

Graphs containing topological H

Jie Ma

School of Mathematics
University of Science and Technology of China
Hefei, Anhui 230026, China

Qiqin Xie* and Xingxing Yu†

School of Mathematics
Georgia Institute of Technology
Atlanta, GA 30332-0160, USA

Abstract

Let H denote the tree with six vertices two of which are adjacent and of degree three. Let G be a graph and $u_1, u_2, a_1, a_2, a_3, a_4$ be distinct vertices of G . We characterize those G that contain a topological H in which u_1, u_2 are of degree three and a_1, a_2, a_3, a_4 are of degree one, which include all 5-connected graphs. This work was motivated by the Kelmans–Seymour conjecture that 5-connected nonplanar graphs contain topological K_5 .

AMS Subject Classification: 05C38, 05C40, 05C75

1 Introduction

The work in this paper was motivated by the well known conjecture of Kelmans [7] and Seymour [14]: Every 5-connected nonplanar graph contains a topological K_5 (i.e., subdivision of K_5). Earlier, Dirac [3] conjectured an extremal function for the existence of a topological K_5 : If G is a simple graph with $n \geq 3$ vertices and at least $3n - 5$ edges then G contains a topological K_5 . This conjecture was established by Mader [13]. Kézdy and McGuinness [8] showed that the Kelmans–Seymour conjecture, if true, implies Mader’s result. (It is easy to see that Mader’s theorem does not hold if multiple edges are allowed. However, multiple edges do not make a difference for the Kelmans–Seymour conjecture. So in this paper we will consider only simple graphs, and we delete multiple edges which result from graph operations.)

The Kelmans–Seymour conjecture is also related to the $k = 4$ case of the Hajós conjecture (see [2]) that every graph containing no topological K_{k+1} is k -colorable. Hajós’ conjecture is false for $k \geq 6$ [2, 4] and true for $k = 1, 2, 3$, and remains open for $k = 4$ and $k = 5$.

*qxie7@gatech.edu; partially supported by an REU grant from the School of Mathematics and by NSF grant AST-1247545

†yu@math.gatech.edu; partially supported by NSA (Grant No. H-98230-13-1-0255) and NSF (Grant No. DMS-1265564, AST-1247545 and CNS-1443894)

An approach to the Kelmans-Seymour conjecture is to study the so called rooted K_4 problem. Given a graph G and four distinct vertices x_1, x_2, x_3, x_4 of G , when does G contain a topological K_4 in which x_1, x_2, x_3, x_4 are the vertices of degree three? This problem was solved for planar graphs (see [16]), and the result was used by Aigner-Horev [1] to prove the Kelmans-Seymour conjecture for apex graphs. A different and shorter proof for the apex case was found independently by Kawarabayashi [6] and Ma, Thomas and Yu [10].

One important step in [16] is to solve the following problem for planar graphs: Let H represent the tree on six vertices two of which are adjacent and of degree 3. (See Figure 1.) Let G be a graph and $u_1, u_2, a_1, a_2, a_3, a_4$ be distinct vertices of G . When does G contain a topological H in which u_1, u_2 are of degree 3 and a_1, a_2, a_3, a_4 are of degree 1? We say that such a topological H is *rooted* at $u_1, u_2, \{a_1, a_2, a_3, a_4\}$. For convenience, we use *quadruple* to denote (G, u_1, u_2, A) where u_1, u_2 are distinct vertices of a graph G , $A \subseteq V(G) - \{u_1, u_2\}$, and $|A| = 4$. We say that (G, u_1, u_2, A) is *feasible* if G has a topological H rooted at u_1, u_2, A .

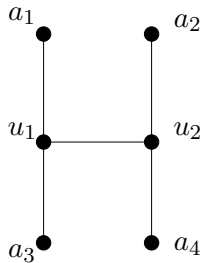


Fig. 1: The graph H .

The main result of this paper is a characterization of feasible quadruples, which implies the following theorem whose proof is given after the full statement of the characterization in Section 2 (see Theorem 2.1).

Theorem 1.1. (G, u_1, u_2, A) is feasible when G is 5-connected.

The connectivity in Theorem 1.1 is tight. Let G be obtained from K_6 by deleting the edge between two vertices u_1, u_2 , and let $A = V(G) - \{u_1, u_2\}$; then G is 4-connected and (G, u_1, u_2, A) is not feasible.

In Section 2, we describe the obstructions to feasibility of quadruples (there are four types) and state the main result (Theorem 2.1). In Section 3, we consider a related problem about the existence of k disjoint paths in a graph between two given sets of vertices and containing a given edge. We solve the case $k = 3$ which will be used to characterize quadruples. In Section 4, we deal with those quadruples (G, u_1, u_2, A) in which G admits certain cuts of size at most 3. In Section 5, we study quadruples containing *critical pairs*, i.e., quadruples (G, u_1, u_2, A) in which there exist distinct $x, y \in V(G) - A - \{u_1, u_2\}$ such that $(G/xy, u_1, u_2, A)$ is an obstruction (where G/xy is obtained from G by identifying x and y and removing loops or multiple edges). In Section 6, we deal with the case when G/xy has a certain cut of size at most 4, which reduces to the case when G has a certain cut of size 5. The proof is then completed in Section 7 by finding an appropriate edge $xy \in E(G - A - \{u_1, u_2\})$ such that $\{x, y\}$ is a critical pair.

We devote the rest of this section to notation and terminology. Let G be a graph. (We remind the reader that only simple graphs are considered in this paper.) By $S \subseteq G$ we mean that S is a subgraph of G . For $S \subseteq G$, we use $G[S]$ to denote the subgraph of G induced by $V(S)$. For any $x \in V(G)$ we use $N_G(x)$ to denote the neighborhood of x in G , and for $S \subseteq G$ let $N_G(S) = \{x \in V(G) - V(S) : N_G(x) \cap V(S) \neq \emptyset\}$ and $N_G[S] = V(S) \cup N_G(S)$. When understood, the reference to G may be dropped. For any $S \subseteq E(G)$, $G - S$ denotes the graph obtained from G by deleting all edges in S . For any $S \subseteq V(G)$, $G - S$ denotes the graph obtained from G by deleting S and all edges of G incident with S .

A *separation* in a graph G consists of a pair of subgraphs G_1, G_2 , denoted as (G_1, G_2) , such that $G = G_1 \cup G_2$, $E(G_1 \cap G_2) = \emptyset$ and, for $i = 1, 2$, $V(G_i) - V(G_{3-i}) \neq \emptyset$ or $E(G_i) \neq \emptyset$. (Thus, we allow $V(G_i) - V(G_{3-i}) = \emptyset$, but if this happens we require $E(G_i) \neq \emptyset$.) The *order* of this separation is $|V(G_1 \cap G_2)|$, and (G_1, G_2) is said to be a k -*separation* if its order is k . Thus, a set $S \subseteq V(G)$ is a k -*cut* (or a cut of size k) in G , where k is a positive integer, if $|S| = k$ and G has a separation (G_1, G_2) such that $V(G_1 \cap G_2) = S$ and $V(G_i - S) \neq \emptyset$ for $i \in \{1, 2\}$. If $v \in V(G)$ and $\{v\}$ is a cut of G , then v is said to be a *cut vertex* of G .

Given a path P in a graph and $x, y \in V(P)$, xPy denotes the subpath of P between x and y (inclusive). We may view paths as sequences of vertices; thus if P is a path between x and y , Q is a path between y and z , and $V(P \cap Q) = \{y\}$, then PyQ denotes the path $P \cup Q$. The *ends* of the path P are the vertices of the minimum degree in P , and all other vertices of P (if any) are its *internal* vertices. A path P with ends u and v (or an u - v path) is also said to be *from u to v* or *between u and v* . Let G be a graph. A collection of paths in G are said to be *independent* if no vertex of any path in this collection is an internal vertex of any other path in the collection. A path P in G is said to be *internally disjoint* from a subgraph Q of G if no internal vertex of P belongs to Q .

Let G be a graph. Let $K \subseteq G$, $S \subseteq V(G)$, and T a collection of 2-element subsets of $V(K) \cup S$; then $K + (S \cup T)$ denotes the graph with vertex set $V(K) \cup S$ and edge set $E(K) \cup T$, and if $S = \emptyset$ and $T = \{\{x, y\}\}$ we write $K + xy$ instead of $K + \{\{x, y\}\}$.

2 Obstructions

We refer the reader to Figures 2 and 3 for intuition on the following discussions about obstructions. We will show that modulo certain separations there will be just four types of obstructions.

A quadruple (G, u_1, u_2, A) is an *obstruction* if G has subgraphs U_1, U_2 (called *sides*) and $A_i, i \in [k] := \{1, 2, \dots, k\}$ (called *middle parts*), such that

- (1) $V(G) = V(U_1) \cup V(U_2) \cup A_{[k]}$, where $A_{[k]} = \cup_{i \in [k]} V(A_i)$,
- (2) $V(A_i), i \in [k]$, are vertex-disjoint,
- (3) $E(G - A)$ is the disjoint union of $E(U_1 - A)$, $E(U_2 - A)$, and $E(A_i - A)$ (for $i \in [k]$),
- (4) $V(U_1 \cap U_2) \subseteq A \subseteq A_{[k]}$, $u_1 \in V(U_1) - A_{[k]}$, and $u_2 \in V(U_2) - A_{[k]}$,
- (5) for any $i \in [k]$, $V(A_i) \cap A \neq \emptyset$, and either $|V(A_i) \cap V(U_1 \cup U_2)| = |V(A_i) \cap A| + 1$ or $|V(A_i)| = 1$ and $V(A_i) \subseteq V(U_1 \cap U_2) \cap A$,

(6) if $|V(A_i)| \geq 2$, then $V(A_i) \cap V(U_1 \cup U_2) \cap A = \emptyset$ and $N_G(V(A_i) \cap A) \subseteq V(A_i)$.

Note that $V(A_i) \cap V(U_1 \cup U_2) \cap A \neq \emptyset$ iff $|V(A_i)| = 1$, in which case there is no restriction on $N(A_i)$.

To see that obstructions are not feasible, let (G, u_1, u_2, A) be an obstruction, J a topological H in G rooted at u_1, u_2, A , and P the u_1 - u_2 path in J . By definition, $V(P) \cap A = \emptyset$ and (in particular, by (4)) P has to pass through some A_i with $|V(A_i)| \geq 2$; so $|V(P) \cap V(A_i) \cap V(U_1 \cup U_2)| \geq 2$. Also $J - V(P - \{u_1, u_2\})$ contains $|V(A_i) \cap A|$ independent paths from $\{u_1, u_2\}$ to $V(A_i) \cap A$; so $|(V(J) - V(P)) \cap V(A_i) \cap V(U_1 \cup U_2)| \geq |V(A_i) \cap A|$. Thus, $|V(A_i) \cap V(U_1 \cup U_2)| \geq |V(A_i) \cap A| + 2$, contradicting (5).

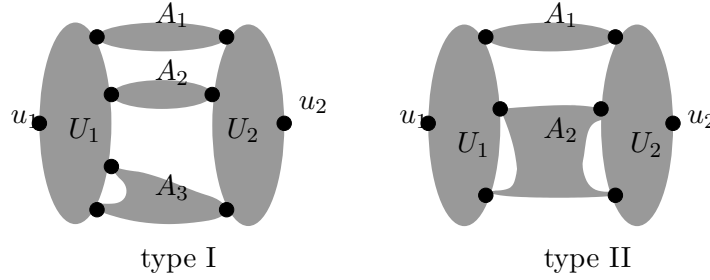


Fig. 2: Obstructions of type I and type II

An obstruction (G, u_1, u_2, A) is said to be of *type I* if $k = 3$, $|V(A_i) \cap A| = 1$ for $i = 1, 2$, $|V(A_3) \cap A| = 2$, $|V(U_i \cap A_j)| = 1$ for $(i, j) \neq (1, 3)$, and $|V(U_1 \cap A_3)| = 2$.

An obstruction (G, u_1, u_2, A) is said to be of *type II* if $k = 2$, $|V(A_1) \cap A| = 1$, $|V(A_2) \cap A| = 3$, and for $i = 1, 2$, $|V(U_i \cap A_1)| = 1$ and $|V(U_i \cap A_2)| = 2$.

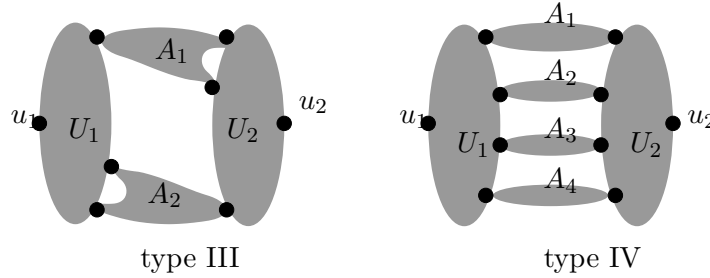


Fig. 3: Obstructions of types III and IV

An obstruction (G, u_1, u_2, A) is said to be of *type III* if $k = 2$, $|V(A_i) \cap A| = 2$ for $i = 1, 2$, $|V(U_1 \cap A_1)| = |V(U_2 \cap A_2)| = 1$, and $|V(U_1 \cap A_2)| = |V(U_2 \cap A_1)| = 2$.

An obstruction (G, u_1, u_2, A) is said to be of *type IV* if $k = 4$ and, for $1 \leq i \leq 4$ and $j \in \{1, 2\}$, $|V(A_i) \cap A| = |V(U_j \cap A_i)| = 1$.

Theorem 2.1. *Let (G, u_1, u_2, A) be a quadruple. Then one of the following holds:*

- (i) (G, u_1, u_2, A) is feasible.

- (ii) G has a separation (K, L) such that $|V(K \cap L)| \leq 2$ and for some $i \in \{1, 2\}$, $u_i \in V(K) - V(L)$ and $A \cup \{u_{3-i}\} \subseteq V(L)$.
- (iii) G has a separation (K, L) such that $|V(K \cap L)| \leq 4$, $u_1, u_2 \in V(K) - V(L)$, and $A \subseteq V(L)$.
- (iv) (G, u_1, u_2, A) is an obstruction of type I, or II, or III, or IV.

Note that (ii) implies that (G, u_1, u_2, A) is not feasible, and (iii) implies that (G, u_1, u_2, A) is not feasible, or when $|V(K \cap L)| = 4$ the feasibility of (G, u_1, u_2, A) reduces to $(K, u_1, u_2, V(K \cap L))$.

To see that Theorem 2.1 implies Theorem 1.1, we apply Theorem 2.1 to the quadruple $(G - E(G[A]), u_1, u_2, A)$. Since G is 5-connected, (ii), (iii) and (iv) of Theorem 2.1 do not hold for $(G - E(G[A]), u_1, u_2, A)$. Hence $(G - E(G[A]), u_1, u_2, A)$ is feasible. Since any topological H in $G - E(G[A])$ rooted at u_1, u_2, A is also a topological H in G rooted at u_1, u_2, A , we see that (G, u_1, u_2, A) must be feasible.

3 Disjoint paths containing a given edge

In this section we prove a result about the existence of disjoint paths from three given vertices to three other given vertices such that a specific edge is used by one of these paths. This result will be used several times in the proof of Theorem 2.1. The problem for finding two disjoint paths between two pairs of vertices and through a given edge is equivalent to the problem for finding a cycle through three given edges. The following result is due to Lovász [9].

Lemma 3.1 (Lovász). *Let G be a 3-connected graph and e_1, e_2, e_3 be distinct edges of G not all incident with a common vertex. Then G contains a cycle through e_1, e_2, e_3 iff $G - \{e_1, e_2, e_3\}$ is connected.*

We need an easy generalization of Lemma 3.1. For a subgraph K of a graph G , a K -bridge of G is a subgraph of G that is induced either by an edge of $G - E(K)$ with both ends in K , or by all edges in a component of $G - V(K)$ and all edges from that component to K . The K -bridges of the latter type are said to be *nontrivial*.

Lemma 3.2. *Let e_1, e_2, e_3 be distinct edges of a graph G not all incident with a common vertex. Then one of the following holds:*

- (i) $\{e_1, e_2, e_3\}$ is contained in a cycle in G .
- (ii) G has a separation (G_1, G_2) such that $|V(G_1 \cap G_2)| \leq 2$, $V(G_i) - V(G_{3-i}) \neq \emptyset$ for $i = 1, 2$, and $|E(G_i) \cap \{e_1, e_2, e_3\}| = 1$ for some $i \in \{1, 2\}$.
- (iii) $\{e_1, e_2, e_3\}$ is contained in a component H of G , and $H - \{e_1, e_2, e_3\}$ is not connected.

Proof. Suppose the assertion is false, and choose a counterexample G, e_1, e_2, e_3 such that $|V(G)|$ is minimum. Then G is connected, or else (ii) holds or we get a smaller counterexample. Moreover, G is not 3-connected, as otherwise (i) or (iii) holds by Lemma 3.1. So let T be

a cut in G with $|T| \leq 2$. Since G has at least two nontrivial T -bridges, we may assume that B is a nontrivial T -bridge of G such that $|E(B) \cap \{e_1, e_2, e_3\}| \leq 1$. If $|E(B) \cap \{e_1, e_2, e_3\}| = 1$ then (ii) holds. So $E(B) \cap \{e_1, e_2, e_3\} = \emptyset$. If $|T| = 1$ let $G' := G - V(B - T)$, and if $|T| = 2$ let G' be obtained from $G - V(B - T)$ by adding an edge between the vertices in T . Now by the choice of G, e_1, e_2, e_3 , we see that (i) or (ii) or (iii) holds for G', e_1, e_2, e_3 . It is straightforward to verify that (i) or (ii) or (iii) holds for G, e_1, e_2, e_3 . \blacksquare

The following figure gives illustrations of conclusions (i) – (v) of Lemma 3.3. Note that there are three pairs of vertices $\{v_1, v_2\}$, $\{w_1, w_2\}$ and $\{a_1, a_2\}$ in the statement of Lemma 3.3. These pairs appear symmetric in the first part of the statement; however, we state the second part of the lemma according to the locations of vertices a_1, a_2 , to facilitate later applications where $\{a_1, a_2\}$ will play different roles than $\{v_1, v_2\}$ and $\{w_1, w_2\}$.

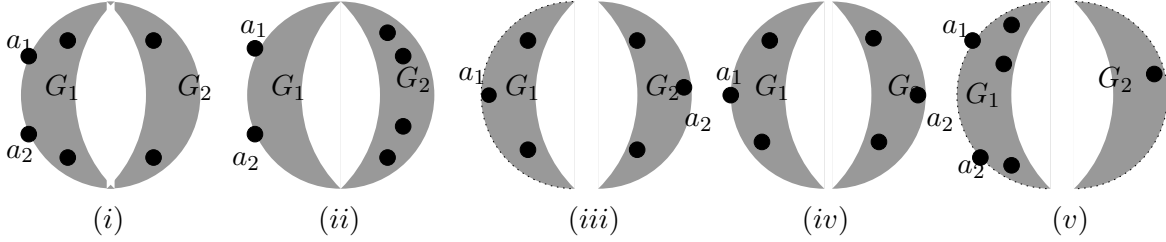


Fig. 4: The separation (G_1, G_2) in Lemma 3.3.

Lemma 3.3. *Let G be a graph and $v_1, v_2, w_1, w_2, a_1, a_2 \in V(G)$ be distinct such that $a_1a_2, v_1v_2, w_1w_2 \notin E(G)$. Then G has three disjoint paths with one from $\{v_1, v_2\}$ to $\{w_1, w_2\}$, one from $\{v_1, v_2\}$ to $\{a_1, a_2\}$, and another from $\{w_1, w_2\}$ to $\{a_1, a_2\}$, or G has a separation (G_1, G_2) such that one of the following holds:*

- (i) $|V(G_1 \cap G_2)| \leq 2$, $\{a_1, a_2\} \subseteq V(G_1)$, and for some $i \in \{1, 2\}$, $\{v_1, v_2\} \subseteq V(G_i)$ and $\{w_1, w_2\} \subseteq V(G_{3-i})$.
- (ii) $|V(G_1 \cap G_2)| \leq 2$, $\{a_1, a_2\} \subseteq V(G_1)$, and $\{v_1, v_2, w_1, w_2\} \subseteq V(G_2)$.
- (iii) $G_1 \cap G_2 = \emptyset$, $a_1 \in V(G_1)$, $a_2 \in V(G_2)$, and for some $i \in \{1, 2\}$, $\{v_1, v_2\} \subseteq V(G_i)$ and $\{w_1, w_2\} \subseteq V(G_{3-i})$.
- (iv) $G_1 \cap G_2 = \emptyset$, $a_1 \in V(G_1)$, $a_2 \in V(G_2)$, and for $i \in \{1, 2\}$, $|\{v_1, v_2\} \cap V(G_i)| = |\{w_1, w_2\} \cap V(G_i)| = 1$.
- (v) $G_1 \cap G_2 = \emptyset$, $\{a_1, a_2\} \subseteq V(G_1)$, and $|\{v_1, v_2, w_1, w_2\} \cap V(G_1)| = 3$.

Proof. Let $G' = G + \{a_1a_2, v_1v_2, w_1w_2\}$ and apply Lemma 3.2 to $G', a_1a_2, v_1v_2, w_1w_2$. If Lemma 3.2(i) holds, i.e., G' contains a cycle C containing a_1a_2, v_1v_2 and w_1w_2 , then $C - \{a_1a_2, v_1v_2, w_1w_2\}$ gives the desired paths in G . If Lemma 3.2(ii) holds then let (G'_1, G'_2) be a separation in G' such that $|V(G'_1 \cap G'_2)| \leq 2$, $V(G'_1) - V(G'_2) \neq \emptyset$, and $|E(G'_1) \cap \{a_1a_2, v_1v_2, w_1w_2\}| = 1$; then (i) holds if $\{v_1v_2, w_1w_2\} \cap E(G'_1) \neq \emptyset$, and (ii) holds if $a_1a_2 \in E(G'_1)$. So assume that Lemma 3.2(iii) holds. Then G is the disjoint union of two graphs G_1

and G_2 , and one of the pairs $\{a_1, a_2\}$, $\{v_1, v_2\}$, $\{w_1, w_2\}$ has one element in G_1 and another in G_2 .

Suppose $a_1 \in V(G_1)$ and $a_2 \in V(G_2)$. If there exists $i \in \{1, 2\}$ such that $|\{v_1, v_2, w_1, w_2\} \cap V(G_i)| \leq 1$, then $(G_i + a_{3-i}, G_{3-i} + \{v_1, v_2, w_1, w_2\})$ shows that (ii) holds. If $|\{v_1, v_2, w_1, w_2\} \cap V(G_i)| = 2$ for $i = 1, 2$ then (iii) or (iv) holds.

So assume (by symmetry) that $a_1, a_2, v_1 \in V(G_1)$ and $v_2 \in V(G_2)$. If $|\{w_1, w_2\} \cap V(G_1)| \leq 1$ then $(G_1, G_2 + \{v_1, w_1, w_2\})$ shows that (ii) holds; if $\{w_1, w_2\} \subseteq V(G_1)$ then (v) holds. ■

In general one could ask the following question. Given two disjoint k -sets of vertices A, B and an edge e in a graph G , when does G contain k disjoint paths from A to B and passing through e ? The main result of this section is an answer to this question for $k = 3$. Note that when (i) of Lemma 3.4 occurs, the desired paths do not exist if $|V(G_1 \cap G_2)| \leq 2$, and the problem reduces to the smaller graphs G_1 or G_2 if $|V(G_1 \cap G_2)| = 3$.

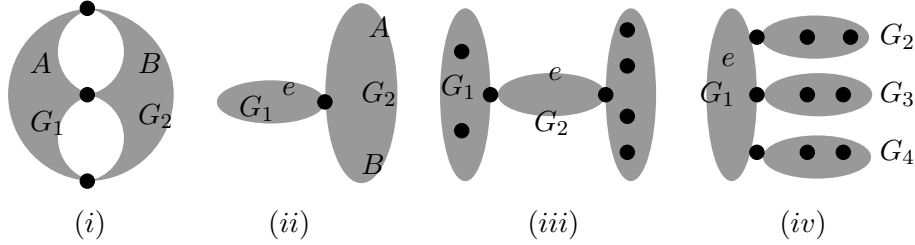


Fig. 5: The separations in Lemma 3.4.

Lemma 3.4. *Let G be a graph, $A, B \subseteq V(G)$ be disjoint, and $e \in E(G)$ such that $|A| = |B| = 3$ and $V(e) \cap (A \cup B) = \emptyset$. Then G has three disjoint paths from A to B and through e , or one of the following holds:*

- (i) G has a separation (G_1, G_2) such that $|V(G_1 \cap G_2)| \leq 3$, $A \subseteq V(G_1)$, and $B \subseteq V(G_2)$.
- (ii) G has a separation (G_1, G_2) such that $|V(G_1 \cap G_2)| \leq 1$, $e \in E(G_1)$, and $A \cup B \subseteq V(G_2)$.
- (iii) $G = G_1 \cup G_2 \cup G_3$ such that $G_1 \cap G_3 = \emptyset$, $e \in E(G_2)$, $|V(G_i \cap G_2)| \leq 1$ for $i = 1, 3$, $|V(G_1) \cap A| = |V(G_1) \cap B| = 1$, and $|V(G_3) \cap A| = |V(G_3) \cap B| = 2$.
- (iv) $G = G_1 \cup G_2 \cup G_3 \cup G_4$ such that $e \in E(G_1)$, $V(G_i \cap G_j) = \emptyset$ for $2 \leq i < j \leq 4$, and $|V(G_1 \cap G_i)| = |V(G_i \cap A)| = |V(G_i \cap B)| = 1$ for $i \in \{2, 3, 4\}$.

Proof. We may assume that A, B are independent sets in G , as otherwise (i) holds. We may also assume that G has three disjoint paths P_1, P_2, P_3 from A to B , or else (i) follows from Menger's theorem. Let $P := \bigcup_{i=1}^3 P_i$. We may assume that $e \notin E(P)$ for any choice of P ; for, otherwise, G has three disjoint paths from A to B and through e . Let L_P denote the P -bridge of G containing e . We choose P (i.e., P_1, P_2, P_3) so that

- (1) L_P is maximal.

Let $A = \{a_1, a_2, a_3\}$ and $B = \{b_1, b_2, b_3\}$ such that P_i is from a_i to b_i for $i = 1, 2, 3$. Let $x_i, y_i \in V(P_i \cap L_P)$ (if not empty) such that $x_i P_i y_i$ is maximal and a_i, x_i, y_i, b_i occur on P_i

in this order. For convenience, let $L' := L_P - V(P \cap L_P)$ and let $L_i := G[L' \cup x_i P_i y_i]$ for $i = 1, 2, 3$.

(2) If x_i, y_i are defined then no P -bridge of G intersects both $a_i P_i x_i - x_i$ and $x_i P_i b_i - x_i$, or both $a_i P_i y_i - y_i$ and $y_i P_i b_i - y_i$. For, suppose G has a P -bridge J intersecting both $a_i P_i x_i - x_i$ and $x_i P_i b_i - x_i$. Then $J \neq L_P$, and J contains a path Q_i from some $u_i \in V(a_i P_i x_i - x_i)$ to some $v_i \in V(x_i P_i b_i - x_i)$ and internally disjoint from $P \cup L_P$. Let $P' := (P - V(P_i)) \cup a_i P_i u_i Q_i v_i P_i b_i$. Then the P' -bridge of G containing e contains $L_P + x_i$, contradicting (1).

(3) If x_i, y_i are defined and L_i has a separation (L_{i1}, L_{i2}) such that $|V(L_{i1} \cap L_{i2})| = 1$, $x_i, y_i \in V(L_{i1})$, and $e \in E(L_{i2})$, we choose (L_{i1}, L_{i2}) so that L_{i2} is minimal, and let $w_i \in V(L_{i1} \cap L_{i2})$. If x_i, y_i are defined and the above separation does not exist, then we may assume $x_i = y_i$; as otherwise, L_i contains a path Q_i from x_i to y_i and through e , and hence $(P - V(P_i)) \cup a_i P_i x_i Q_i y_i P_i b_i$ gives the desired paths. In this latter case, we set $w_i = x_i = y_i$, and let L_{i1} consist of w_i only, and $L_{i2} = L_i$.

(4) We may assume that w_i, x_i, y_i , $i = 1, 2$, are defined, and $w_1 \neq w_2$. To see this, let $I = \{i : w_i, x_i, y_i \text{ are defined}\}$. If $I = \emptyset$ then the separation $(L_P, G - L_P)$ shows that (ii) holds. So assume $I \neq \emptyset$. Thus, if (4) is not true then $|I| = 1$ or $w_i = w_j$ for all $i, j \in I$; so the separation $(\cap_{i \in I} L_{i2}, G - \cap_{i \in I} V(L_{i2} - w_i))$ shows that (ii) holds.

By (4) and by the minimality of L_{i2} for $i = 1, 2$ (see (3)), $L_P - V(P - \{w_1, w_2\})$ contains a path from w_1 to w_2 through e and internally disjoint from P ; hence L_{11}, L_{21} are disjoint. So for $\{i, j\} = \{1, 2\}$, L_P contains a path Q_{ij} from x_i to y_j , through e , and internally disjoint from P .

(5) We may assume that no P -bridge of G other than L_P intersects both $a_1 P_1 y_1 - y_1$ and $x_2 P_2 b_2 - x_2$, or both $a_2 P_2 y_2 - y_2$ and $x_1 P_1 b_1 - x_1$. Otherwise, by symmetry assume that some P -bridge J of G , $J \neq L_P$, intersects both $a_1 P_1 y_1 - y_1$ and $x_2 P_2 b_2 - x_2$. Then J contains a path Q from some $u \in V(a_1 P_1 y_1 - y_1)$ to some $v \in V(x_2 P_2 b_2 - x_2)$ and internally disjoint from $P \cup L_P$. Now $a_1 P_1 u Q v P_2 b_2, a_2 P_2 x_2 Q_{21} y_1 P_1 b_1, P_3$ are three disjoint paths from A to B and through e .

Case 1. w_3, x_3, y_3 are defined.

Suppose $w_3 \notin \{w_1, w_2\}$. Then by the same argument following (4), we may assume that for any $1 \leq i \neq j \leq 3$, L_P has a path Q_{ij} from x_i to y_j through e and internally disjoint from P , and (5) holds for any P_i, P_j with $i \neq j$. Thus, $\{x_1, x_2, x_3\}$ or $\{y_1, y_2, y_3\}$ separates A from B (i.e. (i) holds); or $\{x_1, x_2, x_3\} = \{a_1, a_2, a_3\}$, $\{y_1, y_2, y_3\} = \{b_1, b_2, b_3\}$, and no P -bridge of G other than L_P contains two of $\{x_1, x_2, x_3\}$ or two of $\{y_1, y_2, y_3\}$. In the latter case, (iv) holds with $G_1 = L_{12} \cap L_{22} \cap L_{32}$, $L_{11} \cup P_1 \subseteq G_2$, $L_{21} \cup P_2 \subseteq G_4$, and $L_{31} \cup P_3 \subseteq G_3$. Thus, by symmetry assume $w_3 = w_2$.

Hence, again by the same argument following (4), for all $\{i, j\} \neq \{2, 3\}$, L_P has a path Q_{ij} from x_i to y_j through e and internally disjoint from P , and we may assume that

- (*) no P -bridge of G other than L_P intersects both $a_1 P_1 y_1 - y_1$ and $(x_2 P_2 b_2 - x_2) \cup (x_3 P_3 b_3 - x_3)$, or both $x_1 P_1 b_1 - x_1$ and $(a_2 P_2 y_2 - y_2) \cup (a_3 P_3 y_3 - y_3)$.

If no P -bridge other than L_P intersecting P_1 also intersects $P_2 \cup P_3$, then (iii) holds with $G_2 = L_{12} \cap L_{22}$, $L_{11} \cup P_1 \subseteq G_1$, and $L_{21} \cup L_{31} \cup P_2 \cup P_3 \subseteq G_3$. So assume that G has a path Q

from some $u_1 \in V(P_1)$ to some $u_2 \in V(P_2 \cup P_3)$ and internally disjoint from $P \cup L_P$. Note that if for every choice of Q , we have $u_1 = x_1 = y_1$ then, since $a_1 \neq b_1$, $\{u_1, a_2, a_3\}$ or $\{u_1, b_2, b_3\}$ is a cut in G separating A from B ; so (i) holds. Hence, by symmetry, assume $u_1 \in V(a_1 P_1 y_1 - y_1)$. Then by (*), $u_2 \in V(a_2 P_2 x_2 \cup a_3 P_3 x_3)$. By symmetry, let $u_2 \in V(a_2 P_2 x_2)$.

First, assume that Q may be chosen so that $u_1 \in V(x_1 P_1 y_1 - \{x_1, y_1\})$. Then by (*), $x_2 = y_2 = u_2$. Since $a_2 \neq b_2$, we may let $a_2 \neq x_2$ (by symmetry). If $\{x_1, x_2, x_3\}$ is a cut in G separating A from B then (i) holds. So by (2) and (*), G has a path R internally disjoint from $L_P \cup P \cup Q$, which is from some $r \in V(a_2 P_2 x_2 - x_2)$ to some $s \in V(x_3 P_3 b_3 - x_3)$, or from some $r \in V(x_2 P_2 b_2 - x_2)$ to some $s \in V(a_3 P_3 x_3 - x_3)$. In the former case, $a_1 P_1 u_1 Q u_2 P_2 b_2, a_2 P_2 r R s P_3 b_3, a_3 P_3 x_3 Q_{31} y_1 P_1 b_1$ are disjoint paths from A to B and through e . In the latter case, $a_1 P_1 x_1 Q_{13} y_3 P_3 b_3, a_2 P_2 u_2 Q u_1 P_1 b_1, a_3 P_3 s R r P_2 b_2$ are disjoint paths from A to B and through e .

Therefore, we may assume $u_1 \in V(a_1 P_1 x_1 - y_1)$. Thus, Q implies the existence of a path Q' in G from some $v_2 \in V(a_2 P_2 x_2)$ to some $v_1 \in V(a_1 P_1 x_1 - y_1) \cup V(a_3 P_3 x_3 - x_3)$ and internally disjoint from $P \cup L_P$, and we choose Q' with $v_2 P_2 x_2$ minimal. Let $v_3 \in P_3$ with $v_3 P_3 a_3$ maximal such that $v_3 = a_3$, or G contains a path R from v_3 to some $r \in V(a_1 P_1 x_1 - x_1) \cup V(a_2 P_2 v_2 - v_2)$ and internally disjoint from $P \cup L_P$.

Suppose $v_3 \in V(x_3 P_3 b_3 - x_3)$; so R is defined. By (2) and (*), $R \cap Q' = \emptyset$; and by (*), $r \in V(a_2 P_2 v_2 - v_2)$. If $v_1 \in V(a_1 P_1 x_1 - y_1)$ then $a_1 P_1 v_1 Q' v_2 P_2 b_2, a_2 P_2 r R v_3 P_3 b_3, a_3 P_3 x_3 Q_{31} y_1 P_1 b_1$ are disjoint paths from A to B and through e . So assume $v_1 \in V(a_3 P_3 x_3 - x_3)$. Then $P_1, a_2 P_2 r R v_3 P_3 b_3, a_3 P_3 v_1 Q' v_2 P_2 b_2$ contradict the choice of P (the maximality of L_P in (1)).

Thus, $v_3 \in V(a_3 P_3 x_3)$. If $\{x_1, v_2, v_3\}$ is a cut in G separating A from B then (i) holds. So by (2) and (*) and by the choices of v_2 and v_3 , we may assume that there is a path R' from some $s' \in V(a_3 P_3 v_3 - v_3)$ to some $r' \in V(v_2 P_2 b_2 - v_2)$ and internally disjoint from P . Then R is defined, and by the minimality of $v_2 P_2 x_2$, $r' \in V(x_2 P_2 b_2 - x_2)$. So $R \cap R' = \emptyset$ by (2) and (*). If $r \in V(a_2 P_2 v_2 - v_2)$ then $P_1, a_2 P_2 r R v_3 P_3 b_3, a_3 P_3 s' R' r' P_2 b_2$ contradict (1); and if $r \in V(a_1 P_1 x_1 - x_1)$ then $a_1 P_1 r R v_3 P_3 b_3, a_2 P_2 x_2 Q_{21} y_1 P_1 b_1$, and $a_3 P_3 s' R' r' P_2 b_2$ are three disjoint paths from A to B and through e .

Case 2. w_3, x_3, y_3 are not defined.

Let $u \in V(P_3)$ with $u P_3 b_3$ minimal such that $u = a_3$ or u belongs to some P -bridge of G intersecting $(a_1 P_1 x_1 - x_1) \cup (a_2 P_2 x_2 - x_2)$. We may assume $\{x_1, x_2, u\} = \{a_1, a_2, a_3\}$. For, suppose $\{x_1, x_2, u\} \neq \{a_1, a_2, a_3\}$. We further choose P_3 (while fixing P_1, P_2, L_P) so that $u P_3 b_3$ is minimal; hence no P -bridge of G intersects both $a_3 P_3 u - u$ and $u P_3 b_3 - u$. If G has no path from $a_3 P_3 u - u$ to $(x_1 P_1 b_1 - x_1) \cup (x_2 P_2 b_2 - x_2)$ and internally disjoint from $P \cup L_P$, then by (2), (5) and the choice of u , $\{x_1, x_2, u\}$ is a cut in G separating A from B , and (i) holds. So assume that G has a path Q from some $x \in V(a_3 P_3 u - u)$ to some $y \in V(x_1 P_1 b_1 - x_1) \cup V(x_2 P_2 b_2 - x_2)$ and internally disjoint from $P \cup L_P$. Let R be a path in G from u to some $z \in V(a_1 P_1 x_1 - x_1) \cup V(a_2 P_2 x_2 - x_2)$ and internally disjoint from $P \cup L_P$, and by symmetry let $z \in V(a_2 P_2 x_2 - x_2)$. By (2) and (5), $Q \cap R = \emptyset$. Since we are in Case 2, $(L_P - P) \cap (Q \cup R) = \emptyset$. If $y \in V(x_2 P_2 b_2 - x_2)$ then $P_1, a_2 P_2 z R u P_3 b_3, a_3 P_3 x Q y P_2 b_2$ contradict the choice of P (i.e., (1)). So $y \in V(x_1 P_1 b_1 - x_1)$. Then $a_1 P_1 x_1 Q_{12} y_2 P_2 b_2, a_2 P_2 z R u P_3 b_3, a_3 P_3 x Q y P_1 b_1$ are three disjoint paths from A to B and through e .

Similarly, let $v \in V(P_3)$ with $a_3 P_3 v$ minimal such that $v = b_3$ or v belongs to some P -bridge

of G intersecting $(y_1P_1b_1 - y_1) \cup (y_2P_2b_2 - y_2)$, and we may assume $\{y_1, y_2, v\} = \{b_1, b_2, b_3\}$.

If no P -bridge of G intersecting P_3 also meets P_1 (respectively, P_2) then (iii) holds with $G_2 = L_{12} \cap L_{22}$, $P_2 \cup P_3 \subseteq G_3$ and $P_1 \subseteq G_1$ (respectively, $P_1 \cup P_3 \subseteq G_3$ and $P_2 \subseteq G_1$). So assume that some P -bridge of G meets both P_2 and P_3 and some meets both P_1 and P_3 .

Suppose G has a P -bridge J such that $J \cap P_i \neq \emptyset$ for $i = 1, 2, 3$. Then $J \neq L_P$ as w_3, x_3, y_3 are not defined. So by (5) and by symmetry, we may assume $V(J \cap P_i) = \{a_i\}$ for $i = 1, 2$. Let $w \in V(J \cap P_3)$ with a_3P_3w maximal. We further choose P_3 (while fixing P_1, P_2, L_P) so that wP_3b_3 is as short as possible; then no P -bridge of G intersects both $a_3P_3w - w$ and $wP_3b_3 - w$. We may assume that G has a path Q from some $x \in V(a_3P_3w - w)$ to some $y \in V(P_1 - a_1) \cup V(P_2 - a_2)$ and internally disjoint from $P \cup L_P \cup J$; for otherwise $\{a_1, a_2, w\}$ is a cut in G separating A from B , showing that (i) holds. By symmetry, assume $y \in V(P_2 - a_2)$. Let Q_1 denote a path in J from w to a_1 and internally disjoint from P . Then $a_1Q_1wP_3b_3, Q_{21}, a_3P_3xQyP_2b_2$ are three disjoint paths from A to B and through e .

So assume that no P -bridge of G intersects all P_i , $i = 1, 2, 3$. Suppose all P -bridges of G intersecting both P_3 and $P_1 \cup P_2$ meet P_3 in exactly one common vertex, say z . Assume by symmetry that $z \neq a_3$. We may further choose P_3 (while fixing P_1, P_2, L_P) so that zP_3b_3 is as short as possible. Then no P -bridge of G intersects both $a_3P_3z - z$ and $zP_3b_3 - z$. So $\{a_1, a_2, z\}$ is a cut in G separating A from B , and (i) holds. Hence, we may assume that G has distinct P -bridges J_1 and J_2 such that $J_1 \cap P_1 \neq \emptyset$, $J_2 \cap P_2 \neq \emptyset$, and there exist $u_i \in V(J_i \cap P_3)$, $i = 1, 2$, with $u_1 \neq u_2$. By symmetry assume that a_3, u_1, u_2, b_3 occur on P_3 in order. For $i = 1, 2$, let Q_i be a path in J_i from u_i to some $v_i \in V(P_i)$ and internally disjoint from P . If $v_1 \neq a_1$ and $v_2 \neq b_2$, then $Q_{12}, a_2P_2v_2Q_2u_2P_3b_3, a_3P_3u_1Q_1v_1P_1b_1$ are three disjoint paths from A to B and through e . So by symmetry, assume $V(J_2 \cap P_2) = \{b_2\}$. By modifying P_3 (while fixing P_1, P_2, L_P) we may assume that no P -bridge of G intersects both $a_3P_3u_2 - u_2$ and $u_2P_3b_3 - u_2$. (Note that J_1 will not be used in the remaining proof.)

If no P -bridge of G intersecting $u_2P_3b_3 - u_2$ meets $(P_1 - b_1) \cup (P_2 - b_2)$, then G has separation (G_1, G_2) such that $V(G_1 \cap G_2) = \{b_1, b_2, u_2\}$, $A \subseteq V(G_1)$, and $B \subseteq V(G_2)$; so (i) holds. Hence, assume that there is a path R from some $s \in V(u_2P_3b_3 - u_2)$ to some $t \in V(P_1 - b_1) \cup V(P_2 - b_2)$. If $t \in V(P_1 - b_1)$ then $a_1P_1tRsP_3b_3, Q_{21}, a_3P_3u_2Q_2b_2$ are disjoint paths from A to B and through e . So assume $t \in V(P_2 - b_2)$. Now $P_1, a_2P_2tRsP_3b_3, a_3P_3u_2Q_2b_2$ reduce this case to Case 1. \blacksquare

4 Separations of order three

We now use Lemma 3.4 to prove the following lemma about separations of order three.

Lemma 4.1. *Let (G, u_1, u_2, A) be a quadruple, and suppose G has a separation (U_1, U_2) such that $|V(U_1 \cap U_2)| \leq 3$, $V(U_1 \cap U_2) \cap A \neq \emptyset$, $u_1 \in V(U_1) - V(U_2)$, $u_2 \in V(U_2) - V(U_1)$, and $A \subseteq U_i$ for some $i \in \{1, 2\}$. Then the conclusion of Theorem 2.1 holds for (G, u_1, u_2, A) .*

Proof. For convenience, we say a separation of G *good* if it satisfies the conditions of this lemma. We may assume that for any good separation (U_1, U_2) , $|V(U_1 \cap U_2)| = 3$ (and let $V(U_1 \cap U_2) = \{v_1, v_2, v_3\}$) and U_{3-i} has three independent paths, say P_1, P_2, P_3 , from u_{3-i} to v_1, v_2, v_3 , respectively. For, suppose otherwise. By symmetry, let $i = 1$. If $|V(U_1 \cap U_2)| \leq 2$ let

$U_{21} = U_2$ and $U_{22} = \emptyset$, and if $|V(U_1 \cap U_2)| = 3$ let (U_{21}, U_{22}) be a separation in U_2 such that $|V(U_{21} \cap U_{22})| \leq 2$, $u_2 \in V(U_{21}) - V(U_{22})$ and $V(U_1 \cap U_2) \subseteq V(U_{22})$. Now $(U_{21}, U_{22} \cup U_1)$ is a separation in G showing that Theorem 2.1(ii) holds for (G, u_1, u_2, A) .

We may assume that $E(G[A]) = \emptyset$; as otherwise, it is easy to see that Theorem 2.1(iii) holds. Let $A = \{a_1, a_2, a_3, a_4\}$ and $a_1 = v_1$. We may assume that

(*) for any good separation (U_1, U_2) , $|V(U_1 \cap U_2)| = 3$, and $V(U_1 \cap U_2) \cap A = \{a_1\}$.

Again by symmetry, let $i = 1$. If $v_2, v_3 \in A$ then $U_1, U_2 + A, \{a_1\}, \{a_2\}, \{a_3\}, \{a_4\}$ show that (G, u_1, u_2, A) is an obstruction of type IV. So we may assume $v_3 \notin A$. Suppose $v_2 \in A$, say $v_2 = a_2$. Then, because of P_1, P_2, P_3 , G has a topological H rooted at u_1, u_2, A if and only if $U_1 - \{a_1, a_2\}$ has three independent paths from u_1 to a_3, a_4, v_3 , respectively. Thus either Theorem 2.1(i) holds for (G, u_1, u_2, A) , or U_1 has a separation (U_{11}, U_{12}) such that $|V(U_{11} \cap U_{12})| \leq 4$, $a_1, a_2 \in V(U_{11} \cap U_{12})$, $u_1 \in V(U_{11}) - V(U_{12})$ and $\{a_3, a_4, v_3\} \subseteq V(U_{12})$. If $|V(U_{11} \cap U_{12})| \leq 3$ then the separation $(U_{11} \cup U_2, U_{12})$ shows that Theorem 2.1(iii) holds for (G, u_1, u_2, A) . So assume $|V(U_{11} \cap U_{12})| = 4$. If $a_3, a_4 \notin V(U_{11} \cap U_{12})$ then $U_{11}, U_2, \{a_1\}, \{a_2\}, U_{12} - \{a_1, a_2\}$ show that (G, u_1, u_2, A) is an obstruction of type I. So assume $a_3 \in V(U_{11} \cap U_{12})$. If $a_4 \notin V(U_{11} \cap U_{12})$ then $U_{11}, U_2 + a_3, \{a_1\}, \{a_2\}, \{a_3\}, U_{12} - \{a_1, a_2, a_3\}$ show that (G, u_1, u_2, A) is an obstruction of type IV; and if $a_4 \in V(U_{11} \cap U_{12})$ then $U_{11}, U_2 \cup U_{12}, \{a_1\}, \{a_2\}, \{a_3\}, \{a_4\}$ show that (G, u_1, u_2, A) is an obstruction of type IV. This proves (*).

We now look for paths in U_1 in order to form a topological H in G . Let U'_1 be obtained from $(U_1 - a_1) + v_2v_3$ by duplicating u_1 twice, and denote the copies of u_1 by u'_1, u''_1 . We apply Lemma 3.4 to $U'_1, \{u_1, u'_1, u''_1\}, \{a_2, a_3, a_4\}, v_2v_3$. If U'_1 has three disjoint paths from $\{u_1, u'_1, u''_1\}$ to $\{a_2, a_3, a_4\}$ and through v_2v_3 , then $(U_1 - a_1) + v_2v_3$ has three independent paths R_1, R_2, R_3 from u_1 to a_2, a_3, a_4 , respectively, and through v_2v_3 , and $\bigcup_{i=1}^3 (P_i \cup R_i) - v_2v_3$ is a topological H in G rooted at u_1, u_2, A ; so Theorem 2.1(i) holds for (G, u_1, u_2, A) . Hence, assume the paths R_1, R_2, R_3 do not exist. Then one of (i) – (iv) of Lemma 3.4 holds. Since u'_1 and u''_1 are duplicates of u_1 , (iii) and (iv) of Lemma 3.4 do not occur here. Suppose Lemma 3.4(ii) holds. Then U_1 has a separation (U_{11}, U_{12}) such that $|V(U_{11} \cap U_{12})| \leq 2$, $a_1 \in V(U_{11} \cap U_{12})$, $A \cup \{u_1\} \subseteq V(U_{11})$, and $\{v_2, v_3\} \subseteq V(U_{12})$. Now the separation $(U_{12} \cup U_2, U_{11})$ shows that Theorem 2.1(ii) holds for (G, u_1, u_2, A) . Hence, we may assume that Lemma 3.4(i) holds.

Thus, U_1 has a separation (U_{11}, U_{12}) such that $a_1 \in V(U_{11} \cap U_{12})$, $|V(U_{11} \cap U_{12})| \leq 4$, $u_1 \in V(U_{11}) - V(U_{12})$, and $A \subseteq V(U_{12})$. We choose (U_{11}, U_{12}) so that U_{12} is minimal. Note that $\{v_2, v_3\} \subseteq V(U_{11})$ or $\{v_2, v_3\} \subseteq V(U_{12})$. In fact, we may assume $\{v_2, v_3\} \not\subseteq V(U_{11})$; otherwise the separation $(U_{11} \cup U_2, U_{12})$ shows that Theorem 2.1(iii) holds for (G, u_1, u_2, A) .

We may assume that $|V(U_{11} \cap U_{12})| = 4$ and $U_{11} - a_1$ has three independent paths Q_1, Q_2, Q_3 from u_1 to the three vertices in $V(U_{11} \cap U_{12}) - \{a_1\}$ respectively. First we may assume $|V(U_{11} \cap U_{12})| \geq 3$; otherwise the separation $(U_{11}, U_{12} \cup U_2)$ shows that Theorem 2.1(ii) holds for (G, u_1, u_2, A) . Moreover, we may assume $|V(U_{11} \cap U_{12})| = 4$; otherwise by (*), $V(U_{11} \cap U_{12}) \cap (A - \{a_1\}) = \emptyset$, and $U_{11}, U_2, \{a_1\}, U_{12} - a_1$ show that (G, u_1, u_2, A) is an obstruction of type II. Now if the paths Q_1, Q_2, Q_3 do not exist, then U_{11} has a separation (U'_{11}, U''_{11}) such that $|V(U'_{11} \cap U''_{11})| \leq 3$, $a_1 \in V(U'_{11} \cap U''_{11})$, $u_1 \in V(U'_{11}) - V(U''_{11})$, and $V(U_{11} \cap U_{12}) \subseteq V(U''_{11})$. We may assume $|V(U'_{11} \cap U''_{11})| = 3$; otherwise $(U'_{11}, U''_{11} \cup U_{12} \cup U_2)$ shows that Theorem 2.1(ii) holds for (G, u_1, u_2, A) . By (*), $V(U'_{11} \cap U''_{11}) \cap (A - \{a_1\}) = \emptyset$. So $U'_{11}, U_2, \{a_1\}, (U''_{11} \cup U_{12}) - a_1$ show that (G, u_1, u_2, A) is an obstruction of type II.

We may also assume that $\{v_2, v_3\} \subseteq V(U_{12}) - V(U_{11})$. Otherwise, since $\{v_2, v_3\} \not\subseteq V(U_{11})$, we may assume that $v_2 \in V(U_{11} \cap U_{12})$ and $v_3 \notin V(U_{11} \cap U_{12})$. By the minimality of U_{12} , $U_{12} - \{a_1, v_2\}$ has three disjoint paths from $\{a_2, a_3, a_4\}$ to $(V(U_{11} \cap U_{12}) - \{a_1, v_2\}) \cup \{v_3\}$. Now these paths and $\cup_{i=1}^3 (P_i \cup Q_i)$ form a topological H in G rooted at u_1, u_2, A , and Theorem 2.1(i) holds for (G, u_1, u_2, A) .

If $V(U_{11} \cap U_{12}) - \{a_1\} = \{a_2, a_3, a_4\}$, then $U_{11}, U_{12} \cup U_2, \{a_1\}, \{a_2\}, \{a_3\}, \{a_4\}$ show that (G, u_1, u_2, A) is an obstruction of type IV.

Suppose $|(V(U_{11} \cap U_{12}) - \{a_1\}) \cap \{a_2, a_3, a_4\}| = 2$, say $a_2 \notin V(U_{11} \cap U_{12})$. If $U_{12} - \{a_1, a_3, a_4\}$ has two disjoint paths from $\{v_2, v_3\}$ to $\{a_2\} \cup (V(U_{11} \cap U_{12}) - A)$, then these paths and $\cup_{i=1}^3 (P_i \cup Q_i)$ form a topological H in G rooted at u_1, u_2, A ; so Theorem 2.1(i) holds for (G, u_1, u_2, A) . Hence, assume that U_{12} has a separation (S, T) such that $|S \cap T| \leq 4$, $\{a_1, a_3, a_4\} \subseteq V(S \cap T)$, $\{a_2\} \cup V(U_{11} \cap U_{12}) \subseteq V(S)$, and $\{v_2, v_3\} \subseteq V(T)$. If $a_2 \in V(S) - V(T)$ then $U_{11}, U_2 \cup T, \{a_1\}, S - \{a_1, a_3, a_4\}, \{a_3\}, \{a_4\}$ show that (G, u_1, u_2, A) is an obstruction of type IV; if $a_2 \in V(S \cap T)$ then $U_{11} \cup S, U_2 \cup T, \{a_1\}, \{a_2\}, \{a_3\}, \{a_4\}$ show that (G, u_1, u_2, A) is an obstruction of type IV.

Now suppose $(V(U_{11} \cap U_{12}) - \{a_1\}) \cap \{a_2, a_3, a_4\} = \emptyset$. Then we may apply Lemma 3.4 to $U_{12} - a_1 + v_2v_3, V(U_{11} \cap U_{12}) - \{a_1\}, \{a_2, a_3, a_4\}, v_2v_3$. If $U_{12} - a_1 + v_2v_3$ has three disjoint paths from $V(U_{11} \cap U_{12}) - \{a_1\}$ to $\{a_2, a_3, a_4\}$ and through v_2v_3 , then deleting v_2v_3 from the union of these paths with $\cup_{i=1}^3 (P_i \cup Q_i)$, we obtain a topological H in G rooted at u_1, u_2, A ; Theorem 2.1(i) holds for (G, u_1, u_2, A) . So assume that one of (i) – (iv) of Lemma 3.4 holds. If Lemma 3.4(i) holds then U_{12} has a separation (S, T) such that $a_1 \in V(S \cap T)$, $|V(S \cap T)| \leq 4$, $V(U_{11} \cap U_{12}) \subseteq V(S)$, and $\{a_2, a_3, a_4\} \subseteq V(T)$; so $(U_{11} \cup S, T)$ contradicts the choice of (U_{11}, U_{12}) . If Lemma 3.4(ii) holds then U_{12} has a separation (S, T) such that $a_1 \in V(S \cap T)$, $|V(S \cap T)| \leq 2$, $\{v_2, v_3\} \subseteq V(T)$, and $A \cup V(U_{11} \cap U_{12}) \subseteq V(S)$; so the separation $(U_2 \cup T, U_{11} \cup S)$ shows that Theorem 2.1(ii) holds for (G, u_1, u_2, A) . Now, suppose Lemma 3.4(iii) holds. Then $U_{12} - a_1 = S_1 \cup S_2 \cup S_3$ such that $S_1 \cap S_3 = \emptyset$, $\{v_2, v_3\} \subseteq V(S_2)$, $|V(S_i \cap S_2)| \leq 1$ for $i = 1, 3$, $|V(S_1) \cap \{a_2, a_3, a_4\}| = |V(S_1) \cap (V(U_{11} \cap U_{12}) - \{a_1\})| = 1$, and $|V(S_3) \cap \{a_2, a_3, a_4\}| = |V(S_3) \cap (V(U_{11} \cap U_{12}) - \{a_1\})| = 2$. Note that $|S_i \cap S_2| = 1$ for $i = 1, 3$; as otherwise, $(U_2 \cup S_2, U_{11} \cup S_1 \cup S_3)$ is a separation in G showing that Theorem 2.1 holds for (G, u_1, u_2, A) . Therefore, since $V(S_i \cap S_2) \not\subseteq A$ for $i = 1, 3$ (by $(*)$ as $\{a_1\} \cup V((S_1 \cup S_3) \cap S_2)$ separates u_2 from $A \cup \{u_1\}$), $U_{11}, U_2 \cup S_2, \{a_1\}, S_1, S_3$ show that (G, u_1, u_2, A) is an obstruction of type I. Thus, we may assume that Lemma 3.4(iv) holds. Then $U_{12} - a_1 = S_1 \cup S_2 \cup S_3 \cup S_4$, $\{v_2, v_3\} \subseteq V(S_1)$, $S_i \cap S_j = \emptyset$ for $2 \leq i < j \leq 4$, and $|V(S_i) \cap \{a_2, a_3, a_4\}| = |V(S_i) \cap (V(U_{11} \cap U_{12}) - \{a_1\})| = 1$ for $i = 2, 3, 4$. Let $A_1 = \{a_1\}$, and for $i \in \{2, 3, 4\}$, let $a_i \in V(S_i)$, $V(A_i) = \{a_i\}$ and $A'_i = S_i$ (when $a_i \in V(S_1)$), and $A_i = S_i$ and $A'_i = \emptyset$ (when $a_i \notin V(S_1)$). Now $U_{11} \cup A'_2 \cup A'_3 \cup A'_4, U_2 \cup S_1, A_1, A_2, A_3, A_4$ show that (G, u_1, u_2, A) is an obstruction of type IV.

Thus, without loss of generality, let $V(U_{11} \cap U_{12}) = \{a_1, a_4, b, c\}$, with $b, c \notin A$. Note that $U_{12} \cup U_2$ has a separation (S, T) such that $\{a_1, a_4\} \subseteq V(S \cap T)$, $|V(S \cap T)| \leq 4$, $\{a_2, a_3, b, c\} \subseteq V(S)$, and $u_2 \in V(T) - V(S)$. (For example, $S = U_{12}$ and $T = U_2 + a_4$.) Choose (S, T) to maximize T with $U_2 \subseteq T$. By $(*)$, $|V(S \cap T)| = 4$. Let $V(S \cap T) = \{a_1, a_4, v'_2, v'_3\}$. Then $T - a_4$ has three independent paths Q'_1, Q'_2, Q'_3 from u_2 to a_1, v'_2, v'_3 , respectively; for otherwise, T has a separation (T_1, T_2) such that $|V(T_1 \cap T_2)| \leq 3$, $a_1, a_4 \in V(T_1 \cap T_2)$, $u_2 \in V(T_2) - V(T_1)$, and $\{v'_2, v'_3\} \subseteq V(T_1)$ (since $U_2 \subseteq T$ and because of P_1, P_2, P_3), contradicting $(*)$ (with the separation $(U_{11} \cup S \cup T_1, T_2)$).

We apply Lemma 3.3 to $S - \{a_1, a_4\}, b, c, v'_2, v'_3, a_2, a_3$ (with a_2, a_3 play the roles of a_1, a_2 there). If $S - \{a_1, a_4\}$ has three disjoint paths, with one from $\{b, c\}$ to $\{v'_2, v'_3\}$, one from $\{b, c\}$ to $\{a_2, a_3\}$, and another from $\{v'_2, v'_3\}$ to $\{a_2, a_3\}$, then these paths and $\bigcup_{i=1}^3 (Q_i \cup Q'_i)$ form a topological H in G rooted at u_1, u_2, A ; Theorem 2.1(i) holds for (G, u_1, u_2, A) . So assume that $S - \{a_1, a_4\}$ has a separation (G_1, G_2) such that one of (i) – (v) of Lemma 3.3 holds. By the minimality of U_{12} and the maximality of T , Lemma 3.3(i) does not occur here. If Lemma 3.3(ii) holds, then the separation $(U_{11} \cup T \cup G[G_2 + \{a_1, a_4\}], G_1 + \{a_1, a_4\})$ shows that Theorem 2.1(iii) holds for (G, u_1, u_2, A) . If Lemma 3.3(iii) holds, say with $\{b, c\} \subseteq V(G_1)$ and $\{v'_2, v'_3\} \subseteq V(G_2)$, then $U_{11} \cup G_1 + \{a_2, a_3\}, (T \cup G_2) + \{a_2, a_3\}, \{a_1\}, \{a_2\}, \{a_3\}, \{a_4\}$ show that (G, u_1, u_2, A) is an obstruction of type IV. If Lemma 3.3(iv) holds, then $U_{11}, T, \{a_1\}, \{a_4\}, G_1, G_2$ show that (G, u_1, u_2, A) is an obstruction of type IV. So assume Lemma 3.3(v) holds with $\{a_2, a_3\} \subseteq V(G_1)$. If $|\{v'_2, v'_3\} \cap V(G_1)| = 1$ then the separation $(T \cup G[G_2 + \{a_1, a_4\}], U_{11} \cup G[G_1 + \{a_1, a_4\}])$ contradicts (*). So $|\{b, c\} \cap V(G_1)| = 1$. Then $U_{11} \cup G[G_2 + \{a_1, a_4\}], T, \{a_1\}, \{a_4\}, G_1$ show that (G, u_1, u_2, A) is an obstruction of type I. ■

Lemma 4.2. *Let (G, u_1, u_2, A) be a quadruple, and assume that G has a separation (U_1, U_2) such that $|V(U_1 \cap U_2)| \leq 3$, $|V(U_1)| \geq 5$, $u_1 \in V(U_1) - V(U_2)$, $A \cup \{u_2\} \subseteq V(U_2)$. Suppose Theorem 2.1 holds for all graphs of order less than $|V(G)|$. Then Theorem 2.1 holds for (G, u_1, u_2, A) .*

Proof. First, we may assume that $|V(U_1 \cap U_2)| = 3$ and U_1 has three independent paths, say P_1, P_2, P_3 , from u_1 to the three vertices in $V(U_1 \cap U_2)$. For, otherwise, $|V(U_1 \cap U_2)| \leq 2$ (in which case let $K = U_1$ and $L = \emptyset$), or U_1 has a separation (K, L) such that $|V(K \cap L)| \leq 2$, $u_1 \in V(K) - V(L)$ and $V(U_1 \cap U_2) \subseteq V(L)$. Then the separation $(K, L \cup U_2)$ in G shows that Theorem 2.1(ii) holds for (G, u_1, u_2, A) .

Now let G' be obtained from G by deleting $U_1 - u_1 - V(U_1 \cap U_2)$ and adding three edges from u_1 to the three vertices in $V(U_1 \cap U_2)$. By assumption, Theorem 2.1 holds for (G', u_1, u_2, A) .

If Theorem 2.1(i) holds for (G', u_1, u_2, A) then let T' be a topological H in G' rooted at u_1, u_2, A . Now $(T' - u_1) \cup P_1 \cup P_2 \cup P_3$ is a topological H in G rooted at u_1, u_2, A ; so Theorem 2.1(i) holds for (G, u_1, u_2, A) .

Suppose Theorem 2.1(ii) holds for (G', u_1, u_2, A) , and let (K, L) denote a separation in G' such that $|V(K \cap L)| \leq 2$ and, for some $i \in \{1, 2\}$, $u_i \in V(K) - V(L)$ and $A \cup \{u_{3-i}\} \subseteq V(L)$. If $i = 1$ then the separation $((K - u_1) \cup U_1, L)$ shows that Theorem 2.1(ii) holds for (G, u_1, u_2, A) . So $i = 2$. If $u_1 \notin V(K \cap L)$ then the separation $(K, (L - u_1) \cup U_1)$ shows that Theorem 2.1(ii) holds for (G, u_1, u_2, A) . So $u_1 \in V(K \cap L)$. Then the separation $(U_1 \cup K, L - u_1)$ shows that Theorem 2.1(iii) holds for (G, u_1, u_2, A) .

Suppose Theorem 2.1(iii) holds for (G', u_1, u_2, A) , and let (K, L) denote a separation in G' such that $|V(K \cap L)| \leq 4$, $u_1, u_2 \in V(K) - V(L)$ and $A \subseteq V(L)$. Now the separation $((K - u_1) \cup U_1, L)$ shows that Theorem 2.1(iii) holds for (G, u_1, u_2, A) .

Finally, assume Theorem 2.1(iv) holds for (G', u_1, u_2, A) . Replacing u_1 with U_1 in that side of (G', u_1, u_2, A) containing u_1 , we see that (G, u_1, u_2, A) is also an obstruction of the same type as (G', u_1, u_2, A) . ■

5 Quadruples with critical pairs

In this section, we consider quadruples (G, u_1, u_2, A) in which there exist $x, y \in V(G) - \{u_1, u_2\} - A$ such that $(G/xy, u_1, u_2, A)$ is an obstruction, where G/xy is obtained from G by identifying x and y . Such a pair $\{x, y\}$ is said to be *critical*. First, we need a lemma on separations of order 4 in a hypothetical minimum counterexample to Theorem 2.1.

Lemma 5.1. *Suppose (G, u_1, u_2, A) is a counterexample to Theorem 2.1 with $|V(G)|$ minimum, and assume that G has a separation (U_1, U_2) such that $V(U_1 \cap U_2) = \{w_1, w_2, w_3, w_4\}$, $u_1 \in V(U_1) - V(U_2)$, $u_2 \in V(U_2) - V(U_1)$, and $A \subseteq V(U_2)$. Then for any permutation $ijkl$ of $\{1, 2, 3, 4\}$,*

- (i) $U_1 - w_l$ has three independent paths from u_1 to w_i, w_j, w_k , respectively, unless $w_l \in N(u_1)$ and $|N(u_1)| = 3$, and
- (ii) U_1 has three independent paths from u_1 to w_i, w_j, w_k , unless $w_l \in N(u_1)$, $|N(u_1)| = 3$, and $N(w_l) \cap V(U_1) \subseteq N[u_1]$.

Proof. First, note that $|N(u_1)| \geq 3$, or else Theorem 2.1(ii) would hold for (G, u_1, u_2, A) .

Suppose $U_1 - w_l$ does not have three independent paths from u_1 to w_i, w_j, w_k , respectively. Then U_1 has a separation (U_{11}, U_{12}) such that $|V(U_{11} \cap U_{12})| \leq 3$, $w_l \in V(U_{11} \cap U_{12})$, $\{w_1, w_2, w_3, w_4\} \subseteq V(U_{12})$, and $u_1 \in V(U_{11}) - V(U_{12})$. Note that $|V(U_{11} \cap U_{12})| = 3$; otherwise the separation $(U_{11}, U_{12} \cup U_2)$ shows that Theorem 2.1(ii) would hold for (G, u_1, u_2, A) . Now the separation $(U_{11}, U_{12} \cup U_2)$ allows us to use Lemma 4.2 to conclude that $V(U_{11}) = \{u_1\} \cup V(U_{11} \cap U_{12})$. Hence, $|N(u_1)| = 3$ and $N(u_1) = V(U_{11} \cap U_{12})$ (so $w_l \in N(u_1)$).

Now assume that U_1 does not have three independent paths from u_1 to w_i, w_j, w_k , respectively. Then U_1 has a separation (U_{11}, U_{12}) such that $|V(U_{11} \cap U_{12})| \leq 2$, $u_1 \in V(U_{11}) - V(U_{12})$, and $\{w_i, w_j, w_k\} \subseteq V(U_{12})$. Note that $w_l \in V(U_{11}) - V(U_{12})$ and $|V(U_{11} \cap U_{12})| = 2$; otherwise the separation $(U_{11}, U_{12} \cup U_2 + w_l)$ shows that Theorem 2.1(ii) would hold for (G, u_1, u_2, A) . Now the separation $(U_{11}, U_{12} \cup U_2 + w_l)$ allows us to use Lemma 4.2 to conclude that $V(U_{11}) = \{u_1, w_l\} \cup V(U_{11} \cap U_{12})$. Hence, $|N(u_1)| = 3$, $N(u_1) = \{w_l\} \cup V(U_{11} \cap U_{12})$, and $N(w_l) \cap V(U_1) \subseteq N[u_1]$. \blacksquare

We now show, in a sequence of four lemmas, that no quadruple containing a critical pair is a minimum counterexample to Theorem 2.1.

Lemma 5.2. *Suppose (G, u_1, u_2, A) is a counterexample to Theorem 2.1 with $|V(G)|$ minimum, and let $x, y \in V(G) - A - \{u_1, u_2\}$ be distinct. Then $(G/xy, u_1, u_2, A)$ is not an obstruction of type IV.*

Proof. For, suppose $(G/xy, u_1, u_2, A)$ is an obstruction of type IV, with sides U_1, U_2 and middle parts A_1, A_2, A_3, A_4 . See Figure 6. Recall from definition of obstruction that $V(U_1 \cap U_2) \subseteq A$. Let $A := \{a_1, a_2, a_3, a_4\}$ such that $a_i \in V(A_i)$ for $1 \leq i \leq 4$. Let $V(U_1 \cap A_i) = \{v_i\}$ and $V(U_2 \cap A_i) = \{w_i\}$, $1 \leq i \leq 4$, and let $u_1 \in V(U_1) - \{v_1, v_2, v_3, v_4\}$ and $u_2 \in V(U_2) - \{w_1, w_2, w_3, w_4\}$. By definition of obstruction, if $|A_i| \geq 2$ then $a_i \in V(A_i) - \{v_i, w_i\}$ and $N(a_i) \subseteq V(A_i)$, and if $v_i = w_i$ then $\{v_i\} = \{w_i\} = \{a_i\} = V(A_i)$.

Let v be the vertex resulting from the identification of x and y . If $v \notin \{v_i, w_i : 1 \leq i \leq 4\}$ then (G, u_1, u_2, A) is an obstruction of type IV, a contradiction. Then by symmetry assume $v = v_1$. So $|V(A_1)| \geq 2$ and $a_1 \in V(A_1) - \{v_1, w_1\}$. Let U'_1, A'_1 be obtained from U_1, A_1 , respectively, by unidentifying v to x and y . Note that if $xy \in E(G)$ we put xy back in exactly one of U'_1 or A'_1 (it does not matter which one).

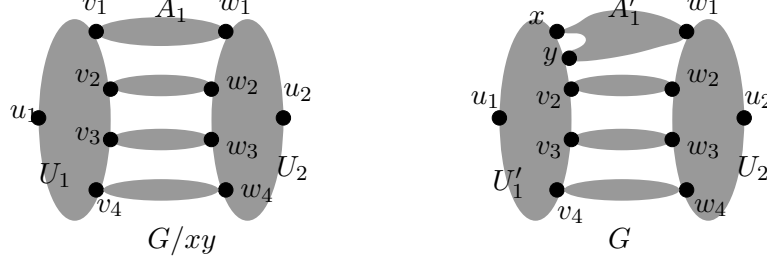


Fig. 6: G/xy is an obstruction of type IV.

Then A'_1 contains disjoint paths X, Y from $\{x, y\}$ to $\{a_1, w_1\}$. For, otherwise, A'_1 has a separation (A_{11}, A_{12}) such that $|V(A_{11} \cap A_{12})| \leq 1$, $\{x, y\} \subseteq V(A_{11})$, and $\{a_1, w_1\} \subseteq V(A_{12})$. Now $U'_1 \cup A_{11}, U_2, A_{12}, A_2, A_3, A_4$ (when $a_1 \notin V(A_{11} \cap A_{12}) \neq \emptyset$), or $U'_1 \cup A_{11} + a_1, U_2 \cup A_{12}, \{a_1\}, A_2, A_3, A_4$ (when $a_1 \in V(A_{11} \cap A_{12})$ or $V(A_{11} \cap A_{12}) = \emptyset$), show that (G, u_1, u_2, A) is an obstruction of type IV, a contradiction.

Moreover, for each $i \in \{2, 3, 4\}$, if $a_i \notin \{v_i, w_i\}$ then $A_i - v_i$ contains a path W'_i between w_i and a_i , and $A_i - w_i$ has a path V'_i between v_i and a_i . For, suppose by symmetry that $A_i - v_i$ has no path from w_i to a_i , then A_i has a separation (A_{i1}, A_{i2}) such that $V(A_{i1} \cap A_{i2}) = \{v_i\}$, $a_i \in V(A_{i1})$ and $w_i \in V(A_{i2})$. Then the separation $(G - (A_{i1} - v_i), A_{i1} + (A - \{a_i\}))$ shows that Theorem 2.1(iii) holds, a contradiction. Let $W'_i = V'_i = A_i$ if $a_i = v_i = w_i$.

Suppose for each $i \in \{2, 3, 4\}$, $U'_1 - (A - \{v_i\})$ has three independent paths P_1^i, P_2^i, P_3^i from u_1 to x, y, v_i , respectively. If $U'_2 - (A \cap \{w_2\})$ has three independent paths from u_2 to w_1, w_3, w_4 , respectively, then these paths and $P_1^2, P_2^2, P_3^2, X, Y, V'_2, W'_3, W'_4$ form a topological H rooted at u_1, u_2, A , and Theorem 2.1(i) would hold. So such paths do not exist in $U'_2 - (A \cap \{w_2\})$. Then by Lemma 5.1(i), $w_2 \in N(u_2)$ and $|N(u_2)| = 3$. Similarly, $w_3, w_4 \in N(u_2)$. Hence by Lemma 4.1, $w_2, w_3, w_4 \notin A$. Therefore, by Lemma 5.1(ii), $N(w_i) \cap V(U_2) \subseteq N[u_2]$ for $i = 2, 3, 4$. Now $G[N[u_2]] + a_1, U'_1 \cup A'_1 \cup (U_2 - \{u_2, w_2, w_3, w_4\}), \{a_1\}, A_2, A_3, A_4$ show that (G, u_1, u_2, A) is an obstruction of type IV, a contradiction.

Hence, we may assume by symmetry that P_1^2, P_2^2, P_3^2 do not exist. Then U'_1 has a separation (U_{11}, U_{12}) such that $A \cap \{v_3, v_4\} \subseteq V(U_{11} \cap U_{12})$, $|V(U_{11} \cap U_{12})| \leq |A \cap \{v_3, v_4\}| + 2$, $u_1 \in V(U_{11}) - V(U_{12})$, and $\{x, y, v_2\} \subseteq V(U_{12})$. We choose (U_{11}, U_{12}) so that $|V(U_{11} \cap U_{12})|$ is minimum and then U_{12} is minimal.

We claim that $|V(U_{11} \cap U_{12})| = |A \cap \{v_3, v_4\}| + 2$. For, otherwise, the separation $(U_{11} + \{v_3, v_4\}, G - (U_{11} - U_{12}) + \{v_3, v_4\})$ allows us to use Lemma 4.1 to assume $v_3, v_4 \notin A$; so $|A \cap \{v_3, v_4\}| = 0$. Then $|V(U_{11} \cap U_{12})| = 1$ and $v_3, v_4 \in V(U_{11} - U_{12})$; else, the separation $(U_{11}, U_{12} \cup A'_1 \cup A_2 \cup A_3 \cup A_4 \cup U_2)$ shows that Theorem 2.1(ii) would hold. Hence, $U_{11}, U_2, A'_1 \cup U_{12} \cup A_2, A_3, A_4$ show that (G, u_1, u_2, A) is an obstruction of type I, a contradiction.

Let $V(U_{11} \cap U_{12}) - (A \cap \{v_3, v_4\}) = \{s_1, s_2\}$. We claim that $v_2 \notin A \cap \{s_1, s_2\}$. For, suppose

$v_2 \in A \cap \{s_1, s_2\}$; so $v_2 = a_2$. Note that for each $i \in \{3, 4\}$, if $v_i \notin A$ then, since $v_2 = a_2$, we must have $v_i \notin V(U_{12})$ by Lemma 4.1. So $U_{11}, U_2, (U_{12} - v_2) \cup A'_1, \{v_2\}, A_3, A_4$ show that (G, u_1, u_2, A) is an obstruction of type IV, a contradiction.

Then by the minimality of U_{12} , $U_{12} - (A \cap \{v_2\})$ contains disjoint paths S_1, S_2 from $\{x, y\}$ to $\{s_1, s_2\}$. We may assume that $(U_{11} + \{v_3, v_4\}) - (A \cap \{v_4\})$ (or $(U_{11} + \{v_3, v_4\}) - (A \cap \{v_3\})$) has independent paths Q'_1, Q'_2, Q'_3 from u_1 to s_1, s_2, v_3 (or v_4), respectively. This is true if $v_3 \in \{s_1, s_2\}$ or $v_4 \in \{s_1, s_2\}$; as otherwise $U_{11} + \{v_3, v_4\}$ has a cut of size at most two separating u_1 from $\{s_1, s_2\} \cup \{v_3, v_4\}$, which gives a separation showing that Theorem 2.1(ii) would hold. So we may assume $v_3, v_4 \notin \{s_1, s_2\}$ and that the paths Q'_1, Q'_2, Q'_3 do not exist. Then by Lemma 5.1(i), $|N(u_1)| = 3$ and $v_3, v_4 \in N(u_1)$. So by Lemma 4.1, $v_3, v_4 \notin A$. Hence, by Lemma 5.1(ii), $N(v_i) \cap V(U_{11} + \{v_3, v_4\}) \subseteq N[u_1]$ for $i = 3, 4$. Now $G[N[u_1]], U_2, (U_1 - \{u_1, v_3, v_4\}) \cup A'_1 \cup A_2, A_3, A_4$ show that (G, u_1, u_2, A) is an obstruction of type I, a contradiction.

By symmetry, assume that Q'_3 ends at v_3 . Then because of S_1, S_2 , we see that $U_1 - (A \cap \{v_2, v_4\})$ has independent paths Q_1, Q_2, Q_3 from u_1 to x, y, v_3 , respectively. If $U_2 - (A \cap \{w_3\})$ has three independent paths from u_2 to w_1, w_2, w_4 , respectively, then these paths and $Q_1, Q_2, Q_3, X, Y, V'_3, W'_2, W'_4$ form a topological H in G rooted at u_1, u_2, A , and Theorem 2.1(i) would hold. So such paths do not exist. Then by Lemma 5.1(i), $w_3 \in N(u_2)$ and $|N(u_2)| = 3$. So by Lemma 4.1, $w_3 \notin A$. Hence, $N(w_3) \cap V(U_2) \subseteq N[u_2]$ by Lemma 5.1(ii). Moreover, $v_3 \notin A$. So $v_3 \in V(U_{11} - U_{12})$ because of Q'_1, Q'_2, Q'_3 . Then $v_4 \in V(U_{11} - U_{12})$; for otherwise, since $v_4 \notin \{s_1, s_2\}$ when $v_4 \in A$, $U_{11}, G[N[u_2]], A_3, U_{12} \cup A'_1 \cup A_2 \cup A_4 \cup (U_2 - \{u_2, w_3\})$ (and removing from the last subgraph the possible edge with both ends in $N(u_2)$) show that (G, u_1, u_2, A) is an obstruction of type II, a contradiction.

Suppose U_{11} does not contain independent paths from u_1 to s_1, s_2, v_4 , respectively. Then by Lemma 5.1(ii), $v_3 \in N(u_1)$, $|N(u_1)| = 3$ and $N(v_3) \cap V(U_{11}) \subseteq N[u_1]$. Hence $G[N[u_1]], G[N[u_2]], A_3, (U'_1 - \{u_1, v_3\}) \cup A'_1 \cup A_2 \cup A_4 \cup (U_2 - \{u_2, w_3\})$ (and removing from the last subgraph possible edges with both ends in $N(u_1)$ or $N(u_2)$) show that (G, u_1, u_2, A) is an obstruction of type II, a contradiction.

So let R_1, R_2, R_3 be independent paths in U_{11} from u_1 to s_1, s_2, v_4 , respectively. If $U_2 - (A \cap \{w_4\})$ has independent paths from u_2 to w_1, w_2, w_3 , respectively, then these paths, $R_1, R_2, R_3, S_1, S_2, X, Y, V'_4, W'_2, W'_3$ form a topological H in G rooted at u_1, u_2, A , and Theorem 2.1(i) would hold. So such paths in $U_2 - (A \cap \{w_4\})$ do not exist. Then by Lemma 5.1(i), $w_4 \in N(u_2)$, and by Lemma 4.1, $w_4 \notin A$. Hence by Lemma 5.1(ii), $N(w_i) \cap V(U_2) \subseteq N[u_2]$ for $i = 3, 4$. Thus, $U_{11}, G[N[u_2]], A_3, A_4, U_{12} \cup A'_1 \cup A_2 \cup (U_2 - \{u_2, w_3, w_4\})$ show that (G, u_1, u_2, A) is an obstruction of type I, a contradiction. \blacksquare

Lemma 5.3. *Suppose (G, u_1, u_2, A) is a counterexample to Theorem 2.1 with $|V(G)|$ minimum, and let $x, y \in V(G) - A - \{u_1, u_2\}$ be distinct. Then $(G/xy, u_1, u_2, A)$ is not an obstruction of type I.*

Proof. Suppose $(G/xy, u_1, u_2, A)$ is an obstruction of type I, with sides U_1, U_2 and middle parts A_1, A_2, A_3 . Recall that $V(U_1 \cap U_2) \subseteq A$. See Figure 2. Let $A := \{a_1, a_2, a_3, a_4\}$ such that $a_i \in V(A_i)$ for $i = 1, 2$ and $a_3, a_4 \in V(A_3)$. Let $V(U_1 \cap A_i) = \{v_i\}$ for $i = 1, 2$, $V(U_1 \cap A_3) = \{v_3, v_4\}$, $V(U_2 \cap A_i) = \{w_i\}$ for $i = 1, 2, 3$, $u_1 \in V(U_1) - \{v_1, v_2, v_3, v_4\}$, and $u_2 \in V(U_2) - \{w_1, w_2, w_3\}$. By definition of obstruction, $a_3, a_4 \in V(A_3) - \{v_3, v_4, w_3\}$ and, for

$i = 1, 2$, if $|V(A_i)| \geq 2$ then $a_i \in V(A_i) - \{v_i, w_i\}$. Note that A is independent, as otherwise the separation $(G[A], G - E(G[A]))$ shows that Theorem 2.1(iii) would hold for (G, u_1, u_2, A) .

Let v denote the vertex resulting from the identification of x and y . Note that $v \in \{v_i : 1 \leq i \leq 4\} \cup \{w_i : 1 \leq i \leq 3\}$, for otherwise (G, u_1, u_2, A) is also an obstruction of type I, a contradiction. By symmetry, it suffices to consider four cases: $v = v_1$, $v = w_1$, $v = w_3$, and $v = v_4$. See Figure 7. Before distinguishing these four cases, we make observations (1), (2) and (3) below. Let $A'_i = A_i$ if $v \notin V(A_i)$, and otherwise let A'_i be obtained from A_i by unidentifying v to x and y . Similarly, let $U'_i = U_i$ if $v \notin V(U_i)$, and otherwise let U'_i be obtained from U_i by unidentifying v to x and y . When $xy \in E(G)$, we put xy back in exactly one of U'_i and A'_i .

- (1) If $v \in \{v_1, v_4\}$ then $v_i, w_j \notin A$ for all i, j , and U_2 has three independent paths W_1, W_2, W_3 from u_2 to w_1, w_2, w_3 , respectively.

Suppose $v \in \{v_1, v_4\}$. Note that $v_3, v_4, w_3 \notin A$ by definition of obstruction. Also, $w_1, w_2 \notin A$ by Lemma 4.1. Hence, $v_2 \notin A$ by definition of obstruction. Now suppose the second part of (1) fails. Then U_2 has a separation (U_{21}, U_{22}) such that $|V(U_{21} \cap U_{22})| \leq 2$, $u_2 \in V(U_{21}) - V(U_{22})$, and $\{w_1, w_2, w_3\} \subseteq V(U_{22})$. The separation $(U_{21}, U_{22} \cup U'_1 \cup A'_1 \cup A'_2 \cup A'_3)$ shows that Theorem 2.1(ii) holds, a contradiction.

- (2) For $i \in \{1, 2\}$, if $v \notin V(A_i)$ and $|V(A_i)| \geq 2$, then $A_i - v_i$ has a path W'_i from w_i to a_i and $A_i - w_i$ has a path V'_i from v_i to a_i (and if $|V(A_i)| = 1$ then let $W'_i = V'_i = A_i$).

For, suppose W'_i does not exist. Then A_i has a separation (A_{i1}, A_{i2}) such that $V(A_{i1} \cap A_{i2}) = \{v_i\}$, $w_i \in V(A_{i1})$ and $a_i \in V(A_{i2})$. Now $(A_{i2} + A, U'_1 \cup U'_2 \cup A_{i1} \cup A'_{3-i} \cup A'_3)$ shows that Theorem 2.1(iii) holds for (G, u_1, u_2, A) , a contradiction. So W'_i exists. Similarly, V'_i exists.

- (3) For $i \in \{3, 4\}$, if $v \notin A_3$ then $A_3 - v_{7-i}$ has disjoint paths Q_i, R_i from w_3, v_i , respectively, to $\{a_3, a_4\}$.

Otherwise, A_3 has a separation (A_{31}, A_{32}) such that $|V(A_{31} \cap A_{32})| \leq 2$, $v_{7-i} \in V(A_{31} \cap A_{32})$, $\{w_3, v_i\} \subseteq V(A_{31})$, and $\{a_3, a_4\} \subseteq V(A_{32})$. Now the separation $(A_{32} + \{a_1, a_2\}, U'_1 \cup U'_2 \cup A'_1 \cup A'_2 \cup A_{31})$ shows that Theorem 2.1(iii) holds for (G, u_1, u_2, A) , a contradiction.

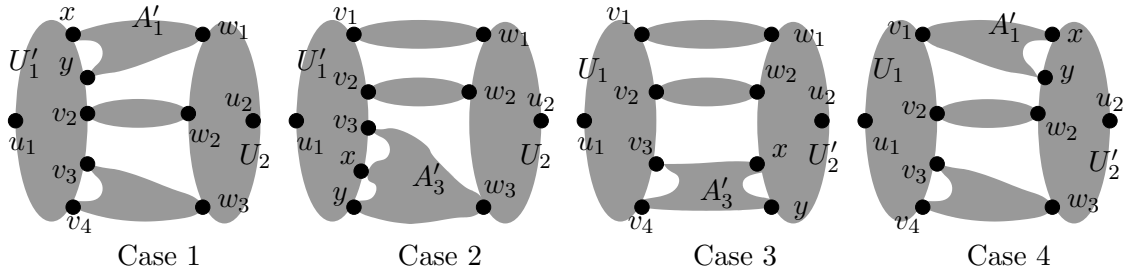


Fig. 7: $(G/xy, u_1, u_1, A)$ is an obstruction of type I.

Case 1. $v = v_1$.

Note that A'_1 has disjoint paths X, Y from $\{x, y\}$ to $\{a_1, w_1\}$. Otherwise, A'_1 has a separation (A_{11}, A_{12}) such that $|V(A_{11} \cap A_{12})| \leq 1$, $\{x, y\} \subseteq V(A_{11})$ and $\{a_1, w_1\} \subseteq V(A_{12})$. Then $U'_1 \cup A_{11}, U_2, A_{12}, A_2, A_3$ (when $a_1 \notin V(A_{11} \cap A_{12}) \neq \emptyset$) or $U'_1 \cup A_{11} + a_1, U_2 \cup A_{12}, \{a_1\}, A_2, A_3$ (when $V(A_{11} \cap A_{12}) \subseteq \{a_1\}$) show that (G, u_1, u_2, A) is an obstruction of type I, a contradiction.

For any $i \in \{3, 4\}$, U'_1 does not contain three independent paths from u_1 to x, y, v_i , respectively; as such paths and $X, Y, W_1, W_2, W_3, W'_2, Q_i, R_i$ would form a topological H in G rooted at u_1, u_2, A . Thus, U'_1 has a separation (U_{11}, U_{12}) such that $|V(U_{11} \cap U_{12})| \leq 2$, $u_1 \in V(U_{11}) - V(U_{12})$, and $\{x, y, v_3\} \subseteq V(U_{12})$. Choose this separation to minimize U_{12} .

Suppose $|V(U_{11} \cap U_{12})| \leq 1$. If $|V(U_{11} \cap U_{12})| = 0$ or $\{v_2, v_4\} \not\subseteq V(U_{11}) - V(U_{12})$, then the separation $(U_{11}, U_{12} \cup U_2 \cup A'_1 \cup A_2 \cup A_3)$ shows that Theorem 2.1(ii) would hold for (G, u_1, u_2, A) . So $|V(U_{11} \cap U_{12})| = 1$ and $v_2, v_4 \in V(U_{11}) - V(U_{12})$. Then $U_{11}, U_2, A_2, U_{12} \cup A'_1 \cup A_3$ show that (G, u_1, u_2, A) is an obstruction of type II, a contradiction.

So let $V(U_{11} \cap U_{12}) = \{s_1, s_2\}$. By the minimality of U_{12} , $U_{12} - v_3$ (when $v_3 \notin \{s_1, s_2\}$) and U_{12} (when $v_3 \in \{s_1, s_2\}$) contain disjoint paths S_1, S_2 from $\{s_1, s_2\}$ to $\{x, y\}$.

If $v_4 \notin V(U_{11}) - V(U_{12})$ then $v_2 \in V(U_{11}) - V(U_{12})$; otherwise the separation $(U_{11}, U_{12} \cup U_2 \cup A'_1 \cup A_2 \cup A_3)$ shows that Theorem 2.1(ii) would hold for (G, u_1, u_2, A) . But then, $U_{11}, U_2, A_2, U_{12} \cup A'_1 \cup A_3$ show that (G, u_1, u_2, A) is an obstruction of type II, a contradiction.

So $v_4 \in V(U_{11}) - V(U_{12})$. Now U_{11} does not contain three independent paths from u_1 to s_1, s_2, v_4 , respectively; otherwise these paths and S_1, S_2 would form three independent paths in U'_1 from u_1 to x, y, v_4 , respectively (which were assumed to be nonexistent in the second paragraph of Case 1). Thus, U_{11} has a separation (K, L) such that $|V(K \cap L)| \leq 2$, $u_1 \in V(K) - V(L)$ and $\{s_1, s_2, v_4\} \subseteq V(L)$. If $v_2 \notin V(K) - V(L)$ or $|V(K \cap L)| \leq 1$ then $(K, L \cup U_{12} \cup U_2 \cup A'_1 \cup A_2 \cup A_3)$ shows that Theorem 2.1(ii) would hold for (G, u_1, u_2, A) . So $v_2 \in V(K) - V(L)$ and $|V(K \cap L)| = 2$. Then $K, U_2, A_2, L \cup U_{12} \cup A'_1 \cup A_3$ show that (G, u_1, u_2, A) is an obstruction of type II, a contradiction.

Case 2. $v = v_4$.

Then A'_3 has three disjoint paths P_1, P_2, P_3 from $\{v_3, x, y\}$ to $\{a_3, a_4, w_3\}$. For, otherwise, A'_3 has a separation (A_{31}, A_{32}) such that $|V(A_{31} \cap A_{32})| \leq 2$, $\{v_3, x, y\} \subseteq V(A_{31})$, and $\{a_3, a_4, w_3\} \subseteq V(A_{32})$. If $|V(A_{31} \cap A_{32})| \leq 1$, then the separation $(A_{32} + \{a_1, a_2\}, U'_1 \cup U_2 \cup A_1 \cup A_2 \cup A_{31})$ shows that Theorem 2.1(iii) would hold for (G, u_1, u_2, A) . So $|V(A_{31} \cap A_{32})| = 2$. If $V(A_{31} \cap A_{32}) \cap A = \emptyset$ then $U'_1 \cup A_{31}, U_2, A_1, A_2, A_{32}$ show that (G, u_1, u_2, A) is an obstruction of type I, a contradiction. If $V(A_{31} \cap A_{32}) \cap A = \{a_i\}$ for some $i \in \{3, 4\}$ then $U'_1 \cup A_{31}, U_2, A_1, A_2, \{a_i\}, A_{32} - a_i$ show that (G, u_1, u_2, A) is an obstruction of type IV, a contradiction. Thus $a_3, a_4 \in V(A_{31} \cap A_{32})$; so $U'_1 \cup A_{31}, U_2 \cup A_{32}, A_1, A_2, \{a_3\}, \{a_4\}$ show that (G, u_1, u_2, A) is an obstruction of type IV, a contradiction.

If U'_1 has three independent paths from u_1 to v_3, x, y , respectively, then these paths and $P_1, P_2, P_3, W_1, W_2, W_3, W'_1, W'_2$ would form a topological H in G rooted at u_1, u_2, A . Thus U'_1 has a separation (U_{11}, U_{12}) such that $|V(U_{11} \cap U_{12})| \leq 2$, $u_1 \in V(U_{11}) - V(U_{12})$, and $\{v_3, x, y\} \subseteq V(U_{12})$.

Suppose $|V(U_{11} \cap U_{12})| \leq 1$. Then $|V(U_{11} \cap U_{12})| = 1$ and $\{v_1, v_2\} \subseteq V(U_{11}) - V(U_{12})$; otherwise the separation $(U_{11}, U_{12} \cup U_2 \cup A_1 \cup A_2 \cup A'_3)$ shows that Theorem 2.1(ii) would hold for (G, u_1, u_2, A) . But then the separation $(U_{11} \cup U_2 \cup A_1 \cup A_2, U_{12} \cup A'_3 + \{a_1, a_2\})$ shows that Theorem 2.1(iii) would hold for (G, u_1, u_2, A) .

So $|V(U_{11} \cap U_{12})| = 2$. If $v_1, v_2 \notin V(U_{11}) - V(U_{12})$ then the separation $(U_{11}, U_{12} \cup U_2 \cup A_1 \cup A_2 \cup A'_3)$ shows that Theorem 2.1(ii) would hold for (G, u_1, u_2, A) . So assume by symmetry that $v_1 \in V(U_{11}) - V(U_{12})$. If $v_2 \notin V(U_{11}) - V(U_{12})$ then $U_{11}, U_2, A_1, U_{12} \cup A_2 \cup A'_3$ show that (G, u_1, u_2, A) would be an obstruction of type II. Thus $v_2 \in V(U_{11}) - V(U_{12})$. Now $U_{11}, U_2, A_1, A_2, A'_3 \cup U_{12}$ show that (G, u_1, u_2, A) is an obstruction of type I, a contradiction.

Case 3. $v = w_3$.

In this case, there is symmetry between U_1 and U'_2 . We choose U_1, U'_2, A'_3 (while fixing A_1 and A_2) to maximize $U_1 \cup U'_2$, subject to $\{a_3, a_4\} \subseteq V(A'_3) - \{v_3, v_4, x, y\}$, $u_1 \in V(U_1) - V(U'_2)$, and $u_2 \in V(U'_2) - V(U_1)$. Hence, if $xy \in E(G)$ we put it in U'_2 , and if $v_3v_4 \in E(G)$ we put it in U_1 . We apply Lemma 3.3 to $A'_3, v_3, v_4, x, y, a_3, a_4$ (as $G, v_1, v_2, w_1, w_2, a_1, a_2$, respectively).

Suppose A'_3 has a separation (G_1, G_2) such that one of (i) – (v) of Lemma 3.3 holds. If Lemma 3.3(ii) holds, then the separation $(G_2 \cup U_1 \cup U'_2 \cup A_1 \cup A_2, G_1 + \{a_1, a_2\})$ shows that Theorem 2.1(iii) would hold for (G, u_1, u_2, A) . If Lemma 3.3(iii) holds then $(U_1 \cup G_i) + \{a_3, a_4\}$, $(U'_2 \cup G_{3-i}) + \{a_3, a_4\}, A_1, A_2, \{a_3\}, \{a_4\}$ show that (G, u_1, u_2, A) would be an obstruction of type IV. If Lemma 3.3(iv) holds then $U_1, U'_2, A_1, A_2, G_1, G_2$ show that (G, u_1, u_2, A) would be an obstruction of type IV. If Lemma 3.3(v) holds then $U_1 \cup G_2, U'_2, A_1, A_2, G_1$ (when $\{v_3, v_4\} \cap V(G_2) \neq \emptyset$) or $U_1, U'_2 \cup G_2, A_1, A_2, G_1$ (when $\{x, y\} \cap V(G_2) \neq \emptyset$) show that (G, u_1, u_2, A) would be an obstruction of type I. Thus, Lemma 3.3(i) holds. By symmetry between U_1 and U'_2 , assume $\{v_3, v_4, a_3, a_4\} \subseteq V(G_1)$ and $\{x, y\} \subseteq V(G_2)$. If $V(G_1 \cap G_2) = \{a_3, a_4\}$ then $U_1 \cup G_1, U'_2 \cup G_2, A_1, A_2, \{a_3\}, \{a_4\}$ show that (G, u_1, u_2, A) would be an obstruction of type IV. If $V(G_1 \cap G_2) \cap \{a_3, a_4\} = \emptyset$ then we get a contradiction to the choice of U_1, U'_2, A'_3 (the maximality of $U_1 \cup U'_2$) by $U_1, U'_2 \cup G_2, A_1, A_2$ and G_1 (when $|V(G_1 \cap G_2)| = 2$) or $G_1 + x$ (when $|V(G_1 \cap G_2)| = 1$ and $x \notin V(G_1 \cap G_2)$) or $G_1 + y$ (when $|V(G_1 \cap G_2)| = 1$ and $y \notin V(G_1 \cap G_2)$) or $G_1 + \{x, y\}$ (when $|V(G_1 \cap G_2)| = 0$). So by symmetry assume $V(G_1 \cap G_2) \cap \{a_3, a_4\} = \{a_3\}$. If $V(G_1 \cap G_2) = \{a_3\}$ then $U_1 \cup G_1, (U'_2 \cup G_2) + a_4, A_1, A_2, \{a_3\}, \{a_4\}$ show that (G, u_1, u_2, A) would be an obstruction of type IV. So $|V(G_1 \cap G_2)| = 2$. Then $(G/v_3v_4, u_1, u_2, A)$ is an obstruction of type IV with sides $(U_1 + a_3)/v_3v_4, U'_2 \cup G_2$ and middle parts $A_1, A_2, \{a_3\}, (G_1 - a_3)/v_3v_4$, contradicting Lemma 5.2.

Hence by Lemma 3.3, A'_3 has three disjoint paths P_1, P_2, P_3 , with one from $\{v_3, v_4\}$ to $\{x, y\}$, one from $\{v_3, v_4\}$ to $\{a_3, a_4\}$, and another from $\{x, y\}$ to $\{a_3, a_4\}$.

For some $s \in \{1, 2\}$, $U_1 - (A \cap \{v_{3-s}\})$ has three independent paths S_1, S_2, S_3 from u_1 to v_s, v_3, v_4 , respectively. For, otherwise, by Lemma 5.1(i), $v_1, v_2 \in N(u_1)$ and $|N(u_1)| = 3$. Then by Lemma 4.1, $N(u_1) \cap A = \emptyset$ (in particular, $v_1, v_2 \notin A$). Hence by Lemma 5.1(ii), $N(v_i) \cap V(U_1) \subseteq N[u_1]$ for $i = 1, 2$. Now $G[N[u_1]], U'_2, A_1, A_2, A'_3 \cup (U_1 - \{u_1, v_1, v_2\})$ show that (G, u_1, u_2, A) is an obstruction of type I, a contradiction.

Similarly, for some $t \in \{1, 2\}$, $U'_2 - (A \cap \{w_{3-t}\})$ has three independent paths T_1, T_2, T_3 from u_2 to w_t, x, y , respectively.

If s and t may be chosen so that $s \neq t$, then $S_1, S_2, S_3, T_1, T_2, T_3, V'_s, W'_t, P_1, P_2, P_3$ form a topological H in G rooted at u_1, u_2, A , a contradiction. Thus assume $s = t = 1$ is the only possibility. So by Lemma 5.1(i), $w_1 \in N(u_2)$ and $|N(u_2)| = 3$, and $v_1 \in N(u_1)$ and $|N(u_1)| = 3$. By Lemma 4.1, $(N(u_1) \cup N(u_2)) \cap A = \emptyset$. Hence by Lemma 5.1(ii), $N(v_1) \cap V(U_1) \subseteq N[u_1]$, and $N(w_1) \cap V(U'_2) \subseteq N[u_2]$. Thus, $G[N[u_1]], G[N[u_2]], A_1, A_2 \cup A'_3 \cup (U_1 - \{u_1, v_1\}) \cup (U'_2 - \{u_2, w_1\})$ show that (G, u_1, u_2, A) is an obstruction of type II, a contradiction.

Case 4. $v = w_1$.

As in Case 1, we can show that A'_1 has disjoint paths X, Y from $\{x, y\}$ to $\{a_1, v_1\}$. Note that $A_3 - w_3$ has disjoint paths S, T from $\{v_3, v_4\}$ to $\{a_3, a_4\}$. For otherwise A_3 has a separation (A_{31}, A_{32}) such that $|V(A_{31} \cap A_{32})| \leq 2$, $w_3 \in V(A_{31} \cap A_{32})$, $\{v_3, v_4\} \subseteq V(A_{31})$ and $\{a_3, a_4\} \subseteq V(A_{32})$. Hence the separation $(U_1 \cup U'_2 \cup A'_1 \cup A_2 \cup A_{31}, A_{32} + \{a_1, a_2\})$ shows that Theorem 2.1(iii) would hold for (G, u_1, u_2, A) .

We claim that for some $s \in \{2, 3\}$, $U'_2 - (A \cap \{w_{5-s}\})$ has three independent paths P_1, P_2, P_3 from u_2 to x, y, w_s , respectively. First, assume $w_2 = a_2$. Then $U'_2 - w_2$ has three independent paths from u_2 to x, y, w_3 , respectively; else by Lemma 5.1(i), $w_2 \in N(u_2)$ and $|N(u_2)| = 3$, allowing us to use Lemma 4.1 to obtain a contradiction. So $w_2 \neq a_2$. Thus, if the claim fails then by Lemma 5.1(ii), $w_2, w_3 \in N(u_2)$, $|N(u_2)| = 3$, and $N(\{w_2, w_3\}) \subseteq N[u_2]$. Now $U_1, G[N(u_2)], A'_1 \cup (U'_2 - \{u_2, w_2, w_3\}), A_2, A_3$ show that (G, u_1, u_2, A) would be an obstruction of type I.

Suppose $s = 2$. If $U_1 - (A \cap \{v_2\})$ has three independent paths from u_1 to v_1, v_3, v_4 , respectively, then these paths and $X, Y, S, T, P_1, P_2, P_3, W'_2$ would form a topological H in G rooted at u_1, u_2, A . So such paths do not exist in $U_1 - (A \cap \{v_2\})$. If $v_2 = a_2$ then by Lemma 5.1(i), $v_2 \in N(u_1)$ and $|N(u_1)| = 3$, which allows us to use Lemma 4.1 to obtain a contradiction. Thus $v_2 \neq a_2$ (and hence $w_2 \neq a_2$). Then by Lemma 5.1(ii), $v_2 \in N(u_1)$, $|N(u_1)| = 3$ and $N(v_2) \cap V(U_1) \subseteq N[u_1]$. Suppose U_1 has three independent paths L_1, L_2, L_3 from u_1 to v_1, v_2 and one of $\{v_3, v_4\}$, say v_3 . If U'_2 has three independent paths from u_2 to x, y, w_3 , respectively, then these paths and $L_1, L_2, L_3, X, Y, V'_2, Q_3, R_3$ (see (3)) would form a topological H in G rooted at u_1, u_2, A . So such paths do not exist in U'_2 . Then by Lemma 5.1(ii), $w_2 \in N(u_2)$, $|N(u_2)| = 3$ and $N(w_2) \cap V(U_2) \subseteq N[u_2]$. Now $G[N[u_1]], G[N[u_2]], A_2, A'_1 \cup A_3 \cup (U_1 - \{u_1, v_2\}) \cup (U'_2 - \{u_2, w_2\})$ (removing from the last subgraph possible edges with both ends in $N(u_1) - \{v_2\}$ or in $N(u_2) - \{w_2\}$) show that (G, u_1, u_2, A) is an obstruction of type II, a contradiction. So these paths L_1, L_2, L_3 do not exist in U_1 . Then by Lemma 5.1(ii), $N(u_1) = \{v_2, v_3, v_4\}$ and $N(\{v_3, v_4\}) \cap V(U_1) \subseteq N[u_1]$. Moreover, U_1 has a separation (U_{11}, U_{12}) such that $V(U_{11} \cap U_{12}) = \emptyset$, $\{u_1, v_2, v_3, v_4\} \subseteq V(U_{11})$, and $v_1 \in V(U_{12})$. Now $U_{11} + a_1, U_{12} \cup U'_2 \cup A'_1, \{a_1\}, A_2, A_3$ show that (G, u_1, u_2, A) is an obstruction of type I, a contradiction.

Thus s cannot be 2 (so $s = 3$). By Lemma 5.1(ii), $w_3 \in N(u_2)$, $|N(u_2)| = 3$ and $N(w_3) \cap V(U'_2) \subseteq N[u_2]$. If for some $i \in \{3, 4\}$, U_1 has three independent paths from u_1 to v_1, v_2, v_i , respectively, then these paths and $P_1, P_2, P_3, X, Y, V'_2, Q_i, R_i$ (see (3)) would form a topological H in G rooted at u_1, u_2, A . So no such paths exist in U_1 . Hence by Lemma 5.1(ii), $v_3, v_4 \in N(u_1)$, $|N(u_1)| = 3$, and $N(\{v_3, v_4\}) \cap V(U_1) \subseteq N[u_1]$. Now $G[N[u_1]], G[N[u_2]], A_3, A'_1 \cup A_2 \cup (U_1 - \{u_1, v_3, v_4\}) \cup (U'_2 - \{u_2, w_3\})$ (removing from the last subgraph the possible edge with both ends in $N(u_2) - \{w_3\}$) show that (G, u_1, u_2, A) is an obstruction of type III, a contradiction. \blacksquare

Lemma 5.4. *Suppose (G, u_1, u_2, A) is a counterexample to Theorem 2.1 with $|V(G)|$ minimum, and let $x, y \in V(G) - A - \{u_1, u_2\}$ be distinct. Then $(G/xy, u_1, u_2, A)$ is not an obstruction of type II.*

Proof. Suppose $(G/xy, u_1, u_2, A)$ is an obstruction of type II with sides U_1, U_2 and middle parts A_1, A_2 . Let $V(U_1 \cap A_1) = \{v_1\}$, $V(U_2 \cap A_1) = \{w_1\}$, $V(U_1 \cap A_2) = \{v_2, v_3\}$, $V(U_2 \cap A_2) =$

$\{w_2, w_3\}$, $u_1 \in V(U_1) - \{v_1, v_2, v_3\}$, and $u_2 \in V(U_2) - \{w_1, w_2, w_3\}$. Let $A := \{a_1, a_2, a_3, a_4\}$ such that $a_1 \in V(A_1)$ and $a_2, a_3, a_4 \in V(A_2) - \{v_2, v_3, w_2, w_3\}$. Then A is independent, else $(G[A], G - E(G[A]))$ shows that Theorem 2.1(iii) would hold for (G, u_1, u_2, A) .

Let v denote the vertex resulting from the identification of x and y . If $v \notin \{v_i, w_i : 1 \leq i \leq 3\}$ then (G, u_1, u_2, A) would be an obstruction of type II. So by symmetry assume $v \in \{v_1, v_3\}$. Then by Lemma 4.1, $w_1 \notin A$ and, hence, $v_1 \notin A$. As (1) and (2) in the proof of Lemma 5.3, U_2 has three independent paths W_1, W_2, W_3 from u_2 to w_1, w_2, w_3 , respectively, and if $v \neq v_1$ then $A_1 - v_1$ has a path W'_1 from w_1 to a_1 .

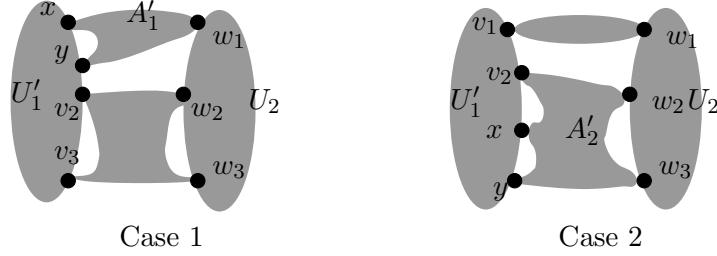


Fig. 8: $(G/xy, u_1, u_1, A)$ is an obstruction of type II.

Case 1. $v = v_1$.

Let U'_1, A'_1 be obtained from U_1, A_1 , respectively, by unidentifying v to x and y . Note that A'_1 has disjoint paths X, Y from $\{x, y\}$ to $\{a_1, w_1\}$; otherwise A'_1 has a separation (A_{11}, A_{12}) such that $|V(A_{11} \cap A_{12})| \leq 1$, $\{x, y\} \subseteq V(A_{11})$ and $\{a_1, w_1\} \subseteq V(A_{12})$, and hence $U'_1 \cup A_{11}, U_2, A_{12}, A_2$ (when $V(A_{11} \cap A_{12}) \not\subseteq \{a_1\}$) or $(U'_1 \cup A_{11}) + a_1, U_2 \cup A_{12}, \{a_1\}, A_2$ (when $V(A_{11} \cap A_{12}) \subseteq \{a_1\}$) show that (G, u_1, u_2, A) is an obstruction of type II, a contradiction.

For some $s \in \{2, 3\}$, U'_1 has three independent paths P_1, P_2, P_3 from u_1 to x, y, v_s , respectively. Otherwise by Lemma 5.1(ii), $v_2, v_3 \in N(u_1)$, $|N(u_1)| = 3$ and $N(\{v_2, v_3\}) \cap V(U'_1) \subseteq N[u_1]$. Hence $G[N[u_1]], U_2, A'_1 \cup (U'_1 - \{u_1, v_2, v_3\}), A_2$ show that (G, u_1, u_2, A) is an obstruction of type II, a contradiction.

Without loss of generality, let $s = 2$. If $A_2 - v_3$ has three disjoint paths from $\{a_2, a_3, a_4\}$ to $\{v_2, w_2, w_3\}$, then these paths and $P_1, P_2, P_3, W_1, W_2, W_3, X, Y$ would form a topological H in G rooted at u_1, u_2, A . So A_2 has a separation (A_{21}, A_{22}) such that $|V(A_{21} \cap A_{22})| \leq 3$, $v_3 \in V(A_{21} \cap A_{22}), \{a_2, a_3, a_4\} \subseteq V(A_{22})$, and $\{v_2, w_2, w_3\} \subseteq V(A_{21})$. Then the separation $(A_{21} \cup U'_1 \cup A'_1 \cup U_2, A_{22} + a_1)$ shows that Theorem 2.1(iii) holds for (G, u_1, u_2, A) , a contradiction.

Case 2. $v = v_3$.

Let U'_1, A'_2 be obtained from U_1, A_2 , respectively, by unidentifying v to x and y . We choose such U'_1, U_2, A'_2 (while fixing A_1) to maximize $U'_1 \cup U_2$ (subject to $a_2, a_3, a_4 \in V(A'_2) - \{v_2, w_2, w_3, x, y\}$). Then $xy, w_2w_3 \notin E(A'_2)$.

We claim that U'_1 has three independent paths P_1, P_2, P_3 from u_1 to v_2, x, y , respectively. Otherwise, by Lemma 5.1(ii), $v_1 \in N(u_1)$, $|N(u_1)| = 3$, and $N(v_1) \cap V(U'_1) \subseteq N[u_1]$. Then, $G[N[u_1]], U_2, A_1, A'_2 \cup (U'_1 - \{u_1, v_1\})$ (removing from the last subgraph the possible edge with both ends in $N(u_1) - \{v_1\}$) show that (G, u_1, u_2, A) is an obstruction of type II, a contradiction.

If $A'_2 := A'_2 + w_2w_3$ has three disjoint paths from $\{a_2, a_3, a_4\}$ to $\{v_2, x, y\}$ and through w_2w_3 ,

then these paths (deleting w_2w_3) and $P_1, P_2, P_3, W_1, W_2, W_3, W'_1$ would form a topological H in G rooted at u_1, u_2, A . So one of (i)–(iv) of Lemma 3.4 holds, with $A'_2, \{a_2, a_3, a_4\}, \{v_2, x, y\}, w_2w_3$ as G, A, B, e , respectively. We use the notation in Lemma 3.4. See Figure 5.

If Lemma 3.4(ii) holds then the separation $(U_2 \cup (G_1 - w_2w_3), U'_1 \cup G_2 \cup A_1)$ shows that Theorem 2.1(ii) would hold for (G, u_1, u_2, A) .

Suppose Lemma 3.4(iv) holds. For $i \in \{2, 3, 4\}$, if $V(G_i \cap G_1) \cap A \neq \emptyset$ then let $G'_i = G_i$ and $A'_i = G_i \cap G_1$, and otherwise let $G'_i = \emptyset$ and $A'_i = G_i$. Then $U'_1 \cup G'_2 \cup G'_3 \cup G'_4, U_2 \cup G_1, A_1, A'_2, A'_3, A'_4$ show that (G, u_1, u_2, A) is an obstruction of type IV, a contradiction.

Now suppose Lemma 3.4(iii) holds. If $V(G_1 \cap G_2) = \emptyset$ or $V(G_3 \cap G_2) = \emptyset$ then the separation $(U_2 \cup (G_2 - w_2w_3), U'_1 \cup A_1 \cup G_1 \cup G_3)$ shows that Theorem 2.1(ii) would hold for (G, u_1, u_2, A) . If $V(G_1 \cap G_2) \cap A \neq \emptyset$ or $V(G_3 \cap G_2) \cap A \neq \emptyset$ then the separation $(U_2 \cup (G_2 - w_2w_3), U'_1 \cup A_1 \cup G_1 \cup G_3)$ allows us to use Lemma 4.1 to obtain a contradiction. So $|V(G_1 \cap G_2)| = |V(G_3 \cap G_2)| = 1$ and $V(G_2) \cap A = \emptyset$. Now $U'_1, U_2 \cup (G_2 - w_2w_3), A_1, G_1, G_3$ show that (G, u_1, u_2, A) is an obstruction of type I, a contradiction.

So Lemma 3.4(i) holds. Then $w_2w_3 \in E(G_1)$; otherwise, $w_2w_3 \in E(G_2)$, and the separation $(A_1 \cup U'_1 \cup U_2 \cup (G_2 - w_2w_3), G_1 + a_1)$ shows that Theorem 2.1(iii) would hold for (G, u_1, u_2, A) . Also $V(G_1 \cap G_2) - A \neq \emptyset$; otherwise, $U'_1 \cup G_2 + \{a_2, a_3, a_4\}, U_2 \cup (G_1 - w_2w_3), A_1, \{a_2\}, \{a_3\}, \{a_4\}$ show that (G, u_1, u_2, A) is an obstruction of type IV, a contradiction.

Suppose $|V(G_1 \cap G_2)| \leq 2$. If $V(G_1 \cap G_2) \cap A \neq \emptyset$ then the separation $(U'_1 \cup G_2, U_2 \cup (G_1 - w_2w_3) \cup A_1)$ allows us to use Lemma 4.1 to obtain a contradiction. So $V(G_1 \cap G_2) \cap A = \emptyset$. If $|V(G_1 \cap G_2)| = 2$ then $U'_1 \cup G_2, U_2, A_1, G_1 - w_2w_3$ show that (G, u_1, u_2, A) is an obstruction of type II, a contradiction; and if $|V(G_1 \cap G_2)| \leq 1$ then the separation $(U'_1 \cup G_2, U_2 \cup (G_1 - w_2w_3) \cup A_1)$ shows that Theorem 2.1(ii) holds for (G, u_1, u_2, A) , a contradiction.

Thus $|V(G_1 \cap G_2)| = 3$. If $V(G_1 \cap G_2) \cap A = \emptyset$ then $U'_1 \cup G_2, U_2, A_1, G_1 - w_2w_3$ contradict the choice of U'_1, U_2, A_1, A_2 (the maximality of $U'_1 \cup U_2$). If $V(G_1 \cap G_2) \cap A = \{a_i\}$ for some $i \in \{2, 3, 4\}$ then let $V(G_1 \cap G_2) - \{a_i\} = \{v, w\}$; now $(G/vw, u_1, u_2, A)$ is an obstruction of type I with sides $(U'_1 \cup G_2)/vw, U_2 + a_i$ and middle parts $A_1, \{a_i\}, (G_1 - a_i - w_2w_3)/vw$, contradicting Lemma 5.3. Since $V(G_1 \cap G_2) - A \neq \emptyset$, $V(G_1 \cap G_2) \cap A = \{a_i, a_j\}$ for some distinct $i, j \in \{2, 3, 4\}$. Now $(G/w_2w_3, u_1, u_2, A)$ is an obstruction of type IV with sides $U'_1 \cup G_2, (U_2 + \{a_i, a_j\})/w_2w_3, A_1, \{a_i\}, \{a_j\}, (G_1 - \{a_i, a_j\})/w_2w_3$, contradicting Lemma 5.2. ■

Lemma 5.5. *Suppose (G, u_1, u_2, A) is a counterexample to Theorem 2.1 with $|V(G)|$ minimum, and let $x, y \in V(G) - A - \{u_1, u_2\}$ be distinct. Then $(G/xy, u_1, u_2, A)$ is not an obstruction of type III.*

Proof. Suppose $(G/xy, u_1, u_2, A)$ is an obstruction of type III with sides U_1, U_2 and middle parts A_1, A_2 . Let $V(U_1 \cap A_1) = \{v_1\}$, $V(U_1 \cap A_2) = \{v_2, v_3\}$, $V(U_2 \cap A_1) = \{w_1, w_2\}$, $V(U_2 \cap A_2) = \{w_3\}$, $u_1 \in V(U_1) - \{v_1, v_2, v_3\}$, and $u_2 \in V(U_2) - \{w_1, w_2, w_3\}$. Let $A := \{a_1, a_2, a_3, a_4\}$ such that $a_1, a_2 \in V(A_1) - \{v_1, w_1, w_2\}$, and $a_3, a_4 \in V(A_2) - \{v_2, v_3, w_3\}$. As before, A is independent in G .

Let v denote the vertex resulting from the identification of x and y . Now $v \in \{v_i, w_i : 1 \leq i \leq 3\}$; otherwise (G, u_1, u_2, A) would be an obstruction of type III. Thus by symmetry, assume $v \in \{v_1, v_2\}$. Let U'_1 (respectively, A'_i if $v = v_i$) be obtained from U_1 (respectively, A_i)

by unidentifying v back to x and y . Let $A'_i = A_i$ when $v \notin A_i$. See Figure 9. We choose such U'_1, U_2, A'_1, A'_2 to maximize $U'_1 \cup U_2$. Thus if $xy \in E(G)$ then $xy \in E(U'_1)$, and if $w_1w_2 \in E(G)$ then $w_1w_2 \in E(U_2)$.

As (1) in the proof of Lemma 5.3, U_2 contains three independent paths W_1, W_2, W_3 from u_2 to w_1, w_2, w_3 , respectively.

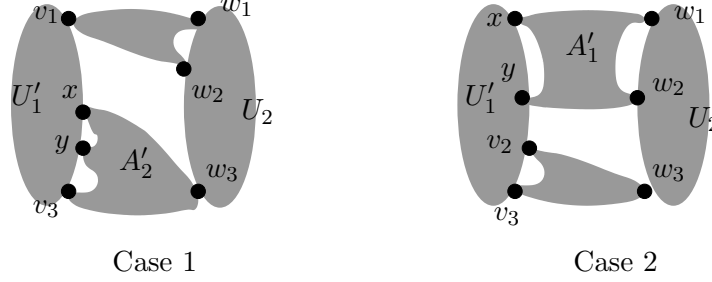


Fig. 9: $(G/xy, u_1, u_1, A)$ is an obstruction of type III.

Case 1. $v = v_2$.

We claim that A'_2 has three disjoint paths Q_1, Q_2, Q_3 from $\{v_3, x, y\}$ to $\{a_3, a_4, w_3\}$. For, otherwise, A'_2 has a separation (A_{21}, A_{22}) such that $|V(A_{21} \cap A_{22})| \leq 2$, $\{a_3, a_4, w_3\} \subseteq V(A_{22})$ and $\{v_3, x, y\} \subseteq V(A_{21})$. If $|V(A_{21} \cap A_{22})| \leq 1$ then the separation $(A_{22} \cup A_1 \cup U_2 + \{a_1, a_2\}, U'_1 \cup A_{21})$ shows that Theorem 2.1(ii) would hold for (G, u_1, u_2, A) . So $|V(A_{21} \cap A_{22})| = 2$. If $V(A_{21} \cap A_{22}) \cap A = \emptyset$ then $U'_1 \cup A_{21}, U_2, A_1, A_{22}$ show that (G, u_1, u_2, A) is an obstruction of type III, a contradiction. So $V(A_{21} \cap A_{22}) \cap A \neq \emptyset$. Then the separation $(U'_1 \cup A_{21}, U_2 \cup A_1 \cup A_{22})$ allows us to apply Lemma 4.1 to obtain a contradiction.

Also, $A_1 - v_1$ contains disjoint paths R_1, R_2 from $\{w_1, w_2\}$ to $\{a_1, a_2\}$. For, otherwise, A_1 has a separation (A_{11}, A_{12}) such that $|V(A_{11} \cap A_{12})| \leq 2$, $v_1 \in V(A_{11} \cap A_{12})$, $\{w_1, w_2\} \subseteq V(A_{11})$ and $\{a_1, a_2\} \subseteq V(A_{12})$. Then the separation $(U'_1 \cup U_2 \cup A_{11} \cup A'_2, A_{12} + \{a_3, a_4\})$ shows that Theorem 2.1(iii) holds for (G, u_1, u_2, A) , a contradiction.

If U'_1 has three independent paths from u_1 to v_3, x, y , respectively, then these paths and $Q_1, Q_2, Q_3, R_1, R_2, W_1, W_2, W_3$ would form a topological H in G rooted at u_1, u_2, A . So such paths do not exist in U'_1 . By Lemma 5.1(ii), $v_1 \in N(u_1)$, $|N(u_1)| = 3$ and $N(v_1) \cap V(U'_1) \subseteq N[u_1]$. Hence, $G[N[u_1]], U_2, A_1, A'_2 \cup (U'_1 - \{u_1, v_1\})$ (removing from the last subgraph the possible edge with both ends in $N(u_1) - \{v_1\}$) show that (G, u_1, u_2, A) is an obstruction of type III, a contradiction.

Case 2. $v = v_1$.

Note that for any $i \in \{2, 3\}$, $A_2 - v_{5-i}$ contains disjoint paths Q_i, R_i from $\{w_3, v_i\}$ to $\{a_3, a_4\}$. For, otherwise, A_2 has a separation (A_{21}, A_{22}) such that $|V(A_{21} \cap A_{22})| \leq 2$, $v_{5-i} \in V(A_{21} \cap A_{22})$, $\{a_3, a_4\} \subseteq V(A_{21})$ and $\{w_3, v_i\} \subseteq V(A_{22})$. Then $(A_{21} + \{a_1, a_2\}, U'_1 \cup U_2 \cup A_{22} \cup A'_1)$ shows that Theorem 2.1(iii) holds for (G, u_1, u_2, A) , a contradiction. We apply Lemma 3.3 to $A'_1, x, y, w_1, w_2, a_1, a_2$ (as $G, v_1, v_2, w_1, w_2, a_1, a_2$, respectively).

Suppose A'_1 has three disjoint paths P_1, P_2, P_3 , with one from $\{x, y\}$ to $\{w_1, w_2\}$, one from $\{x, y\}$ to $\{a_1, a_2\}$, and another from $\{w_1, w_2\}$ to $\{a_1, a_2\}$. If for some $i \in \{2, 3\}$, U'_1 has three

independent paths from u_1 to x, y, v_i , respectively, then these paths and $W_1, W_2, W_3, P_1, P_2, P_3, Q_i, R_i$ would form a topological H in G rooted at u_1, u_2, A . So such paths do not exist in U'_1 . Then by Lemma 5.1(ii), $v_2, v_3 \in N(u_1)$, $|N(u_1)| = 3$, and $N(\{v_2, v_3\}) \cap V(U'_1) \subseteq N[u_1]$. Now $G[N[u_1]], U_2, A'_1 \cup (U'_1 - \{u_1, v_2, v_3\}), A_2$ show that (G, u_1, u_2, A) is an obstruction of type III, a contradiction.

Thus, A'_1 has a separation (G_1, G_2) such that one of (i) – (v) of Lemma 3.3 holds. If Lemma 3.3(ii) holds then $(G_1 + \{a_3, a_4\}, U'_1 \cup U_2 \cup G_2 \cup A_2)$ shows that Theorem 2.1(iii) would hold. If Lemma 3.3(iii) holds then $U'_1 \cup G_i + \{a_1, a_2\}, U_2 \cup G_{3-i} + \{a_1, a_2\}, \{a_1\}, \{a_2\}, A_2$ show that (G, u_1, u_2, A) would be an obstruction of type I. If Lemma 3.3(iv) holds then U'_1, U_2, G_1, G_2, A_2 show that (G, u_1, u_2, A) would be an obstruction of type I. Now suppose Lemma 3.3(v) holds. If $\{x, y\} \subseteq V(G_1)$ then $(U_2 \cup G_2, U'_1 \cup G_1 \cup A_2)$ shows that Theorem 2.1(ii) would hold for (G, u_1, u_2, A) . So $\{w_1, w_2\} \subseteq V(G_1)$. Then $U'_1 \cup G_2, U_2, G_1, A_2$ show that (G, u_1, u_2, A) is an obstruction of type III, a contradiction.

Hence Lemma 3.3(i) holds. If $\{a_1, a_2\} = V(G_1 \cap G_2)$ then $U'_1 \cup G_i, U_2 \cup G_{3-i}, \{a_1\}, \{a_2\}, A_2$ show that (G, u_1, u_2, A) would be an obstruction of type I. If $\{a_1, a_2\} \cap V(G_1 \cap G_2) = \emptyset$ then $U'_1, U_2 \cup G_2, G_1, A_2$ (when $i = 1$) or $U'_1 \cup G_2, U_2, G_1, A_2$ (when $i = 2$) contradict the choice of U'_1, U_2, A'_1, A'_2 (the maximality of $U'_1 \cup U_2$). So assume $a_1 \in V(G_1 \cap G_2)$ and $a_2 \notin V(G_1 \cap G_2)$. If $i = 1$ then $(U_2 \cup G_2, U'_1 \cup G_1 \cup A_2)$ allows us to use Lemma 4.1 to obtain a contradiction. So $i = 2$. Then $(G/w_1w_2, u_1, u_2, A)$ is an obstruction of type I with sides $U'_1 \cup G_2, U_2/w_1w_2 + a_1$ and middle parts $\{a_1\}, G_1/w_1w_2 - a_1, A_2$, contradicting Lemma 5.3. ■

6 Separations of order five

In this section, we let (G, u_1, u_2, A) be a quadruple in which $N(u_1) \cap N(u_2) \subseteq A$, and there exist $xy \in E(G - A - \{u_1, u_2\})$ and a separation (G_1, G_2) in G such that

- (1) $\{x, y\} \not\subseteq N(u_i)$ for $i \in \{1, 2\}$,
- (2) $x, y \in V(G_1 \cap G_2)$, $xy \in E(G_1)$, and
- (3) $|V(G_1 \cap G_2)| = 5$, $u_1, u_2 \in V(G_1) - V(G_2)$, and $A \subseteq V(G_2)$.

See Figure 10. Quadruples satisfying (1), (2) and (3) will occur in our proof of Theorem 2.1. The aim of this section is to show that such quadruples (with additional properties (4) and (5) below) cannot be a minimum counterexample to Theorem 2.1. First, we prove a lemma about disjoint paths in G_2 , which will be used frequently in this section.

Lemma 6.1. *Let (G, u_1, u_2, A) be a quadruple in which G has a separation (G_1, G_2) satisfying (1), (2) and (3) above. Suppose Theorem 2.1(iii) fails for (G, u_1, u_2, A) , and let $v \in V(G_1 \cap G_2)$.*

- (i) *If $v \notin A$ then $G_2 - v$ has four disjoint paths from $V(G_1 \cap G_2) - \{v\}$ to A , and*
- (ii) *if $v \in A$ and $N(v) \cap V(G_2) \neq \emptyset$, G_2 has four disjoint paths from $V(G_1 \cap G_2) - \{v\}$ to A .*

Proof. Suppose (i) fails. Then G_2 has a separation (K, L) such that $v \in V(K \cap L)$, $|V(K \cap L)| \leq 4$, $V(G_1 \cap G_2) \subseteq V(K)$, and $A \subseteq V(L)$. Hence, the separation $(G_1 \cup K, L)$ shows that (G, u_1, u_2, A) satisfies Theorem 2.1(iii), a contradiction.

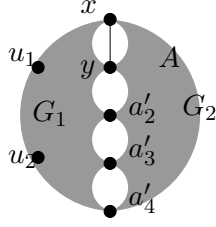


Fig. 10: The 5-separation (G_1, G_2) .

Now assume (ii) fails. Then G_2 has a separation (K, L) such that $|V(K \cap L)| \leq 3$, $V(G_1 \cap G_2) - \{v\} \subseteq V(K)$, and $A \subseteq V(L)$. If $V(L) \neq A$ or $E(G[A]) \neq \emptyset$ then $(G_1 \cup K, L)$ is a separation in G showing that Theorem 2.1(iii) holds for (G, u_1, u_2, A) , a contradiction. So $V(L) = A$ and $E(G[A]) = \emptyset$. Since $N(v) \cap V(G_2) \neq \emptyset$, $v \in V(K \cap L)$ and, hence, $V(G_1 \cap G_2) \subseteq V(K)$. Therefore, $(G_1 \cup K, L)$ is a separation of order at most 3 in G , contradicting the assumption that Theorem 2.1(iii) fails for (G, u_1, u_2, A) . ■

We choose (G_1, G_2) such that, subject to (1), (2) and (3),

(4) G_1 is minimal.

In the rest of this section, we let $A' := V(G_1 \cap G_2) - \{x\} = \{y, a'_2, a'_3, a'_4\}$, and assume that

(5) $xu_1, yu_2 \in E(G)$, $N(x) \cap (V(G_1) - V(G_2)) \subseteq N[u_1]$ and $N(y) \cap (V(G_1) - V(G_2)) \subseteq N[u_2]$.

Lemma 6.2. *If (G, u_1, u_2, A) is a counterexample to Theorem 2.1 with $|V(G)|$ minimum and G has a separation (G_1, G_2) satisfying (1)–(5) above, then (i), (ii) and (iii) of Theorem 2.1 do not hold for (G_1, u_1, u_2, A') and, moreover, (G_1, u_1, u_2, A') is an obstruction of type I, or II, or IV, with $\{y\}$ as a middle part.*

Proof. By the minimality of $|V(G)|$, Theorem 2.1 holds for (G_1, u_1, u_2, A') . If Theorem 2.1(i) holds then a topological H in G_1 rooted at u_1, u_2, A' and four disjoint paths in $G_2 - x$ from A' to A (by Lemma 6.1(i)) would form a topological H in G rooted at u_1, u_2, A .

Assume that Theorem 2.1(ii) holds and that G_1 has a separation (U_1, U_2) such that $|V(U_1 \cap U_2)| \leq 2$, $u_1 \in V(U_1) - V(U_2)$, and $A' \cup \{u_2\} \subseteq V(U_2)$. Then $|V(U_1 \cap U_2)| = 2$ and $x \in V(U_1) - V(U_2)$; as otherwise the separation $(U_1, U_2 \cup G_2)$ shows that Theorem 2.1(ii) would hold for (G, u_1, u_2, A) . Thus $y \in V(U_1 \cap U_2)$ as $xy \in E(G_1)$ and $y \in A'$. If $|V(U_1)| = 4$ then, since $\{x, y\} \not\subseteq N(u_1)$ (by (1)), Theorem 2.1(ii) holds. So $|V(U_1)| \geq 5$. Thus, $(U_1, U_2 \cup G_2)$ is a separation in G contradicting Lemma 4.2.

Now assume that Theorem 2.1(iii) holds; so G_1 has a separation (K, L) such that $|V(K \cap L)| \leq 4$, $u_1, u_2 \in V(K) - V(L)$, and $A' \subseteq V(L)$. Then $x \in V(K) - V(L)$ and $|V(K \cap L)| = 4$; otherwise, the separation $(K, L \cup G_2)$ shows that Theorem 2.1(iii) would hold for (G, u_1, u_2, A) . Thus $y \in V(K \cap L)$ as $xy \in E(G_1)$ and $y \in A'$; so $(K, (L + x) \cup G_2)$ contradicts the choice of (G_1, G_2) in (4) (that G_1 is minimal).

Thus, Theorem 2.1(iv) holds; so (G_1, u_1, u_2, A') is an obstruction. As $y \in A'$, y belongs to some middle part. Since $y \in N(u_2)$, y belongs to the side containing u_2 . Thus, by definition

of obstructions, the middle part containing y is in fact $\{y\}$. As a consequence, (G_1, u_1, u_2, A') cannot be an obstruction of type III. \blacksquare

In the next three lemmas, we consider the obstruction types of (G_1, u_1, u_2, A') , and show that (G, u_1, u_2, A) cannot be a minimum counterexample to Theorem 2.1.

Lemma 6.3. *If (G, u_1, u_2, A) is a counterexample to Theorem 2.1 with $|V(G)|$ minimum and G has a separation (G_1, G_2) satisfying (1)–(5) above, then (G_1, u_1, u_2, A') is not an obstruction of type IV.*

Proof. Suppose (G_1, u_1, u_2, A') is an obstruction of type IV with sides U_1, U_2 and middle parts A_1, A_2, A_3, A_4 . For $1 \leq i \leq 4$, let $V(U_1 \cap A_i) = \{v_i\}$ and $V(U_2 \cap A_i) = \{w_i\}$. Let $u_1 \in V(U_1) - \{v_1, v_2, v_3, v_4\}$, and $u_2 \in V(U_2) - \{w_1, w_2, w_3, w_4\}$. We choose such U_i, A_j so that $U_1 \cup U_2$ is maximal. By Lemma 6.2, let $V(A_1) = \{y\}$ and let $a'_i \in V(A_i)$ for $2 \leq i \leq 4$. By (5), $x \in V(U_1)$. If $x = v_i$ for some $i \in \{2, 3, 4\}$ then $(G_1 - V(A_i - \{v_i, w_i\}), G_2 \cup A_i)$ contradicts the choice of (G_1, G_2) (see (4)). So $x \notin \{v_2, v_3, v_4\}$. By (5), $N(y) \cap (V(G_1) - V(G_2)) \subseteq N[u_2]$. Hence, we have symmetry between $U_1 - y, u_1, x, v_2, v_3, v_4$ and $U_2, u_2, y, w_2, w_3, w_4$. See Figure 11.

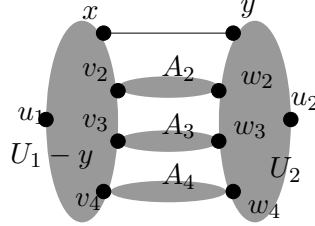


Fig. 11: (G_1, u_1, u_2, A') is of type IV.

(a) For each $i \in \{2, 3, 4\}$ with $|V(A_i)| \geq 2$, $A_i - v_i$ has a path W'_i from w_i to a'_i , $A_i - w_i$ has a path V'_i from v_i to a'_i , and $A_i - a'_i$ has a path R_i from v_i to w_i . (When $|V(A_i)| = 1$ let $W'_i = V'_i = R_i$ consist of only a'_i .) First, suppose W'_i does not exist and, without loss of generality, let $i = 2$. Then A_2 has a separation (A_{21}, A_{22}) such that $V(A_{21} \cap A_{22}) = \{v_2\}$, $w_2 \in V(A_{21})$ and $a'_2 \in V(A_{22})$, and hence $(U_1 \cup U_2 \cup A_{21} \cup A_3 \cup A_4, A_{22} + A')$ shows that Theorem 2.1(iii) holds for (G_1, u_1, u_2, A') , contradicting Lemma 6.2. So W'_i does exist. Similarly, V'_i exists. Now suppose R_i does not exist. Then A_i has a separation (A_{i1}, A_{i2}) such that $V(A_{i1} \cap A_{i2}) = \{a'_i\}$, $v_i \in V(A_{i1})$ and $w_i \in V(A_{i2})$. Replacing the sides U_1, U_2 with $U_1 \cup A_{i1}, U_2 \cup A_{i2}$, and replacing the middle part A_i with $\{a'_i\}$, we get a contradiction to the maximality of $U_1 \cup U_2$.

(b) There exists a permutation ijk of $\{2, 3, 4\}$ such that $(U_1 - y) - v_k$ has three independent paths P_1, P_2, P_3 from u_1 to x, v_i, v_j , respectively, and there is a permutation rst of $\{2, 3, 4\}$ such that $U_2 - w_t$ has three independent paths Q_1, Q_2, Q_3 from u_2 to y, w_r, w_s , respectively. For, otherwise, suppose by symmetry that P_1, P_2, P_3 do not exist. By Lemma 5.1(i), $N(u_1) = \{v_2, v_3, v_4\}$, contradicting (5) (that $x \in N(u_1)$).

(c) $|N(u_1)| = 3 = |N(u_2)|$ and, for any choice of P_1, P_2, P_3 in (b) and any choice of Q_1, Q_2, Q_3 in (b), we have $N(u_1) \cap \{v_r, v_s\} \neq \emptyset$ and $N(u_2) \cap \{w_i, w_j\} \neq \emptyset$, where ijk and rst are permutations of $\{1, 2, 3\}$ in (b). By symmetry we only prove the claim for u_2 . If $t \neq j$ for

every choice of Q_1, Q_2, Q_3 above, then $U_2 - w_j$ does not have three independent paths from u_2 to y, w_i, w_k , respectively; so by Lemma 5.1(i), $|N(u_2)| = 3$ and $w_j \in N(u_2)$. Similarly, if $t \neq i$ for every choice of Q_1, Q_2, Q_3 above, then we have $|N(u_2)| = 3$ and $w_i \in N(u_2)$. In either case, (c) holds for u_2 . Thus assume that we may choose Q_1, Q_2, Q_3 so that $t = i$ and we may choose Q_1, Q_2, Q_3 so that $t = j$. Suppose $a'_i, a'_j \in A$, $|V(A_i)| = 1$ or $N(a'_i) \cap V(G_2) = \emptyset$, and $|V(A_j)| = 1$ or $N(a'_j) \cap V(G_2) = \emptyset$. If $w_k \notin A$ then $(G/yw_k, u_1, u_2, A)$ is an obstruction of type I, with sides $U_1 - y, U_2/yw_k$ and middle parts $A_i, A_j, ((A_k \cup G_2) + xy - \{a'_i, a'_j\})/yw_k$, contradicting Lemma 5.3. So $w_k \in A$. Then $V(A_k) = \{w_k\}$ by the maximality of $U_1 \cup U_2$; so (G, u_1, u_2, A) is an obstruction of type IV with sides $U_1 - y, U_2$ and middle parts $A_2, A_3, A_4, G_2 - \{a'_2, a'_3, a'_4\} + xy$, a contradiction.. Hence, by symmetry we may assume that $a'_i \notin A$, or $a'_i \in A, |V(A_i)| \geq 2$ and $N(a'_i) \cap V(G_2) \neq \emptyset$. Choose Q_1, Q_2, Q_3 so that $t = j$. Then by Lemma 6.1, $G_2 - a'_i$ (when $a'_i \notin A$) and G_2 (when $a'_i \in A$) has four disjoint paths from $\{x, y, a'_j, a'_k\}$ to A . In either case these four paths and $P_1, P_2, P_3, Q_1, Q_2, Q_3, R_i, V'_j, W'_k$ (see (a)) form a topological H in G rooted at u_1, u_2, A , a contradiction.

(d) There exists some $\ell \in \{2, 3, 4\}$ such that $v_\ell \in N(u_1)$ and $w_\ell \in N(u_2)$. By (c), assume $w_j \in N(u_2)$. We may assume $v_j \notin N(u_1)$, as otherwise we may let $\ell = j$. Then by Lemma 5.1(i), $(U_1 - y) - v_j$ has three independent paths from u_1 to x, v_i, v_k , respectively; so by (c) again, $N(u_2) \cap \{w_i, w_k\} \neq \emptyset$. Hence $|N(u_2) \cap \{w_2, w_3, w_4\}| \geq 2$. By symmetry we could also prove $|N(u_1) \cap \{v_2, v_3, v_4\}| \geq 2$. Hence, ℓ exists.

Without loss of generality, let $v_3 \in N(u_1)$ and $w_3 \in N(u_2)$. By (c) and Lemma 4.1, $N(u_i) \cap A = \emptyset$ for $i = 1, 2$. So $|V(A_3)| \geq 2$ as $N(u_1) \cap N(u_2) \subseteq A$.

(e) There exists $b \in \{2, 4\}$ such that $v_b \in N(u_1)$ and $w_b \in N(u_2)$. Otherwise, by symmetry and by (c), since $|N(u_i)| = 3$ for $i = 1, 2$, we may assume $v_2 \notin N(u_1)$ and $w_4 \notin N(u_2)$. Then by Lemma 5.1(i), $(U_1 - y) - v_2$ has three independent paths P'_1, P'_2, P'_3 from u_1 to x, v_3, v_4 , respectively, and $U_2 - w_4$ has three independent paths Q'_1, Q'_2, Q'_3 from u_2 to y, w_3, w_2 , respectively. If $a'_3 \notin A$ then by Lemma 6.1(i), $G_2 - a'_3$ has four disjoint paths from $\{x, y, a'_2, a'_4\}$ to A ; if $a'_3 \in A$ and $N(a'_3) \cap V(G_2) \neq \emptyset$ then by Lemma 6.1(ii), G_2 has four disjoint paths from $\{x, y, a'_2, a'_4\}$ to A . In either case the four paths in G_2 and $P'_1, P'_2, P'_3, Q'_1, Q'_2, Q'_3, R_3, V'_4, W'_2$ (see (a)) form a topological H in G rooted at u_1, u_2, A , a contradiction. So $a'_3 \in A$ and $N(a'_3) \cap V(G_2) = \emptyset$. Similarly, if $U_1 - y$ has three independent paths from u_1 to x, v_2, v_4 then $a'_2 \in A$ and $N(a'_2) \cap V(G_2) = \emptyset$. In this case, if $w_4 \notin A$ then $(G/yw_4, u_1, u_2, A)$ is an obstruction of type I with sides $U_1 - y, U_2/yw_4$ and middle parts $A_2, A_3, (G_2 \cup A_4 - \{a'_2, a'_3\} + xy)/yw_4$, contradicting Lemma 5.3; and if $w_4 \in A$ then $V(A_2) = \{w_4\}$ by the maximality of $U_1 \cup U_2$, which implies that (G, u_1, u_2, A) is an obstruction of type IV with sides $U_1 - y, U_2$ and middle parts $A_2, A_3, A_4, G_2 - \{a'_2, a'_3, a'_4\} + xy$, a contradiction. Thus $U_1 - y$ has no three independent paths from u_1 to x, v_2, v_4 . So by Lemma 5.1(ii), $N(v_3) \cap V(U_1 - y) \subseteq N([u_1])$. Similarly, we conclude that $N(w_3) \cap V(U_2) \subseteq N[u_2]$. Hence, $G[N[u_1]], G[N[u_2]], A_3, G - (A_3 + \{u_1, u_2\})$ (removing from last subgraph the possible edges with both ends in $N(u_1)$ or in $N(u_2)$) show that (G, u_1, u_2, A) is an obstruction of type II, a contradiction.

Thus, we may assume that $N(u_1) = \{x, v_2, v_3\}$ and $N(u_2) = \{y, w_2, w_3\}$. Since $N(u_i) \cap A = \emptyset$ for $i = 1, 2$ (by Lemma 4.1), $|V(A_2)| \geq 2$ and $|V(A_3)| \geq 2$ (as $N(u_1) \cap N(u_2) \subseteq A$). Suppose for $i = 2, 3$, $a'_i \in A$ and $N(a'_i) \cap V(G_2) = \emptyset$. If $w_4 \notin A$ then $(G/yw_4, u_1, u_2, A)$ is an obstruction of type I with sides $U_1 - y, U_2/yw_4$ and middle parts $A_2, A_3, (G_2 \cup A_4 + xy)/yw_4$, contradicting Lemma 5.3. So $w_4 \in A$. Then $V(A_2) = \{w_4\}$ by the maximality of

$U_1 \cup U_2$; so (G, u_1, u_2, A) is an obstruction of type IV with sides $U_1 - y, U_2$ and middle parts $A_2, A_3, A_4, G_2 - \{a'_2, a'_3, a'_4\} + xy$, a contradiction. Hence, by symmetry we may assume $a'_3 \notin A$, or $a'_3 \in A$ and $N(a'_3) \cap V(G_2) \neq \emptyset$.

Suppose $(U_1 - y) - \{u_1, x, v_3\}$ has a path S_2 from v_4 to v_2 or $U_2 - \{u_2, y, w_3\}$ has a path T_2 from w_4 to w_2 . By symmetry, assume we have S_2 . By Lemma 6.1, $G_2 - a'_3$ (when $a'_3 \notin A$) or G_2 (when $a'_3 \in A$ and $N(a'_3) \cap V(G_2) \neq \emptyset$) has four disjoint paths from $V(G_1 \cap G_2) - \{a'_3\}$ to A . These paths and $u_1x, u_1v_3, S_2 + \{u_1, u_1v_2\}, u_2y, u_2w_2, u_2w_3, W'_2, R_3, V'_4$ (see (a)) form a topological H in G rooted at u_1, u_2, A , a contradiction.

So neither S_2 nor T_2 exists. Then $\{x, v_3\}$ is a cut in $U_1 - y$ separating $\{u_1, v_2\}$ from $(U_1 - y) - \{u_1, v_2, x, v_3\}$, and $\{y, w_3\}$ is a cut in U_2 separating $\{u_2, w_2\}$ from $U_2 - \{u_2, w_2, y, w_3\}$. Hence, $a'_2 \notin A$, or $a'_2 \in A$ and $N(a'_2) \cap V(G_2) \neq \emptyset$; as otherwise, $G[N[u_1]], G[N[u_2]], A_2, (G_2 - a'_2) \cup (U_1 - \{u_1, v_2\} - xv_3) \cup (U_2 - \{u_2, w_2\} - yw_3) \cup A_3 \cup A_4 + xy$ show that (G, u_1, u_2, A) is an obstruction of type II, a contradiction. Moreover, $(U_1 - y) - \{u_1, x, v_2\}$ has a path S_3 from v_4 to v_3 or $U_2 - \{u_2, y, w_2\}$ has a path T_3 from w_4 to w_3 ; otherwise by (5), we see that $(G[N[u_1]] \cup G[N[u_2]] \cup A_2 \cup A_3, G_2 \cup G[A_4 + xy] \cup (U_1 - \{u_1, v_2, v_3\}) \cup (U_2 - \{u_2, w_2, w_3\}))$ is a separation in G showing that Theorem 2.1(iii) would hold for (G, u_1, u_2, A) . By symmetry, assume we have S_3 . Hence $U_1 - y$ has three independent paths S_1, S_2, S_3 from u_1 to x, v_2, v_4 , respectively. By Lemma 6.1, $G_2 - a'_2$ (when $a'_2 \notin A$) or G_2 (when $a'_2 \in A$ and $N(a'_2) \cap V(G_2) \neq \emptyset$) has four disjoint paths from $V(G_1 \cap G_2) - \{a'_2\}$ to A . These paths and $S_1, S_2, S_3, u_2y, u_2w_2, u_2w_3, W'_3, R_2, V'_4$ (see (a)) form a topological H in G rooted at u_1, u_2, A , a contradiction. ■

Lemma 6.4. *If (G, u_1, u_2, A) is a counterexample to Theorem 2.1 with $|V(G)|$ minimum and G has a separation (G_1, G_2) satisfying (1)–(5) above, then (G_1, u_1, u_2, A') is not an obstruction of type I.*

Proof. Suppose (G_1, u_1, u_2, A') is an obstruction of type I with sides U_1, U_2 and middle parts A_1, A_2, A_3 . Let $V(A_1) = \{y\}$ (by Lemma 6.2), $V(U_1 \cap A_2) = \{v_2\}$, $V(U_1 \cap A_3) = \{v_3, v_4\}$, $V(U_2 \cap A_i) = \{w_i\}$ for $i = 2, 3$, $a'_2 \in V(A_2)$, $a'_3, a'_4 \in V(A_3) - \{v_3, v_4, w_3\}$, $u_1 \in V(U_1) - \{y, v_2, v_3, v_4\}$, and $u_2 \in V(U_2) - \{y, w_2, w_3\}$. We choose U_i and A_j so that $U_1 \cup U_2$ is maximized.

By (5), $x \in V(U_1 - y)$ and $N(y) \cap (V(G_1) - V(G_2)) \subseteq N[u_2] \subseteq V(U_2)$. We claim that $x \notin \{v_2, v_3, v_4\}$; for, if $x = v_2$ then $(G_1 - V(A_2 - \{v_2, w_2\}), G_2 \cup A_2)$ contradicts the choice of (G_1, G_2) (see (4)), and if $x \in \{v_3, v_4\}$ then $(G_1 - V(A_3 - \{v_3, v_4, w_3\}), G_2 \cup A_3)$ contradicts the choice of (G_1, G_2) (see (4)).

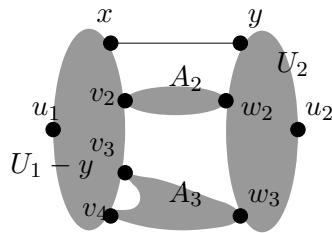


Fig. 12: (G_1, u_1, u_2, A') is of type I.

By Lemma 4.2, $N(u_2) = \{y, w_2, w_3\}$. As in the proof of Lemma 6.3, if $|A_2| \geq 2$ then $A_2 - v_2$

has a path W'_2 from w_2 to a'_2 , and $A_2 - a'_2$ has a path R_2 from w_2 to v_2 (by the maximality of $U_1 \cup U_2$). When $|A_2| = 1$, we let $W'_2 = R_2 = A_2$.

For any $i \in \{3, 4\}$, $A_3 - v_{7-i}$ has two disjoint paths R_i, Q_i from $\{v_i, w_3\}$ to $\{a'_3, a'_4\}$. Otherwise, A_3 has a separation (A_{31}, A_{32}) such that $|V(A_{31} \cap A_{32})| \leq 2$, $v_{7-i} \in V(A_{31} \cap A_{32})$, $\{v_i, w_3\} \subseteq V(A_{31})$, and $\{a'_3, a'_4\} \subseteq V(A_{32})$. Then $(U_1 \cup U_2 \cup A_2 \cup A_{31}, A_{32} + \{y, a'_2\})$ shows that Theorem 2.1(iii) holds for (G_1, u_1, u_2, A') , contradicting Lemma 6.2.

Moreover, for any $i \in \{3, 4\}$, $A_3 - a'_{7-i}$ has two disjoint paths R'_i, Q'_i from $\{v_3, v_4\}$ to $\{w_3, a'_i\}$. For otherwise A_3 has a separation (A_{31}, A_{32}) such that $|V(A_{31} \cap A_{32})| \leq 2$, $a'_{7-i} \in V(A_{31} \cap A_{32})$, $\{v_3, v_4\} \subseteq V(A_{31})$, and $\{w_3, a'_i\} \subseteq V(A_{32})$. Then $U_1 \cup A_{31} + a'_i, U_2 \cup A_{32}, \{y\}, A_2, \{a'_3\}, \{a'_4\}$ (when $a'_i \in V(A_{31} \cap A_{32})$ or $V(A_{31} \cap A_{32}) = \{a'_{7-i}\}$) or $U_1 \cup A_{31}, U_2 + a'_{7-i}, \{y\}, A_2, \{a'_{7-i}\}, A_{32} - a'_{7-i}$ (when $a'_i \notin V(A_{31} \cap A_{32}) \neq \{a'_{7-i}\}$) show that (G_1, u_1, u_2, A') is an obstruction of type IV, contradicting Lemma 6.3.

Clearly, $v_3, v_4 \notin A$. We note that $v_2 \notin A$. For, otherwise, by the maximality of $U_1 \cup U_2$, $v_2 = w_2 \in N(u_2)$. So $N(u_2) \cap A \neq \emptyset$. But $|N(u_2)| = 3$, contradicting Lemma 4.1.

If for all $i \in \{3, 4\}$, $a'_i \in A$ and $N(a'_i) \cap V(G_2) = \emptyset$, then $(G/xv_2, u_1, u_2, A)$ is an obstruction of type III with sides $(U_1 - y)/xv_2, U_2$ and middle parts $(A_2 \cup (G_2 - \{a'_3, a'_4\}) + xy)/xv_2, A_3$, contradicting Lemma 5.5. Hence by symmetry, let $a'_4 \notin A$, or $a'_4 \in A$ and $N(a'_4) \cap V(G_2) \neq \emptyset$. Then by Lemma 6.1, $G_2 - a'_4$ (when $a'_4 \notin A$) or G_2 (when $a'_4 \in A$) has four disjoint paths S_1, S_2, S_3, S_4 from $V(G_1 \cap G_2) - \{a'_4\}$ to A .

If $a'_2 \in A$ and $N(a'_2) \cap V(G_2) = \emptyset$ then $(G/v_3v_4, u_1, u_2, A)$ is an obstruction of type II with sides $(U_1 - y)/v_3v_4, U_2$ and middle parts $A_2, (A_3/v_3v_4) \cup (G_2 - a'_2) + xy$, contradicting Lemma 5.4. Thus $a'_2 \notin A$, or $a'_2 \in A$ and $N(a'_2) \cap V(G_2) \neq \emptyset$. So by Lemma 6.1, $G_2 - a'_2$ (when $a'_2 \notin A$) or G_2 (when $a'_2 \in A$) has four disjoint paths T_1, T_2, T_3, T_4 from $V(G_1 \cap G_2) - \{a'_2\}$ to A .

By Lemma 5.1(i) and the fact $u_1x \in E(G)$, there exists a permutation ijk of $\{2, 3, 4\}$ such that $(U_1 - y) - v_k$ has three independent paths P_1, P_2, P_3 from u_1 to x, v_i, v_j , respectively. If $\{i, j\} = \{3, 4\}$ then $P_1, P_2, P_3, u_2y, u_2w_2, u_2w_3, R'_3, Q'_3, W'_2, S_1, S_2, S_3, S_4$ form a topological H in G rooted at u_1, u_2, A , a contradiction. Thus by symmetry between v_3 and v_4 , assume $\{i, j\} = \{2, 3\}$. Then $P_1, P_2, P_3, u_2y, u_2w_2, u_2w_3, R_3, Q_3, R_2, T_1, T_2, T_3, T_4$ form a topological H in G rooted at u_1, u_2, A , a contradiction. \blacksquare

Lemma 6.5. *If (G, u_1, u_2, A) is a counterexample to Theorem 2.1 with $|V(G)|$ minimum and G has a separation (G_1, G_2) satisfying (1)–(5) above, then (G_1, u_1, u_2, A') is not an obstruction of type II.*

Proof. Suppose (G, u_1, u_2, A) is a counterexample to Theorem 2.1 with $|V(G)|$ minimum, and (G_1, u_1, u_2, A') is an obstruction of type II with sides U_1, U_2 and middle parts A_1, A_2 . Let $V(A_1) = \{y\}$ (by Lemma 6.2), $V(U_1 \cap A_2) = \{v_2, v_3\}$, $V(U_2 \cap A_2) = \{w_2, w_3\}$, $a'_2, a'_3, a'_4 \in V(A_2) - \{v_2, v_3, w_2, w_3\}$, $u_1 \in V(U_1) - \{y, v_2, v_3\}$, and $u_2 \in V(U_2) - \{y, w_2, w_3\}$. By (5), $x \in V(U_1 - y)$ and $N(y) \cap (V(G_1) - V(G_2)) \subseteq N[u_2] \subseteq V(U_2)$. Note that $x \notin \{v_2, v_3\}$; otherwise the separation $(U_1 - y, U_2 \cup A_2 \cup G_2)$ shows that Theorem 2.1(ii) would hold for (G, u_1, u_2, A) . By Lemma 4.2, $V(U_1 - y) = \{u_1, v_2, v_3, x\}$ and $V(U_2) = \{u_2, w_2, w_3, y\}$. Moreover, $N(u_1) = \{v_2, v_3, x\}$ and $N(u_2) = \{w_2, w_3, y\}$, as otherwise Theorem 2.1(ii) would hold for (G, u_1, u_2, A) . See Figure 13(a).

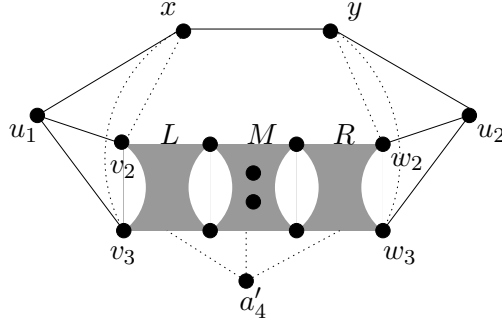


Fig. 13: (G_1, u_1, u_2, A') is of type II.

There exists some $i \in \{2, 3, 4\}$ such that $a'_i \notin A$ or $N(a'_i) \cap V(G_2) \neq \emptyset$; for if this is not the case then $U_1 - y, U_2, A_2, (G_2 - \{a'_2, a'_3, a'_4\}) + xy$ show that (G, u_1, u_2, A) is an obstruction of type II, a contradiction. By symmetry, assume $a'_4 \notin A$ or $N(a'_4) \cap V(G_2) \neq \emptyset$. Then by Lemma 6.1, $G_2 - a'_4$ (when $a'_4 \notin A$) or G_2 (when $a'_4 \in A$) has four disjoint paths S_1, S_2, S_3, S_4 from $V(G_1 \cap G_2) - \{a'_4\}$ to A .

Let $A_2 - a'_4 = L \cup M \cup R$ such that $|V(L \cap M)| \leq 2$, $|V(R \cap M)| \leq 2$, $V(L \cap R) \subseteq V(M)$, $\{v_2, v_3\} \subseteq V(L)$, $\{w_2, w_3\} \subseteq V(R)$, and $\{a'_2, a'_3\} \subseteq V(M) - V(L \cup R)$. (Note that $L = \{v_2, v_3\}$, $M = A_2 - a'_4$ and $R = \{w_2, w_3\}$ satisfy this.) Choose L, M, R to minimize M .

Then $|V(L \cap M)| = 2$ and L has two disjoint paths from $\{v_2, v_3\}$ to $V(L \cap M)$, and $|V(R \cap M)| = 2$ and R has two disjoint paths from $\{w_2, w_3\}$ to $V(R \cap M)$. For, suppose this is not true, and assume by symmetry that $|V(L \cap M)| \leq 1$ or L has no disjoint paths from $\{v_2, v_3\}$ to $V(L \cap M)$. If $|V(L \cap M)| \leq 1$ let $L_1 = L$ and $L_2 = L \cap M$, and if $|V(L \cap M)| = 2$ then $G[L + a'_4]$ has a separation (L_1, L_2) such that $|V(L_1 \cap L_2)| \leq 2$, $a'_4 \in V(L_1 \cap L_2)$, $\{v_2, v_3\} \subseteq V(L_1)$, and $V(L \cap M) \subseteq V(L_2)$. Now $V(L_1 \cap L_2) \cup \{x\}$ is a cut in G separating u_1 from $A \cup \{u_2\}$, contradicting Lemma 4.2.

Let $V(L \cap M) = \{s_1, s_2\}$ and $V(R \cap M) = \{t_1, t_2\}$. Note that $\{s_1, s_2\} \neq \{t_1, t_2\}$; as otherwise, the separation $(G_1 - (M - \{s_1, s_2\}), G_2 \cup G[M + a'_4])$ contradicts (4). Clearly, $G[L + \{u_1, x\}]$ has three independent paths P_1, P_2, P_3 from u_1 to x, s_1, s_2 , respectively, and $G[R + \{u_2, y\}]$ has three independent paths Q_1, Q_2, Q_3 from u_2 to y, t_1, t_2 , respectively. If M has three disjoint paths, with one from $\{s_1, s_2\}$ to $\{t_1, t_2\}$, one from $\{s_1, s_2\}$ to $\{a'_2, a'_3\}$, and another from $\{t_1, t_2\}$ to $\{a'_2, a'_3\}$, then these paths and $P_1, P_2, P_3, Q_1, Q_2, Q_3, S_1, S_2, S_3, S_4$ would form a topological H in G rooted at u_1, u_2, A . So such paths in M do not exist.

We claim that $\{s_1, s_2\} \cap \{t_1, t_2\} = \emptyset$. Suppose otherwise and, without loss of generality, let $s_1 = t_1$. Then $s_2 \neq t_2$, and $M - s_1$ does not contain disjoint paths from $\{s_2, t_2\}$ to $\{a'_2, a'_3\}$. Hence M has a separation (M_1, M_2) such that $|V(M_1 \cap M_2)| \leq 2$, $s_1 \in V(M_1 \cap M_2)$, $\{s_2, t_2\} \subseteq V(M_1)$, and $\{a'_2, a'_3\} \subseteq V(M_2)$. Now $(G_1 - (M_2 - V(M_1 \cap M_2)), G_2 \cup G[M_2 + a'_4])$ is a separation in G contradicting (4).

By Lemma 3.3 (with $M, s_1, s_2, t_1, t_2, a'_2, a'_3$ as $G, v_1, v_2, w_1, w_2, a_1, a_2$, respectively), M has a separation (M_1, M_2) such that one of (i) – (v) of Lemma 3.3 holds (with $M_i, i = 1, 2$, as G_i in Lemma 3.3).

If Lemma 3.3(ii) holds, then the separation $(G_1[M_2 \cup L \cup R + \{a'_4, u_1, u_2, x, y\}], M_1 +$

$\{a'_4, y\}$) shows that Theorem 2.1(iii) holds for (G_1, u_1, u_2, A') , contradicting Lemma 6.2. If Lemma 3.3(iii) holds, then $G_1[L \cup M_i + (A' \cup \{u_1, x\})]$, $G_1[R \cup M_{3-i} + (A' \cup \{u_2, y\})]$, $\{y\}$, $\{a'_2\}$, $\{a'_3\}$, $\{a'_4\}$ show that (G_1, u_1, u_2, A') is an obstruction of type IV, contradicting Lemma 6.3. If Lemma 3.3(iv) holds, then $G_1[L + \{a'_4, u_1, x, y\}]$, $G_1[R + \{a'_4, u_2, y\}]$, $\{y\}$, $\{a'_4\}$, M_1, M_2 show that (G_1, u_1, u_2, A') is an obstruction of type IV, contradicting Lemma 6.3. If Lemma 3.3(v) holds, then by symmetry assume that $\{s_1, s_2, t_1, a'_2, a'_3\} \subseteq V(M_1)$; now $G_1[L + \{a'_4, u_1, x, y\}]$, $G[R \cup M_2 + \{a'_4, u_2, y\}]$, $\{y\}$, $\{a'_4\}$, M_1 show that (G_1, u_1, u_2, A') is an obstruction of type I, contradicting Lemma 6.4.

So Lemma 3.3(i) holds, and assume by symmetry that $\{s_1, s_2, a'_2, a'_3\} \subseteq V(M_1)$ and $\{t_1, t_2\} \subseteq V(M_2)$. Note that $|V(M_1 \cap M_2)| = 2$ and M_2 has disjoint paths T_1, T_2 from $\{t_1, t_2\}$ to $V(M_1 \cap M_2)$; otherwise, $|V(M_1 \cap M_2)| \leq 1$ (in this case let $S := V(M_1 \cap M_2)$), or M_2 has a cut S , $|S| \leq 1$, separating $V(M_1 \cap M_2)$ from $\{t_1, t_2\}$, and hence, $S \cup \{a'_4, y\}$ is a cut in G separating u_2 from $A \cup \{u_1\}$, contradicting Lemma 4.2.

Hence by the minimality of M , we may assume by symmetry that $V(M_1 \cap M_2) = \{a'_2, z\}$. Then $z \neq a'_3$, as otherwise $G_1[L \cup M_1 + \{a'_4, u_1, x, y\}]$, $G_1[R \cup M_2 + \{a'_4, u_2, y\}]$, $\{y\}$, $\{a'_2\}$, $\{a'_3\}$, $\{a'_4\}$ show that (G_1, u_1, u_2, A') is an obstruction of type IV, contradicting Lemma 6.3.

If $M_1 - a'_2$ contains disjoint paths from $\{s_1, s_2\}$ to $\{a'_3, z\}$ then these paths and $P_1, P_2, P_3, Q_1, Q_2, Q_3, T_1, T_2, S_1, S_2, S_3, S_4$ form a topological H in G rooted at u_1, u_2, A , a contradiction. So such paths do not exist in $M_1 - a'_2$. Then M_1 has a separation (M_{11}, M_{12}) such that $a'_2 \in V(M_{11} \cap M_{12})$, $|V(M_{11} \cap M_{12})| \leq 2$, $\{s_1, s_2\} \subseteq V(M_{11})$, and $\{a'_3, z\} \subseteq V(M_{12})$. If $a'_3 \notin V(M_{11} \cap M_{12})$ then $G_1[L \cup M_{11} + \{a'_4, u_1, x, y\}]$, $G_1[R \cup M_2 + \{a'_4, u_2, y\}]$, $\{y\}$, $\{a'_2\}$, $\{a'_4\}$, $M_{12} - a'_2$ show that (G_1, u_1, u_2, A') is an obstruction of type IV, contradicting Lemma 6.3. So $a'_3 \in V(M_{11} \cap M_{12})$. Then $G_1[L \cup M_{11} + \{a'_4, u_1, x, y\}]$, $G_1[R \cup M_2 \cup M_{12} + \{a'_4, u_2, y\}]$, $\{y\}$, $\{a'_2\}$, $\{a'_3\}$, $\{a'_4\}$ show that (G_1, u_1, u_2, A') is an obstruction of type IV, contradicting Lemma 6.3. \blacksquare

7 Conclusion

We complete the proof of Theorem 2.1. Suppose that the assertion of Theorem 2.1 fails, and let (G, u_1, u_2, A) be a counterexample with $|V(G)|$ minimum.

Then $|N(u_i)| \geq 3$ (otherwise (ii) would hold for (G, u_1, u_2, A)). Also G has no separation (G_1, G_2) such that $|V(G_1 \cap G_2)| \leq 4$, $\{u_1, u_2\} \subseteq V(G_1) - V(G_2)$, and $A \subseteq V(G_2)$; for otherwise (iii) would hold for (G, u_1, u_2, A) . Thus A is independent in G . Moreover, for any vertex $u \notin A \cup \{u_1, u_2\}$, the graph G' , obtained from $G - u$ by duplicating u_i with u'_i ($i = 1, 2$), contains four disjoint paths from $\{u_1, u'_1, u_2, u'_2\}$ to A . Now these paths give rise to four independent paths P_1, P_2, P_3, P_4 in $G - u$ from $\{u_1, u_2\}$ to A , with two from each u_i . We now prove properties (a) – (e) and use them to prove that G has a separation (G_1, G_2) satisfies (1) – (5) in Section 6.

- (a) $u_1 u_2 \notin E(G)$, and $N(u_1) \cap N(u_2) \subseteq A$.

For, if $u_1 u_2 \in E(G)$ then P_1, P_2, P_3, P_4 and $u_1 u_2$ would form a topological H in G rooted at u_1, u_2, A ; and if there exists $u \in (N(u_1) \cap N(u_2)) - A$ then P_1, P_2, P_3, P_4 and $u_1 u u_2$ would form a topological H in G rooted at u_1, u_2, A .

If $G - A - \{u_1, u_2\} = \emptyset$ then we see that $N(u_i) \subseteq A$. So by Lemma 4.1, $N(u_i) = A$ for $i = 1, 2$. Hence $G[A + u_1], G[A + u_2], \{a_1\}, \{a_2\}, \{a_3\}, \{a_4\}$ show that (G, u_1, u_2, A) is an obstruction of type IV, a contradiction. Thus $G - A - \{u_1, u_2\} \neq \emptyset$. In fact,

(b) $E(G - A - \{u_1, u_2\}) \neq \emptyset$.

Otherwise, by (a), for any $x \in V(G) - A - \{u_1, u_2\}$, $N(x) \subseteq A \cup \{u_i\}$ for some $i \in \{1, 2\}$. Thus G has a separation (U_1, U_2) such that $V(U_1 \cap U_2) = A$, $u_1 \in V(U_1) - V(U_2)$, and $u_2 \in V(U_2) - V(U_1)$. Now $U_1, U_2, \{a_1\}, \{a_2\}, \{a_3\}, \{a_4\}$ show that (G, u_1, u_2, A) is an obstruction of type IV, a contradiction.

(c) There exists $xy \in E(G - A - \{u_1, u_2\})$ such that $\{x, y\} \not\subseteq N(u_i)$ for any $i \in \{1, 2\}$.

Suppose for any $xy \in E(G - A - \{u_1, u_2\})$ we have $\{x, y\} \subseteq N(u_i)$ for some $i \in \{1, 2\}$. Then by (a), for any $v \in N(u_i) - A$, $N(v) \subseteq N[u_i] \cup A$. Thus, G has a separation (U_1, U_2) such that $V(U_1 \cap U_2) = A$, $U_1 = G[N[u_1] \cup A]$, and $U_2 = G - V(G_1 - A)$. Now $U_1, U_2, \{a_1\}, \{a_2\}, \{a_3\}, \{a_4\}$ show that (G, u_1, u_2, A) is an obstruction of type IV, a contradiction.

Since $|V(G/xy)| < |V(G)|$, one of (i) – (iv) of Theorem 2.1 holds for $(G/xy, u_1, u_2, A)$. Let v denote the vertex resulting from the contraction of xy .

(d) For any xy satisfying (c), (iii) holds for $(G/xy, u_1, u_2, A)$.

By Lemmas 5.2, 5.3, 5.4 and 5.5, (iv) does not hold for $(G/xy, u_1, u_2, A)$. If (i) holds for $(G/xy, u_1, u_2, A)$ then let K be a topological H in G/xy rooted at u_1, u_2, A ; now K (when $v \notin K$) or the graph obtained from K by uncontracting v back to xy (when $v \in K$) gives a topological H in G rooted at u_1, u_2, A , a contradiction. Now suppose that (ii) holds for $(G/xy, u_1, u_2, A)$, and let (G'_1, G'_2) denote a separation in G/xy such that $|V(G'_1 \cap G'_2)| \leq 2$, $u_1 \in V(G'_1) - V(G'_2)$ and $A \cup \{u_2\} \subseteq V(G'_2)$. Then $|V(G'_1 \cap G'_2)| = 2$ and $v \in V(G'_1 \cap G'_2)$; for otherwise (ii) would hold for (G, u_1, u_2, A) . Hence G has a separation (G_1, G_2) such that $|V(G_1 \cap G_2)| = 3$, $x, y \in V(G_1 \cap G_2)$, $u_1 \in V(G_1) - V(G_2)$, and $A \cup \{u_2\} \subseteq G_2$. Since $|N(u_1)| \geq 3$ and $\{x, y\} \not\subseteq N(u_1)$, $|V(G)| \geq 5$, contradicting Lemma 4.2. Thus (iii) holds for $(G/xy, u_1, u_2, A)$.

By (d), for any xy satisfying (c), G/xy has a separation (G'_1, G'_2) such that $|V(G'_1 \cap G'_2)| \leq 4$, $\{u_1, u_2\} \subseteq V(G'_1) - V(G'_2)$, and $A \subseteq V(G'_2)$. Then $v \in V(G'_1 \cap G'_2)$ and $|V(G'_1 \cap G'_2)| = 4$; or else (iii) would hold for (G, u_1, u_2, A) . Hence, G has a separation (G_1, G_2) such that $x, y \in V(G_1 \cap G_2)$, $|V(G_1 \cap G_2)| = 5$, $\{u_1, u_2\} \subseteq V(G_1) - V(G_2)$, and $A \subseteq V(G_2)$. Moreover, $N(x) \cap (V(G_1) - V(G_2)) \neq \emptyset$, and $N(y) \cap (V(G_1) - V(G_2)) \neq \emptyset$; for otherwise, (iii) would hold for (G, u_1, u_2, A) . We choose xy (satisfying (c)) and (G_1, G_2) so that G_1 is minimal (subject to $xy \in E(G_1)$). Now (G, u_1, u_2, A) satisfies (1) – (4) in Section 6. We now show that (G, u_1, u_2, A) , xy and (G_1, G_2) also satisfies (5) in Section 6. First, we claim that

(e) $x, y \in N(\{u_1, u_2\})$ and $(N(x) \cup N(y)) \cap (V(G_1) - V(G_2)) \subseteq N[\{u_1, u_2\}]$.

Suppose (e) fails, and assume by symmetry that it fails for x . If $x \notin N(\{u_1, u_2\})$ let $z \in N(x) \cap (V(G_1) - V(G_2))$; and if $x \in N(\{u_1, u_2\})$ then $N(x) \cap (V(G_1) - V(G_2)) \not\subseteq N[\{u_1, u_2\}]$,

and let $z \in N(x) \cap (V(G_1) - V(G_2)) - N[\{u_1, u_2\}]$. Then xz satisfies (c). By the argument following (d), G has a separation (H_1, H_2) such that $\{x, z\} \subseteq V(H_1 \cap H_2)$, $|V(H_1 \cap H_2)| = 5$, $\{u_1, u_2\} \subseteq V(H_1) - V(H_2)$ and $A \subseteq V(H_2)$. Thus $u_1, u_2 \in (V(G_1) - V(G_2)) \cap (V(H_1) - V(H_2))$ and $A \subseteq V(G_2 \cap H_2)$. In particular, $|V(G_2 \cap H_2)| \geq |A \cup \{x\}| \geq 5$. Thus $|V(G_1 \cap G_2 \cap H_2) \cup V(H_1 \cap H_2 \cap G_2)| \geq 5$; as otherwise the separation $(G_1 \cup H_1, G_2 \cap H_2)$ shows that (iii) would hold for (G, u_1, u_2, A) . Therefore, since $|V(G_1 \cap G_2)| + |V(H_1 \cap H_2)| = 10$, we see that $|V(G_1 \cap G_2 \cap H_1) \cup V(H_1 \cap H_2 \cap G_1)| \leq 5$. In fact, $|V(G_1 \cap G_2 \cap H_1) \cup V(H_1 \cap H_2 \cap G_1)| = 5$; otherwise, the separation $(G_1 \cap H_1, G_2 \cup H_2)$ shows that (iii) would hold for (G, u_1, u_2, A) . Thus $|V(G_1 \cap G_2 \cap H_2) \cup V(H_1 \cap H_2 \cap G_2)| = 5$. By the choice of (G_1, G_2) (i.e., the minimality of G_1), the separation $(G_1 \cap H_1, G_2 \cup H_2)$ implies that $V(G_1 \cap H_2) - V(H_1) = \emptyset$ (so $V(G_1 \cap G_2 \cap H_2) = V(G_1 \cap G_2 \cap H_1 \cap H_2)$). Now since $z \notin V(G_2)$, $|V(G_1 \cap G_2 \cap H_2) \cup V(H_1 \cap H_2 \cap G_2)| = |V(H_1 \cap H_2 \cap G_2)| \leq |V(H_1 \cap H_2) - \{z\}| = 4$, a contradiction.

By (a), (c) and (e), there exists a permutation ij of $\{1, 2\}$ such that $xu_i, yu_j \in E(G)$. We now show that $N(x) \cap (V(G_1) - V(G_2)) \subseteq N[u_i]$ and $N(y) \cap (V(G_1) - V(G_2)) \subseteq N[u_j]$. Suppose this is false and, by symmetry, assume that $N(x) \cap (V(G_1) - V(G_2)) \not\subseteq N[u_i]$. Then by (a) and (e) there exists $z \in V(G_1) - V(G_2) - \{u_1, u_2\}$ such that $xz \in E(G)$ and $zu_i \notin E(G)$. By (a) again, xz satisfies (c); so by (e), $zu_j \in E(G)$. Let G_1^* be obtained from G_1 by duplicating u_k with u'_k , $k = 1, 2$. If $G_1^* - \{x, z\}$ has four disjoint paths from $\{u_1, u'_1, u_2, u'_2\}$ to $V(G_1 \cap G_2) - \{x\}$, then these paths, $u_i x z u_j$ and four disjoint paths in $G_2 - x$ from $V(G_1 \cap G_2) - \{x\}$ to A (Lemma 6.1(i)) give a topological H in G rooted at u_1, u_2, A , a contradiction. Thus, G_1 has a separation (G_{11}, G_{12}) such that $|V(G_{11} \cap G_{12})| \leq 5$, $\{x, z\} \subseteq V(G_{11} \cap G_{12})$, $\{u_1, u_2\} \subseteq V(G_{11})$, and $V(G_1 \cap G_2) - \{x\} \subseteq V(G_{12})$. Now the separation $(G_{11}, G_{12} \cup G_2)$ contradicts the choice of (G_1, G_2) (the minimality of G_1).

Thus, (G, u_1, u_2, A) also satisfies (5) in Section 6. Hence, we get a final contradiction by invoking Lemmas 6.2 – 6.5, completing the proof of Theorem 2.1.

ACKNOWLEDGMENT We thank two anonymous referees for their helpful comments and suggestions.

References

- [1] E. Aigner-Horev, Subdivisions in apex graphs, *Abhandlungen aus dem Mathematischen Seminar der Universität Hamburg* **82** (2012) 83–113.
- [2] P. Catlin, Hajós' graph-coloring conjecture: variations and counterexamples, *J. Combin. Theory, Ser. B* **26** (1979) 268–274.
- [3] G. A. Dirac, A property of 4-chromatic graphs and some remarks on critical graphs, *J. London Math. Soc., Ser. B* **27** (1952) 85–92.
- [4] P. Erdős and S. Fajtlowicz, On the conjecture of Hajós, *Combinatorica* **1** (1981) 141–143.
- [5] R. Krakovski, D. C. Stephens and X. Zha, Subdivisions of K_5 in graphs embedded on surfaces with face-width at least 5, *J. Graph Theory* **74** (2013) 182–197.
- [6] K. Kawarabayashi, *Unpublished* (2010).

- [7] A. K. Kelmans, Every minimal counterexample to the Dirac conjecture is 5-connected, *Lectures to the Moscow Seminar on Discrete Mathematics* (1979).
- [8] A. E. Kézdy and P. J. McGuinness, Do $3n - 5$ edges suffice for a subdivision of K_5 ? *J. Graph Theory* **15** (1991) 389-406.
- [9] L. Lovász, Exercices 6.67, *Combinatorial Problems and Exercises*, North Holland (1979).
- [10] J. Ma, R. Thomas, and X. Yu, Independent paths in apex graphs, *Unpublished* (2010).
- [11] J. Ma and X. Yu, Independent paths and K_5 -subdivisions, *J. Combin. Theory Ser. B* **100** (2010) 600–616.
- [12] J. Ma and X. Yu, K_5 -Subdivisions in graphs containing K_4^- , *J. Combin. Theory Ser. B* **103** (2013) 713–732.
- [13] W. Mader, $3n - 5$ Edges do force a subdivision of K_5 , *Combinatorica* **18** (1998) 569-595.
- [14] P. D. Seymour, Private communication with X. Yu.
- [15] R. Thomas, Private communication with X. Yu (2011).
- [16] X. Yu, Subdivisions in planar graphs, *J. Combin. Theory Ser. B* **72** (1998) 10–52.