# Math 4431, Final Exam (practice)

1. (product space with finitely many factors) Let  $X_1$  and  $X_2$  be topological spaces and for  $A_j \subset X_j, j = 1, 2$ , define

$$A_1 \times A_2 = \{(x_1, x_2) : x_j \in A_j, j = 1, 2\}.$$

Let

$$\mathcal{B} = \{U_1 \times U_2 : U_j \text{ is an open set in } X_j, j = 1, 2\}.$$

(a) (5 points) Show that

$$\bigcup_{B \in \mathcal{B}} B = X_1 \times X_2 \quad \text{and} \quad \bigcap_{j=1}^k B_j \in \mathcal{B} \quad \text{whenever } B_j \in \mathcal{B}, \ j = 1, \dots, k.$$

(b) (5 points) Show that

$$\mathcal{P} = \left\{ \bigcup_{\alpha \in \Gamma} B_{\alpha} : B_{\alpha} \in \mathcal{B} \text{ for } \alpha \text{ in any index set } \Gamma \right\}$$

is a topology on  $X_1 \times X_2$ . ( $\mathcal{P}$  is, of course, called the **product topology**).

- (c) (5 points) (Theorem 3.12) Consider  $p_j : X_1 \times X_2 \to X_j$  for j = 1, 2 by  $p_j(x_1, x_2) = x_j$ . Show  $p_1$  and  $p_2$  are continuous.
- (d) (5 points) Show that if  $\mathcal{T}$  is a topology on  $X_1 \times X_2$  (not necessarily the product topology  $\mathcal{P}$ ) and  $p_1$  and  $p_2$  are continuous with respect to  $\mathcal{T}$ , then  $\mathcal{P} \subset \mathcal{T}$ .
- (e) (5 points) Give an example of a topology on  $\mathbb{R} \times \mathbb{R}$  with respect to which  $p_1 : \mathbb{R} \times \mathbb{R} \to \mathbb{R}$  is **not** continuous.
- (f) (5 points) Give an example of a topology  $\mathcal{T}$  which is on  $\mathbb{R} \times \mathbb{R}$  which is different from the Euclidean topology but with respect to which  $p_j : \mathbb{R} \times \mathbb{R} \to \mathbb{R}$  is continuous for j = 1, 2.

#### Solution:

- (a)  $X_1 \times X_2 \in \mathcal{B}$ , and  $\cap (U_{1j} \times U_{2j}) = (\cap U_{1j}) \times (\cap U_{2j})$ .
- (b)  $\phi = \phi \times \phi$  and  $X_1 \times X_2$  are basic open sets, so  $\phi, X_1 \times X_2 \in \mathcal{P}$ .

$$\bigcup_{\beta} \left[ \bigcup_{\alpha} \left( U_{1\alpha}^{\beta} \times U_{2\alpha}^{\beta} \right) \right] = \bigcup_{\alpha,\beta} \left( U_{1\alpha}^{\beta} \times U_{2\alpha}^{\beta} \right).$$
$$\bigcup_{j} \left[ \bigcup_{\alpha} \left( U_{1\alpha}^{j} \times U_{2\alpha}^{j} \right) \right] = \bigcup_{\alpha} \left[ \left( \bigcap_{j} U_{1\alpha}^{j} \right) \times \left( \bigcap_{j} U_{2\alpha}^{j} \right) \right].$$

(c)  $p_1^{-1}(U_1) = U_1 \times X_2$  is open when  $U_1 \subset X_1$  is open.

(d) Here we know  $U_1 \times X_2, X_1 \times U_2 \in \mathcal{T}$ . Therefore,

 $(U_1 \times X_2) \cap (X_1 \times U_2) = U_1 \times U_2 \in \mathcal{T}.$ 

Therefore,  $\mathcal{B} \subset \mathcal{T}$  and  $\mathcal{P} \subset \mathcal{T}$ .

(e) We know the topology must be smaller than  $\mathcal{P}$ . As long as there is some open set  $U_1 \neq \phi, X_1$  in  $X_1$ , then the topology

$$\{X_1 \times U_2 : U_2 \text{ is open in } X_2\}$$

should be an example. In particular, this should work for  $X_1 = X_2 = \mathbb{R}$ .

(f) Now, we know the topology should be bigger than  $\mathcal{P}$ . The discrete topology  $2^{X_1 \times X_2}$  will be different from  $\mathcal{P}$  as long as  $X_1$  and  $X_2$  do not both have discrete topologies. This, of course, works for  $\mathbb{R}^2$ .

- 2. Let  $X_1$  and  $X_2$  be topological spaces.
  - (a) (10 points) (Theorem 3.14) If  $X_1$  and  $X_2$  are Hausdorff, then show  $X_1 \times X_2$  is Hausdorff.

(b) (10 points) (Theorem 3.15) If  $X_1 \times X_2$  is compact, then show  $X_1$  and  $X_2$  are compact.

# Solution:

- (a) Given  $(x_1, x_2) \neq (\xi_1, \xi_2)$  in  $X_1 \times X_2$ , we have either  $x_1 \neq \xi_1$  in  $X_1$  or or  $x_2 \neq \xi_2$ in  $X_2$ . Take the first case. Then there are disjoint open sets  $U_1$  and  $V_1$  in  $X_1$ with  $x_1 \in U_1$  and  $\xi_1 \in V_1$ . The sets  $U_1 \times X_2$  and  $V_1 \times X_2$  are then disjoint open sets in  $X_1 \times X_2$  separating  $(x_1, x_2)$  and  $(\xi_1, \xi_2)$ . The second case is similar.
- (b) The projections  $p_1$  and  $p_2$  are continuous and the continuous image of a compact set is compact. Therefore,  $X_1 = p_1(X_1 \times X_2)$  is compact.  $X_2 = p_2(X_1 \times X_2)$  is compact for the same reason.

3. (Theorem 3.20) Let us take Armstrong's definition of a connected space:

X is connected if whenever  $X = X_1 \cup X_2$  and  $X_1, X_2 \neq \phi$ , then either

$$\overline{X}_1 \cap X_2 \neq \phi$$
 or  $X_1 \cap \overline{X}_2 \neq \phi$ .

(a) (5 points) Show that if  $A \subset X$  is connected, then whenever  $A \subset A_1 \cup A_2$  and  $A \cap A_j \neq \phi$ , j = 1, 2, then either

$$\overline{A}_1 \cap A_2 \neq \phi$$
 or  $A_1 \cap \overline{A}_2 \neq \phi$ .

(b) (5 points) Show that if whenever  $A \subset A_1 \cup A_2$  and  $A \cap A_j \neq \phi$ , j = 1, 2, then either  $\overline{A}_1 \cap A_2 \neq \phi$  or  $A_1 \cap \overline{A}_2 \neq \phi$ ,

then A is connected.

(c) (5 points) Show that if A is a connected subset of X and  $A \subset U_1 \cup U_2$  where  $U_1$  and  $U_2$  are disjoint open sets, then either

$$A \subset U_1$$
 or  $A \subset U_2$ .

(d) (5 points) (Corollary 3.24) Show that if A is a connected subspace of X and

$$A \subset S \subset \overline{A},$$

then S is connected.

## Solution:

(a) If  $A \subset A_1 \cup A_2$ , then we know  $A = (A_1 \cap A) \cup (A_2 \cap A)$ . By the definition of what it means for A to be connected, we have

$$\overline{A \cap A_1} \cap A_2 \neq \phi$$
 or  $A_1 \cap \overline{A \cap A_2} \neq \phi$ .

In the first case, since

$$\overline{A \cap A_1} \subset \overline{A}_1,$$

we must have  $\overline{A}_1 \cap A_2 \neq \phi$ . The second case implies  $A_1 \cap \overline{A}_2 \neq \phi$ .

- (b) Again, if  $A \subset A_1 \cup A_2$ , then  $A = (A_1 \cap A) \cup (A_2 \cap A)$ , and the assumed conditions are just the definition of what it means for A to be connected (as a space).
- (c) If  $U_1$  and  $U_2$  are disjoint open sets, then  $\overline{U}_1 \cap U_2 = \phi$ . This is because if  $x \in U_2$ , then  $U_2$  is an open set disjoint from  $U_1$ , hence  $x \notin \overline{U}_1$ . Similarly,  $U_1 \cap \overline{U}_2 = \phi$ . Now, if we apply part (a) to  $A \subset U_1 \cup U_2$ , we must have  $A \cap U_1 = \phi$  or  $A \cap U_2 = \phi$ . In the first case,  $A \subset U_2$  and in the second case  $A \subset U_1$ .

The converse of the assertion in part (c), namely:

If  $S \subset U_1 \cup U_2$  where  $U_1$  and  $U_2$  are disjoint open sets always implies  $S \subset U_1$  or  $S \subset U_2$ , then S is connected.

# is false.

To see this, consider  $\mathcal{T} = \{\phi, \{b\}, \{a, b\}, \{b, c\}, \{a, b, c\}\}$ . This is a topology on  $X = \{a, b, c\}$ . The set  $S = \{a, c\}$  satisfies the condition of the converse of (c). This is because the only pair of disjoint open sets whose union contains S is the pair consisting of  $\phi$  and  $X = \{a, b, c\}$ . On the other hand, S is not connected because  $S \cap \{a, b\} = \{a\}$  and  $S \cap \{b, c\} = \{c\}$  are open relative to S.

(d) Assume S is not connected. Then there are sets  $S_1$  and  $S_2$  with  $S \subset S_1 \cup S_2$ ,

$$S \cap S_j \neq \phi, \ j = 1, 2, \tag{1}$$

and

$$\overline{S}_1 \cap S_2 = \phi = S_1 \cap \overline{S}_2.$$

Since  $A \subset S \subset S_1 \cup S_2$  and A is connected, we must have  $A \subset S_1$  or  $A \subset S_2$ . In the first case,  $\overline{A} \subset \overline{S}_1$  and, consequently,  $\overline{A} \cap S_2 = \phi$ . But since  $S \subset \overline{A}$ , this means  $S \cap S_2 = \phi$  which contradicts (1). In the second case, we obtain a similar contradiction since then  $S \subset \overline{A} \subset \overline{S}_2$ , and it follows that  $S \cap S_1 = \phi$ .

Since we have contradictions in all cases, our assumption that S is not connected must be bogus. S must be connected.

4. A topological space X is **locally connected** if for each  $x \in X$  and each open set U with  $x \in U$ , there is some open set  $U_0$  and some connected set C with

$$x \in U_0 \subset C \subset U.$$

- (a) (10 points) Show that the homeomorphic image of a locally connected space is locally connected.
- (b) (10 points) Show that if X is locally connected, then for each  $x \in X$  and each open set U with  $x \in U$ , there is an open connected set  $U_0$  with

$$x \in U_0 \subset U.$$

#### Solution:

(a) If  $h: X \to Y$  and X is locally connected, then given any point  $y = h(x) \in Y$ and an open set V with  $y \in V$ , we have a point  $x \in X$ , and we want to apply the definition of local connectedness of X at x. We can take the open set  $U = h^{-1}(V)$ , and we get an open set  $U_0$  and a connected set C with  $x \subset U_0 \subset C \subset U$ . Then we have an open set  $h(U_0)$  and a connected set h(C) with

$$y \in h(U_0) \subset h(C) \subset V.$$

This means h(X) is locally connected.

(b) We cannot take  $U_0$  directly from the definition, because  $U_0$  may not be connected. What we can do is take a set  $U_1$  from the definition, and we'll take the connected set C too, with

$$x \in U_1 \subset C \subset U.$$

Now, we can take  $U_0$  to be the component of  $U_1$  containing x. Let us denote this set  $U_0 = \operatorname{comp}_x(U_1)$ . We need to show  $U_0$  is open. The component  $\operatorname{comp}_x(U_1)$ is the union of all connected subsets of  $U_1$  containing x, and it follows from this that  $U_0$  is a connected subset of  $U_1$ . (At this point, you might be tempted to take  $U_0$  as the union of all **open** connected subsets of  $U_1$ , but you wouldn't yet know there are any such sets, so you'd still be stuck.) The good news is that all we have to show is that  $U_0$  is open.

Take a point  $\xi \in U_0$ . We know then, since X is locally connected, that there is an open set  $U_{\xi}$  and a connected set  $C_{\xi}$  with

$$\xi \in U_{\xi} \subset C_{\xi} \subset U_1.$$

Since  $C_{\xi}$  is connected with  $\xi \in C_{\xi} \subset U_1$ , and  $\operatorname{comp}_{\xi}(U_1)$  is the union of all such sets, we know

 $\xi \in C_{\xi} \subset \operatorname{comp}_{\xi}(U_1).$ 

On the other hand,  $\xi \in \operatorname{comp}_x(U_1)$  which is also a connected subset of  $U_1$  containing  $\xi$ . Therefore,  $\operatorname{comp}_x(U_1) \subset \operatorname{comp}_{\xi}(U_1)$ . In particular,  $x \in \operatorname{comp}_{\xi}(U_1)$ . It follows in the same way that  $\operatorname{comp}_{\xi}(U_1) \subset \operatorname{comp}_x(U_1)$ . In particular,

$$\xi \in U_{\xi} \subset \operatorname{comp}_{x}(U_{1}) = U_{0}.$$
(2)

The existence of such an open set  $U_{\xi}$  for every  $\xi$  shows  $U_0$  is open (and we're done).

The little argument above, starting with "On the other hand" and continuing up to (2) essentially shows that if  $\xi \in \operatorname{comp}_x(U_1)$ , then  $\operatorname{comp}_{\xi}(U_1) = \operatorname{comp}_x(U_1)$ , that is, components are disjoint connected sets partitioning whatever set you take the components in (in this case  $U_1$ ). This fact could also be quoted in this problem, if you remember it.

# 5. Let X = (0, 1] and consider $\phi : X \to \mathbb{R}^2$ by

$$\phi(t) = \begin{cases} (t, \sin(1/t)), & 0 < t \le 2/\pi \\ (6/\pi - 2t, 1), & 2/\pi \le t \le 3/\pi \\ (0, 7 - 2\pi t), & 3/\pi \le t \le 1. \end{cases}$$

Let  $Y = \phi(X)$ .

- (a) (5 points) Show X is locally path connected.
- (b) (5 points) Show  $\phi$  is continuous so that Y is the continuous image of a locally path connected space.
- (c) (5 points) Show Y is **not** locally path connected.
- (d) (5 points) Show the homeomorphic image of a locally path connected space is locally path connected.

### Solution:

(a) Recall that a space X is **locally path connected** if for each  $x \in X$  and each open set U with  $x \in U$ , there is an open set  $U_0$  and a path connected set C with  $x \in U_0 \subset C \subset U$ .

If  $0 < a < b \leq 1$ , then  $\gamma(t) = (1-t)a+tb$  is a path from a to b. Or we could just remember that intervals are path connected. In any case, the same is true for any open interval, so given an open set U and a point  $x \in U$ , there is an open interval  $U_0$  with  $X \in U_0 \subset U$ . The interval  $U_0$  is open and path connected.

(b) Taking  $t = 2/\pi$  in the first case of  $\phi$ , we get  $(2/\pi, 1)$ . The same value of t in the second case gives  $(2/\pi, 1)$ .

Taking  $t = 3/\pi$  in the second case gives (0, 1). The same value of t in the third case gives (0, 1).

Since these values agree,  $\phi$  is well-defined. Furthermore,  $\phi$  is continuous by the gluing lemma.

(c) The space Y looks like this:



If we take an open ball V centered as pictured at the endpoint  $\phi(1) = (0, 7 - 2\pi) = p$  and having small radius, then  $V \cap \phi(X)$  contains infinitely many components. If p is in any open set  $V_0$  with  $V_0 \subset V$ , then there is no connected set C with  $V_0 \subset C \subset V$ . This is because infinitely many of the components of V must also intersect  $V_0$ , but any connected set in V must be a subset of only

one component. Thus,  $\phi(X)$  is not even locally connected. (Since local path connectedness implies local connectedness, this means  $\phi(X)$  is not locally path connected.)

(d) This is quite similar to part (a) of the previous problem.

If  $h: X \to Y$  and X is locally path connected, then given any point  $y = h(x) \in Y$  and an open set V with  $y \in V$ , we have a point  $x \in X$ , and we want to apply the definition of local path connectedness of X at x. We can take the open set  $U = h^{-1}(V)$ , and we get an open set  $U_0$  and a connected set C with  $x \subset U_0 \subset C \subset U$ . Then we have an open set  $h(U_0)$  and a path connected set h(C) with

$$y \in h(U_0) \subset h(C) \subset V.$$

This means h(X) is locally path connected.

We used here that the continuous image of a path connected set is path connected, which is true.

As this argument was pretty easy/straightforward, and the continuous images of path connected spaces are path connected, it is interesting to see where it breaks down for  $\phi(X)$ . A quick look at the argument shows that the only questionable point is the assertion that the forward image  $h(U_0)$  is an **open set**. This must fail for  $\phi(U_0)$ . Let's see: V was the ball shown in the drawing. The inverse image of V is the union of some interval (b, 1] with an infinite collection of open intervals to the left of (b, 1]. This is  $U = \phi^{-1}(V)$ , and indeed, this is an open set. Also,  $1 \in U$  with  $\phi(1) = (0, 7 - 2\pi) = p$  the center of V. We can apply local connectedness (or local path connectedness) in X = (0, 1] and take an open interval  $U_0 = (b', 1] \subset (b, 1]$ . In fact,  $U_0 = (b, 1]$  will be fine. Then we see the problem, the forward image  $\phi(b, 1]$  is definitely not open in Y. This is a vertical segment

$$\{(0,t) : a < t \le 7 - 2\pi\}.$$

The point p, in particular, is in this vertical segment, and any open set about p, as mentioned above, contains many components of  $\phi(X)$  outside of the vertical segment.

6. Let  $q : \mathbb{R} \to \{-1, 0, 1\} = Y$  by

$$q(x) = \begin{cases} -1, & x < 0\\ 0, & x = 0\\ 1, & x > 0. \end{cases}$$

Recall that the quotient topology on Y is defined by

$$\mathcal{Q}_Y = \{ V \subset Y : q^{-1}(V) \text{ is open in } \mathbb{R} \}.$$

(a) (10 points) What is  $Q_Y$ ?

(b) (10 points) (True or False) If  $q: X \to Y$  is an identification map and X is Hausdorff, then Y is Hausdorff.

## Solution:

(a)

$$Q_Y = \{\phi, \{-1\}, \{-1, 1\}, \{1\}, \{-1, 0, 1\}\}.$$

(There are three singleton sets, and  $\{0\}$  has inverse image  $\{0\}$  which is not open in  $\mathbb{R}$ . There are three doubleton sets. If a doubleton has 0 in it, then the inverse image is a closed interval  $[0, \infty)$  or  $(-\infty, 0]$ . In neither case is the inverse image open.)

(b) This map q is continuous, essentially by definition, and  $\mathbb{R}$  is certainly Hausdorff. However, there is no open set separating 0 and 1 in Y. So the assertion is **False**.

- 7. Let  $\mathbb{Z} \times \mathbb{Z} = \{(m, n) : m, n \text{ are integers}\} \subset \mathbb{R}^2$ .
  - (a) (5 points) Show  $G = \mathbb{Z} \times \mathbb{Z}$  is a group (under addition).
  - (b) (5 points) Show G acts on  $\mathbb{R}^2$  by  $(n, m, x, y) \mapsto (x + n, y + m)$ .
  - (c) (5 points) Identify the quotient space  $\mathbb{R}^2/G$ .
  - (d) (5 points) Consider A = Z × Z ⊂ R<sup>2</sup>. Show that the quotient spaces R<sup>2</sup>/G and R<sup>2</sup>/A are **not** homeomorphic.
    This problem needs an adjustment/correction. The first three parts are okay, but the assertion of part (d) is not so obvious. Let's drop a dimension, and make our

group  $\mathbb{Z}$ . Of course part (d) is not so obvious. Let's drop a dimension, and make our group  $\mathbb{Z}$ . Of course part (a), then becomes trivial. Part (b) has the same solution as below, just given componentwise, so it is a bit easier—just checking the definition. The space in part (c) changes as described in the solution of part (d) below.

## Solution:

- (a) The operation is  $(m, n) + (\mu, \nu) = (m + \mu, n + \nu)$ . This is clearly well-defined and associative. The identity element is (0, 0). The inverse of (m, n) is (-m, -n).
- (b) The suggested function is clearly a well-defined function from  $\mathbb{Z}^2 \times \mathbb{R}^2$  to  $\mathbb{R}^2$ . Also,

 $(m + \mu, n + \nu)(x, y) = (m + \mu + x, n + \nu + y) = (m, n)(\mu + x, \nu + y).$ 

This is the required associative property. Finally,

$$(0,0)(x,y) = (x,y),$$

so the identity acts as it should, and we have a group action.

For the definition, see Homework Assignment 10.

- (c)  $\mathbb{R}^2/G$  is the torus  $\mathbb{S}^1 \times \mathbb{S}^1$ .
- (d) In view of the correction, The first space we want to consider is  $\mathbb{R}/\mathbb{Z} = \mathbb{S}^1$ , the circle. The other space  $Y = \mathbb{R}/\mathbb{Z}$  with  $\mathbb{Z}$  considered as a subset, on the other hand, is a countably infinite collection of circles joined at one point. When you remove a point from the circle, what you have left is connected. When you remove the common point from Y, the image of each interval (j, j+1) for  $j \in \mathbb{Z}$  is a distinct connected component, so these spaces can't be homeomorphic.

To be a bit more precise,  $Y = \mathbb{R}/\mathbb{Z}$  is a partition of  $\mathbb{R}$  consisting of partition sets  $\mathbb{Z}$  and  $\{x\}$  where  $x \notin \mathbb{Z}$ . (These are the points in  $Y = \mathbb{R}/\mathbb{Z}$ .) Therefore, if we assume  $h : \mathbb{S}^1 \to Y = \mathbb{R}/\mathbb{Z}$  is a homeomorphism. Then  $\mathbb{S}^1 \setminus \{h^{-1}(\mathbb{Z})\}$  is connected, but  $(\mathbb{R}/\mathbb{Z}) \setminus \mathbb{Z}$  has countably many components (j, j + 1) for  $j \in \mathbb{Z}$ .

8. Consider the (universal) covering map  $\phi : \mathbb{R}^2 \to \mathbb{T}^2$  by

$$\phi(x,y) = \left(1 + \frac{\cos y}{2}\right)(\cos x, \sin x, 0) + \frac{\sin y}{2}(0,0,1).$$

Also, consider the loops  $\gamma[0,1] \to \mathbb{T}^2$  and  $\eta : [0,1] \to \mathbb{T}^2$  by  $\gamma(t) = 3(\cos 2\pi t, \sin 2\pi t, 0)/2$ and

$$\eta(t) = \left(1 + \frac{\cos 2\pi t}{2}\right)(1, 0, 0) + \frac{\sin 2\pi t}{2}(0, 0, 1)$$

respectively both of which start and end at p = (3/2, 0, 0).

- (a) (5 points) Draw the (image sets of the) loops  $\gamma$  and  $\eta$  on  $\mathbb{T}^2$ .
- (b) (5 points) Recall the **concatenation**  $\eta \triangleleft \gamma : [0,1] \to \mathbb{T}^2$  is a loop given by

$$\eta \triangleleft \gamma(t) = \begin{cases} \gamma(2t), & 0 \le t \le 1/2\\ \eta(2t-1), & 1/2 \le t \le 1. \end{cases}$$

Find explicit formulas for the **liftings** of  $\gamma$ ,  $\eta$ ,  $\eta \triangleleft \gamma$ , and  $(-\gamma) \triangleleft \eta \triangleleft \gamma$  starting at  $(0,0) \in \mathbb{R}^2$ , and draw these paths.

(c) (5 points) Find a fixed endpoint homotopy of the lifting

$$(-\widehat{\gamma)} \triangleleft \overline{\eta} \triangleleft \gamma$$

to a path along a straight line. To which of the four liftings of part (c) above is this straight line path homotopic?

Solution: