Th^m (Grossman 74):

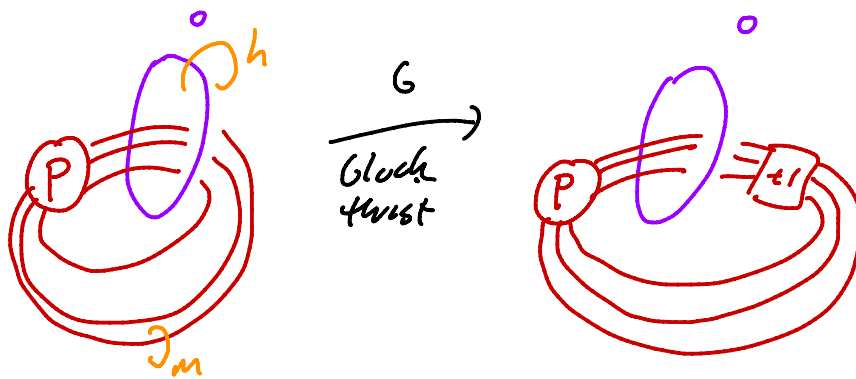
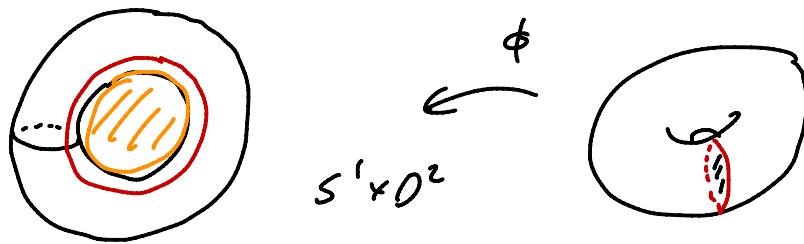
G is finitely gen. conjugacy sep.

prop A \Rightarrow $\text{Out}(G)$ is RF

- Hyp. (and ^{also} non-prime) have Prop A [Minayon - Osun '10]
- S.F. w/ base orbifold is not a sphere with 3-cone pts nor torus with 1-cone point [Allenby - Kim - Tang '08, '10] have prop A.

Th^m 1'': the rest have prop A

Part II: knots in $S^1 \times S^2$



Th^m 2: for each positive integer w , there exist a winding number w knot K in $S^1 \times S^2$ s.t.

$$K \not\cong_{iso} G(K)$$

Moreover, if $w > 1$ and odd, then $K \not\cong_{iso} G(K)$

Remk: $K \cong_{iso} G(K)$



consider parallel copy of K (w/o linking) λ

$$\text{note } lk(G(K), G(\lambda)) = \omega^2$$

lemma: Suppose $K \cong_{iso} G(K)$ then there exist a homeo

$$\phi: S^1 \times S^2_1(K) \xrightarrow{\sim} S^1 \times S^2_{1+\omega^2+2k\omega}(K)$$

for some k

$$\text{Moreover, } \phi_*([\mu]) = [\mu] + (\omega + k)[\nu]$$

① Casson-Gordon Sig

$$\text{If } \omega > 1 \text{ then } \omega^2 + 2k\omega = 0$$

$$\Rightarrow \omega = -2k$$

\therefore winding # must be odd

② d-invt

$$S^1 \times S^2_1(K) \xrightarrow[\phi]{\sim} S^1 \times S^2_1(G(K))$$

$$\phi_*([\mu]) = [\mu] + \frac{1}{2}\omega[\nu]$$